

AO-107 (WP)  
Rev. 1/2007

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1976  
(5 U.S.C. app. 44101-114)

|                                                                                                                                              |  |                                                                                                                                                                                  |  |                                                                                                                                                                                                                       |  |
|----------------------------------------------------------------------------------------------------------------------------------------------|--|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 1. Person Reporting (Last name, first, middle initial)<br><u>Fletcher, Betty B.</u>                                                          |  | 2. Court or Organization<br><u>Court of Appeals, Ninth Circuit</u>                                                                                                               |  | 3. Date of Report<br><u>2/14/07</u>                                                                                                                                                                                   |  |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><u>Senior Circuit Judge</u> |  | 5a. Report Type (check appropriate type)<br>Nomination, Date _____<br>Initial <input checked="" type="checkbox"/> Annual <input type="checkbox"/> Final <input type="checkbox"/> |  | 6. Reporting Period<br><u>Jan 1, 2006 - Jan 1, 2007</u><br><u>Dec. 31, 2006</u>                                                                                                                                       |  |
| 7. Chambers or Office Address<br><u>Park Place Bldg, 21st floor</u><br><u>1200 6th Ave</u><br><u>Seattle, WA 98101</u>                       |  | 5b. Amended Report <input type="checkbox"/>                                                                                                                                      |  | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |  |

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

SELF INITIATED  
AMENDMENT

|   |                                   |                                       |
|---|-----------------------------------|---------------------------------------|
| 1 | <u>Member of Board</u>            | <u>Saul and Dayer Haas Foundation</u> |
| 2 | <u>Ex-officio Member of Board</u> | <u>Federal Judges Assoc</u>           |
| 3 |                                   |                                       |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

INCOME

#### A. Filer's Non-Investment Income

☒

NONE (No reportable non-investment income.)

|   |  |    |
|---|--|----|
| 1 |  | \$ |
| 2 |  | \$ |
| 3 |  | \$ |

#### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐

NONE (No reportable non-investment income.)

|   |                                           |                                    |
|---|-------------------------------------------|------------------------------------|
| 1 | <u>1. Teachers Ins and Annuity Assoc.</u> | <u>3 Univ. of Calif Retirement</u> |
| 2 | <u>2. College Retirement Equity Fund</u>  | <u>Equity Fund</u>                 |

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)

|                                                                                                                                                                                                                       |  |                                                                                                                                               |  |                                                           |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------|
| 1. Person Reporting (Last name, first, middle initial)<br><b>Fletcher, Betty B.</b>                                                                                                                                   |  | 2. Court or Organization<br><b>Court of Appeals, Ninth Circuit</b>                                                                            |  | 3. Date of Report<br><b>4/19/07</b>                       |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><b>Senior Circuit Judge</b>                                                                          |  | 5a. Report Type (check appropriate type)<br>____ Nomination, Date _____<br>____ Initial <input checked="" type="checkbox"/> Annual ____ Final |  | 6. Reporting Period<br><b>Jan. 1, 2006 - Jan. 1, 2007</b> |
| 7. Chambers or Office Address<br><b>Park Place Bldg, 21<sup>st</sup> Floor<br/>1200 6<sup>th</sup> Ave.<br/>Seattle, WA 98101</b>                                                                                     |  | 5b. ____ Amended Report                                                                                                                       |  |                                                           |
| 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |  |                                                                                                                                               |  |                                                           |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

|   |                                   |                                       |
|---|-----------------------------------|---------------------------------------|
| 1 | <b>Member of Board</b>            | <b>Saul and Dayer Haas Foundation</b> |
| 2 | <b>Ex-officio Member of Board</b> | <b>Federal Judges Assoc.</b>          |
| 3 |                                   |                                       |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

|   |  |  |
|---|--|--|
| 1 |  |  |
| 2 |  |  |

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

INCOME

#### A. Filer's Non-Investment Income

☒

NONE (No reportable non-investment income.)

|   |  |    |
|---|--|----|
| 1 |  | \$ |
| 2 |  | \$ |
| 3 |  | \$ |

#### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐

NONE (No reportable non-investment income.)

|   |                                           |                                                |
|---|-------------------------------------------|------------------------------------------------|
| 1 | <b>1. Teachers Ins and Annuity Assoc.</b> | <b>3 Univ. of Calif Retirement Equity Fund</b> |
| 2 | <b>2. College Retirement Equity Fund</b>  |                                                |

**RECEIVED**  
 2007 APR 25 A 9:44  
 FINANCIAL  
 DISCLOSURE OFFICE

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Betty B. Fletcher

Date of Report

4/19/07

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

### SOURCE

### DESCRIPTION

☐ NONE (No such reportable reimbursements.)

|   |                    |                                                                      |
|---|--------------------|----------------------------------------------------------------------|
| 1 | Cornell Law School | Apr 7-9 moot court judge - food, lodging, travel                     |
| 2 |                    | Ithaca, N.Y.                                                         |
| 3 |                    |                                                                      |
| 4 | Fed. Judges Assoc. | May 6-7 annual meeting of board of directors - food, lodging, travel |
| 5 |                    | Wash. D.C.                                                           |
| 6 |                    |                                                                      |
| 7 |                    |                                                                      |

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

### SOURCE

### DESCRIPTION

### VALUE

☒ NONE (No such reportable gifts.)

|   |  |  |    |
|---|--|--|----|
| 1 |  |  | \$ |
| 2 |  |  | \$ |
| 3 |  |  | \$ |
| 4 |  |  | \$ |

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

### CREDITOR

### DESCRIPTION

### VALUE CODE\*

☒ NONE (No reportable liabilities.)

|   |  |  |
|---|--|--|
| 1 |  |  |
| 2 |  |  |
| 3 |  |  |
| 4 |  |  |
| 5 |  |  |

\*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Betty B. Fletcher

Date of Report

2/1/07

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                       |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 1 Putnam Health Sci. Fund                                                                                                            | D                                          | div.                                        | N                                                  | T                                 | partial sale                                           | 12/13                         | D                              | A                             |                                                                |
| 2 Key Bank a/c                                                                                                                       | C                                          | int.                                        | N                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 3 Merrill Lynch CMAQ Ex. Fd.                                                                                                         | C                                          | int.                                        | M                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 4 Merrill Lynch IRA                                                                                                                  |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 5 Templeton Global Fund                                                                                                              | D                                          | div.                                        | M                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 6 Merrill L. Money Mkt. Fd.                                                                                                          | A                                          | div.                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 7 Pimco Total Ret. Fd.                                                                                                               | D                                          | div.                                        | M                                                  | T                                 | partial sale                                           | 12/20                         | A                              | T                             | (3/1-exchange class B for class A)                             |
| 8 BlackRock Index Eq. Fd.                                                                                                            | B                                          | div.                                        | M                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 9 Pimco Stock Plus Fund                                                                                                              | D                                          | div.                                        | M                                                  | T                                 |                                                        |                               |                                |                               | (2/1 exchange class B for class A)                             |
| 10 Pimco Total Ret. Fund                                                                                                             | D                                          | div.                                        | M                                                  | T                                 |                                                        |                               |                                |                               | (11)                                                           |
| 11 BlackRock Muni H. Ins. Fd.                                                                                                        | A                                          | div.                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 12 BlackRock Muni Holdings Ins. Fd.                                                                                                  | A                                          | div.                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 13 Gen. Elec. Common                                                                                                                 | A                                          | div.                                        | J                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 14 Grays Harbor Cty - PUD bond                                                                                                       | B                                          | int.                                        | R                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 15 Cook Co. Ill. SD bond                                                                                                             | A                                          | int.                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 16 Vancouver, WA bond (Wds)                                                                                                          | B                                          | int.                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |
| 17 WA. St. CTFD Part. bond                                                                                                           | B                                          | int.                                        | K                                                  | T                                 |                                                        |                               |                                |                               |                                                                |

|   |                                                           |                                                |                                                    |                                                      |                                                           |
|---|-----------------------------------------------------------|------------------------------------------------|----------------------------------------------------|------------------------------------------------------|-----------------------------------------------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000    | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000                                       |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)      | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 | P3=\$25,000,001-\$50,000,000<br>P4=More than \$50,000,000 |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)          | U=Book value                                   | R=Cost (real estate only)<br>V=Other               | S=Assessment<br>W=Estimated                          | T=Cash/Market                                             |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Betty B. Fletcher

Date of Report

4/19/07

## VII. Page 2 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A.<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B.<br>Income<br>during<br>reporting period |                                             | C.<br>Gross value<br>at end of<br>reporting period |                                   | D.<br>Transactions during reporting period             |                               |                                |                               |                                                                |
|--------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|---------------------------------------------|----------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                      | (1)                                        | (2)                                         | (1)                                                | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                      | Amt.<br>Code1<br>(A-H)                     | Type<br>(e.g.,<br>div.,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                            | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                       |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 18. Microsoft common                                                                                                                 | A                                          | div.                                        | K                                                  | T                                 | purchase                                               | 5/2                           | K                              | A                             |                                                                |
| 2                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 3                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 4                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 5                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 6                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 7                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 8                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 9                                                                                                                                    |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 10                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 11                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 12                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 13                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 14                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 15                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 16                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |
| 17                                                                                                                                   |                                            |                                             |                                                    |                                   |                                                        |                               |                                |                               |                                                                |

|   |                                                                                                            |                                                |                                                                                |                                                      |                     |
|---|------------------------------------------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3) N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2) U=Book value                                              | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Betty B. Fletcher

Date of Report

4/19/07

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

4/19/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

### FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544