

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, Tom S	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 245 East Capitol Street Suite 109 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Mississippi College
2. General Partner	Tom S. Lee Family Limited Partnership
3.	
4.	
5.	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	State Employees Pension Fund; pension upon retirement age 65
2.	
3.	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Director - Community Bancshare of MS, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1. _____

2. _____

3. _____

4. _____

5. _____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1. _____

2. _____

3. _____

4. _____

5. _____

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Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR			J	T					
2. American Skandia NQ VA			N	T					
3. State of MS Bond	A	Interest			Redeemed	8/1	K	A	
4. Van Kampen Muni Opp (VMO)	A	Dividend	J	T					
5. MS O Coupon Bond			M	T	Bond fluctu				
6. Eaton Vance MS Fund	B	Dividend	K	T					
7. LA PFA REV RDGB Fund	B	Interest			Redeemed	11/15	K	A	
8. Biloxi School Bond	B	Interest			Redeemed	11/1	K	A	
9. MS Hospital EQ & FAC Bond	A	Interest	K	T					
10. Columbus MS Bond	B	Interest	K	T					
11. Shares New Perspective	C	Dividend	N	T					
12. Shares of Community Bancshares of MS Inc.	E	Dividend	P1	T					
13. ING NQ VA hers #...33PM			J	T					
14. American Skandia NQ VA hers #...8801			M	T					
15. His Hartford VA IRA...6922			N	T					
16. State of MS GO Bond	B	Interest			Redeemed	8/1	K	A	
17. Land in Scott Co., MS			L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. U.S. Ser. E. Bonds			L	T	Bonds increa				
19. Phoenix Life			M	T	Life Ins.				
20. Community Bancshares of MS	C	Interest	M	T					
21. His ING VA IRA...89AV			N	T	Account Fluc				
22. Davis New York Venture	A	Dividend	J	T					
23. Growth Fund of America	A	Dividend	J	T					
24. New Perspective	A	Dividend	J	T					
25. UBS Tactical Allocation					Sell	3/29	J	A	
26. Lord Abbert Aff.	A	Dividend	J	T					
27. Alliance MF			L	T	Account Fluc				
28. Hartford VA NQ (with JS)			N	T	Account Fluc				
29. Salomon Brothers	A	Dividend	J	T					
30. Her ING VA IRA...29AV			K	T	Account Fluc				
31. FT Dow Dividend	A	Dividend	L	T					
32. FT Dow Dividend	A	Dividend	K	T					
33. FT Target VIP	A	Dividend	K	T					
34. Franklin HY Tax Free	C	Dividend			Sell	12/28	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000 J=\$15,000 or less N=\$250,001 - \$500,000 P3=\$25,000,001 - \$50,000,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000 K=\$15,001 - \$50,000 O=\$500,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000 L=\$50,001 - \$100,000 P1=\$1,000,001 - \$5,000,000 P4=More than \$50,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000 M=\$100,001 - \$250,000 P2=\$5,000,001 - \$25,000,000	E=\$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q=Appraisal U=Book Value	R=Cost (Real Estate Only) V=Other	S=Assessment W=Estimated	T=Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Lee, Tom S

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Franklin Income	A	Dividend	J	T					
36. ABB Limited			K	T					
37. First Horizon					Sell	3/29	K	A	
38. Silver Standard			K	T					
39. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
40. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
41. VK C&S Muni	D	Dividend	M	T	Buy	12/28	M		
42. VK Financial Inst.	B	Dividend	L	T	Buy	11/19	L		
43. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
44. VanKampen Muni Adv.	A	Dividend			Sell	12/28	K	A	
45. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
46. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
47. FT Muni	A	Dividend	J	T	Buy	12/31	J		
48. VK C&S Muni	C	Dividend	L	T	Buy	12/28	L		
49. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF MISSISSIPPI
245 EAST CAPITOL, SUITE 110
JACKSON, MISSISSIPPI 39201

August 26, 2008

TOM S. LEE
JUDGE

Honorable Ortrie D. Smith
Chair
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

RECEIVED
SEPT 2
2008 AUG 33 A 10:46
FINANCIAL
DISCLOSURE OFFICE

Re: Calendar Year 2007 Filing

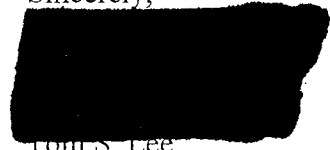
Dear Judge Smith:

In response to your letter of July 22, I am enclosing an amended report which reflects that there was no income with regard to all of the assets referenced on the various lines. I will note that your letter references line 3 on page 4 and that the omission was actually on line 5. I apologize for these negligent omissions after my reports in previous years had been correctly filed and I knew better.

With regard to the entry on page 6, line 44, I owned that account previously and I have added this explanation: Inadvertently omitted in previous report.

My amended report is enclosed in triplicate.

Sincerely,



Tom S. Lee

TSL:jpw
Enclosure

RECEIVED
2008 SEP -2 A 11:19
FINANCIAL
DISCLOSURE OFFICE

AMENDED

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

AO 10
Rev. 1/2008

1. Person Reporting (last name, first, middle initial) Lee, Tom S	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 8/26/08
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 245 East Capitol Street Suite 109 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the <i>NONE</i> box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Mississippi College
2. General Partner	Tom S. Lee [REDACTED] Limited Partnership
3.	
4.	
5.	

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 SEPT 2
 2008 AUG 33 A 10:47
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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	State Employees Pension Fund; pension upon retirement age 65
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*DATESOURCE AND TYPEINCOME
(yours, not spouse's)

1.

2.

3.

4.

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)☐ **NONE** *(No reportable non-investment income.)*DATESOURCE AND TYPE

1. 2007

Director - Community Bancshare of MS, Inc.

2.

3.

4.

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*SOURCEDATESLOCATIONPURPOSEITEMS PAID OR PROVIDED

1.

2.

3.

4.

5.

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

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4.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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1. ING NQ VAR		None	J	T					
2. American Skandia NQ VA		None	N	T					
3. State of MS Bond	A	Interest			Redeemed	8/1	K	A	
4. Van Kampen Muni Opp (VMO)	A	Dividend	J	T					
5. MS O Coupon Bond		None	M	T	Bond fluctu				
6. Eaton Vance MS Fund	B	Dividend	K	T					
7. LA PFA REV RDGB Fund	B	Interest			Redeemed	11/15	K	A	
8. Biloxi School Bond	B	Interest			Redeemed	11/1	K	A	
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11. Shares New Perspective	C	Dividend	N	T					
12. Shares of Community Bancshares of MS Inc.	E	Dividend	P1	T					
13. ING NQ VA hers #...33PM		None	J	T					
14. American Skandia NQ VA hers #...8801		None	M	T					
15. His Hartford VA IRA...6922		None	N	T					
16. State of MS GO Bond	B	Interest			Redeemed	8/1	K	A	
17. Land in Scott Co., MS		None	L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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18. U.S. Scr. B. Bonds		None	L	T	Bonds increa				
19. Phoenix Life		None	M	T	Life Ins.				
20. Community Bancshares of MS	C	Interest	M	T					
21. His ING VA IRA...89AV		None	N	T	Account Fluc				
22. Davis New York Venture	A	Dividend	J	T					
23. Growth Fund of America	A	Dividend	J	T					
24. New Perspective	A	Dividend	J	T					
25. UBS Tactical Allocation		None			Sell	3/29	J	A	
26. Lord Abbett Aff.	A	Dividend	J	T					
27. Alliance MF		None	L	T	Account Fluc				
28. Hartford VA NQ (with JS)		None	N	T	Account Fluc				
29. Salomon Brothers	A	Dividend	J	T					
30. Her ING VA IRA...29AV		None	K	T	Account Fluc				
31. FT Dow Dividend	A	Dividend	L	T					
32. FT Dow Dividend	A	Dividend	K	T					
33. FT Target VIP	A	Dividend	K	T					
34. Franklin HY Tax Free	C	Dividend			Sell	12/28	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. Franklin Income	A	Dividend	J	T					
36. ABB Limited		None	K	T					
37. First Horizon		None			Sell	3/29	K	A	
38. Silver Standard		None	K	T					
39. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
40. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
41. VK C&S Muni	D	Dividend	M	T	Buy	12/28	M		
42. VK Financial Inst.	B	Dividend	L	T	Buy	11/19	L		
43. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
44. VanKampen Muni Adv.	A	Dividend			Sell	12/28	K	A	inadvertently omitted in previous report
45. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
46. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
47. FT Muni	A	Dividend	J	T	Buy	12/31	J		
48. VK C&S Muni	C	Dividend	L	T	Buy	12/28	L		
49. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

8/26/08

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

8/26/08

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Lee, Tom S	2. Court or Organization United States District Court Southern District of MS	3. Date of Report 05/14/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior United States District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 245 East Capitol Street Suite 109 Jackson, MS 39201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Trustees	Mississippi College
2. General Partner	Tom S. Lee Family Limited Partnership
3.	
4.	
5.	

RECEIVED
 2008 MAY 27 A 11:00
 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 2007	State Employees Pension Fund; pension upon retirement age 65
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Director - Community Bancshare of MS, Inc.
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ING NQ VAR			J	T					
2. American Skandia NQ VA			N	T					
3. State of MS Bond	A	Interest			Redeemed	8/1	K	A	
4. Van Kampen Muni Opp (VMO)	A	Dividend	J	T					
5. MS O Coupon Bond			M	T	Bond fluctu				
6. Eaton Vance MS Fund	B	Dividend	K	T					
7. LA PFA REV RDGB Fund	B	Interest			Redeemed	11/15	K	A	
8. Biloxi School Bond	B	Interest			Redeemed	11/1	K	A	
9. MS Hospital EQ & FAC Bond	A	Interest	K	T					
10. Columbus MS Bond	B	Interest	K	T					
11. Shares New Perspective	C	Dividend	N	T					
12. Shares of Community Bancshares of MS Inc.	E	Dividend	P1	T					
13. ING NQ VA hers #...33PM			J	T					
14. American Skandia NQ VA hers #...8801			M	T					
15. His Hartford VA IRA...6922			N	T					
16. State of MS GO Bond	B	Interest			Redeemed	8/1	K	A	
17. Land in Scott Co., MS			L	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. U.S. Ser. E. Bonds			L	T	Bonds increa				
19. Phoenix Life			M	T	Life Ins.				
20. Community Bancshares of MS	C	Interest	M	T					
21. His ING VA IRA...89AV			N	T	Account Fluc				
22. Davis New York Venture	A	Dividend	J	T					
23. Growth Fund of America	A	Dividend	J	T					
24. New Perspective	A	Dividend	J	T					
25. UBS Tactical Allocation					Sell	3/29	J	A	
26. Lord Abbert Aff.	A	Dividend	J	T					
27. Alliance MF			L	T	Account Fluc				
28. Hartford VA NQ (with JS)			N	T	Account Fluc				
29. Salomon Brothers	A	Dividend	J	T					
30. Her ING VA IRA...29AV			K	T	Account Fluc				
31. FT Dow Dividend	A	Dividend	L	T					
32. FT Dow Dividend	A	Dividend	K	T					
33. FT Target VIP	A	Dividend	K	T					
34. Franklin HY Tax Free	C	Dividend			Sell	12/28	L	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (I-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (I-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Franklin Income	A	Dividend	J	T					
36. ABB Limited			K	T					
37. First Horizon					Sell	3/29	K	A	
38. Silver Standard			K	T					
39. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
40. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
41. VK C&S Muni	D	Dividend	M	T	Buy	12/28	M		
42. VK Financial Inst.	B	Dividend	L	T	Buy	11/19	L		
43. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
44. VanKampen Muni Adv.	A	Dividend			Sell	12/28	K	A	
45. Claymore DG CND Energy	C	Dividend	K	T	Buy	3/29	K		
46. Claymore Zacks	A	Dividend	K	T	Buy	12/28	K		
47. FT Muni	A	Dividend	J	T	Buy	12/31	J		
48. VK C&S Muni	C	Dividend	L	T	Buy	12/28	L		
49. VK EAFE	B	Dividend	K	T	Buy	3/29	K		
50.									
51.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Practically all of my annuities, mutual fund accounts, stocks and bonds are held in my LPL Financial Account.

The proceeds of all sales and redemptions shown in this Report were received in that account and all funds for purchases were disbursed from that account.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

Lee, Tom S

Date of Report

05/14/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

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FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544