



United States District Court
for the District of Columbia
Washington, D.C. 20001

Chambers of
Henry H. Kennedy, Jr.
United States District Judge

August 15, 2007

Ortrie D. Smith
Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

Dear Judge Smith:

RECEIVED
2007 AUG 21 A 10:38
FINANCIAL
DISCLOSURE OFFICE

I write in response to your letter dated August 1, 2007, which, among other things, brings to my attention two paragraphs of my 2006 Annual Financial Disclosure Report to which my response is required. My response is as follows:

1). With respect to Part VII, page 4, lines 8 and 9, for "Van Wagoner Tech Mutual Fund" and "Celera (common)," I wish to amend my disclosure report to indicate that I received no income from either of these assets during the reporting period. Therefore, the word "none" should appear in Column B(2) under "Type" for both assets and Column B(1) under "Amount" for both items is properly blank.

2.) With respect to Part VII, page 3, lines 10 -18, I believe that you are mistaken when you report that my prior report lists various assets. There is an anomaly in my prior report in that lines 10 through 18 are blank and do not list any assets. A listing of reportable assets for some reason stops at line "9" and resumes with line "19."

I hope this is a satisfactory response to your inquiry.

Sincerely,

[Redacted Signature]
Henry H. Kennedy, Jr.
United States District Judge

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KENNEDY, Henry H	2. Court or Organization USDC DC	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address US Prettyman Courthouse Chambers 6903 Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

RECEIVED
2007 MAY 18 A 11:30
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

KENNEDY, Henry H

Date of Report

05/14/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 09/2007	Bell Haven Country Club, Tennis Tournament prize money	\$ 500.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Ashcraft and Gerel - salary
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. The New York Intellectual Property Law Association	New York, NY, March 24, 2006, dinner in honor of Judiciary (transportation and lodging)
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

KENNEDY, Henry H

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Janus Twenty Mutual Fund	B	Dividend	M	T	sell (part)	8/11	L	C	
2. Pepco Stock	A	Dividend	J	T					
3. Citibank Bank Accounts	B	Interest	J	T					
4. Bank of America Bank Account		Interest	J	T					
5. Antioch Credit Union Account	A	Interest	J	T					
6. Lucent Stock (common)		None	J	T					
7. Fidelity Money Market Account	A	Interest	J	T					
8. Van Wagoner Tech Mutual Fund					sell	8/11	J	A	
9. Celera (common)					sell	8/11	J	A	
10. Bigchange Network (preferred)		None	J	W					
11. Brandes Investment Partners (widely held investment fund)	C	Dividend	M	T					
12. E.I. Dupont (common)	A	Dividend	J	T					
13. Hewlett Packard (common)	A	Dividend	J	T					
14. Avaya (common)		None	J	T					
15. Lockwood Financial Services Account	A	Interest	J	T					
16. Agere Systems (common)		None	J	T					
17. Travelers		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

KENNEDY, Henry H

Date of Report

05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

KENNEDY, Henry H

Date of Report

05/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/14/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544