

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Collins, Audrey B.	2. Court or Organization U.S. District Court	3. Date of Report 5/11/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address Edward Roybal Federal Building 255 E. Temple St., Ste. 670 Los Angeles, CA 90012	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1. 1994-2008	Los Angeles County Retirement Association (No Control)
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	Los Angeles County Retirement Association	\$34,444.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2008	Los Angeles County Department of Public Health
2.	
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Just the Beginning Foundation (JTBF), Consortium of Local & Fed. Bar Associations	September 25-28, 2008	Washington, DC	Eighth Biennial JTBF Conf	Subsidized lodging, food, transportation to events at conference (not airfare to/from conference)
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Citibank	Equity Line on rental property in Los Angeles County, CA, was paid off and closed on November 17, 2008.	
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Washington Mutual	A	Interest	K	T					
2. Charles Schwab Brokerage									
3. -- Roche Holding Company	A	Dividend	K	T					
4. -- Givaudan (split from Roche)	A	Dividend	J	T					
5. -- Schwab Money Market Fund	A	Dividend	J	T					
6. Dodge & Cox Balanced Fund	A	Dividend	K	T					
7. American Century Ginnie Mae	A	Dividend	J	T					
8. IRA #1 - Charles Schwab	C	Dividend	M	T					
9. -- MRK									
10. -- MHS									
11. -- PHSY (Now PHS)									
12. -- Gilead									
13. -- VTSMX									
14. -- Roche Holding									
15. -- JAWWX									
16. -- FCVSX									
17. -- TWIEX									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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18. -- TBGVX									
19. -- VFIIX									
20. -- FTCHX									
21. -- GABGX									
22. -- JAMRX									
23. -- VTSMX									
24. -- VIVAX									
25. -- NAESX									
26. IRA #2 - Charles Schwab , See Section VIII	B	Dividend			Roll-over	4/22	L		LACERA
27. -- MRK									
28. -- MHS									
29. -- PHS									
30. -- Gilead									
31. -- Integrated Devices Tech.									
32. -- Cypress Semi-Conductor									
33. -- Roche Holding									
34. -- BGNMX									

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35. Citibank (y)		None	J	T					
36. Mutual Beacon	A	Dividend	J	T					
37. County of L.A. Savings Plan, (See Sect. VIII)		None	J	T	Roll-over	5/21- 6/26	M J		LACERA
38. -- Dodge & Cox Balanced Fund (See Sect. VIII)					Transfer to another fund	10/28	J		LACERA
39. - UAM ICM (small co. fund) (See Sect. VIII)					" "	10/28	J		LACERA
40. -- Stable Value Fund (See Sect. VIII)					" "	10/28	J		LACERA
41. -- Blackrock Core Bond Portfolio (See Sect. VIII)					" "	10/28	J		LACERA
42. -- MSIF Trust Value: INST (See Sect. VIII)					" "	10/28	J		LACERA
43. City National Bank Fund (See Sect. VIII)					Buy	10/28	J		LACERA
44. T. Rowe Price Equity Income	A	Dividend	J	T					
45. Janus Worldwide Fund	A	Dividend	J	T					
46. Victory Investment Grade Convertible	A	Dividend	J	T					
47. Torray Fund	A	Dividend	J	T					
48. GAMCO Westwood Equity Fund	A	Dividend	J	T					
49. Mairs & Power Growth Fund	A	Dividend	K	T					
50. The Royce Fund	A	Dividend	J	T					
51. Greenspring Fund, Inc.	A	Dividend	J	T					

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52. County of Los Angeles Deferred Comp & Thrift Plan - Horizons		None	J	T	Roll-over	5/8 6/26	M J		LACERA
53. Royalty Interest, Los Angeles County, California	B	Royalty	J	T					
54. Royalty Interest, Los Angeles County, California	A	Royalty	J	T					
55. Rental Property, Los Angeles, (See Sect VIII 6/7/04 Appraisal (y))									
56. Rental Property, L.A. Co., CA (X) (See Sect. VIII) (y)									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)***VII. INVESTMENTS AND TRUSTS**

Line 26: On April 22, 2008, [REDACTED] rolled over his entire IRA to the Los Angeles County Employees Retirement Association (LACERA), another qualified distribution plan. The rolled-over funds were subsequently used to purchase additional years of County service for retirement. [REDACTED] no longer has custody or control of these funds.

Line 35: This account now fails the qualifying reporting requirements. No income was generated & its gross value was well under \$5000.

Line 37: In May and June, 2008, [REDACTED] rolled over his funds from his County of LA Savings Plan to the Los Angeles County Employees Retirement Association (LACERA), another qualified distribution plan. The rolled-over funds were subsequently used to purchase additional years of County service for retirement. [REDACTED] no longer has custody or control of these funds.

Lines 38-43: [REDACTED] continued to contribute to the County Savings Plan. In October, 2007, he transferred his investments from the funds listed on lines 38, 39, 41, and 42 to the Stable Value Fund (Line 40) and the City National Bank Fund (line 43).

Line 52: In May & June, 2008, [REDACTED] rolled-over funds in his LA County Thrift Fund Horizons to the Los Angeles County Employees Retirement Association (LACERA), another qualified distribution plan. The rolled-over funds were subsequently used to purchase additional years of County service for retirement. [REDACTED] no longer has custody or control of these funds.

Line 55: This property was taken out of service as a rental property in 2007.

Line 56: This property was taken out of service as a rental property in 2007.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

A black rectangular redaction box covering the signature.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544