

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Cheroff, Michael	2. Court or Organization Court of Appeals, 3rd Circuit	3. Date of Report 5/15/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active.	5. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Judge Michael Cheroff 50 Walnut Street, Room 5037 Newark, NJ 07102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. _____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)DATEPARTIES AND TERMS

1. _____

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FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Chertoff, Michael

Date of Report

5/13/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	12/1/03	Gabriel Hornes - Charitable contribution chosen by Weekly Standard in lieu of payment for published article.	\$400
2.			

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	U.S. Department of Homeland Security
2.	2003	[REDACTED] Trenton, NJ

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	National Constitution Center AEI/ABA	July 10, 2003. Philadelphia, PA. Panelist. Transportation, meals, lodging.
2.	AEI (American Enterprise Institute for Public Policy Research)	August 3, 2003. Washington, DC. Panelist. Transportation, meals, lodging.
3.	Harvard University Long-Term Legal Strategy Project	October 9, 2003. Cambridge, MA. Panelist. Transportation, meals, lodging.
4.	University of North Carolina School of Law	October 10, 2003. Chapel Hill, NC. Keynote speaker. UNC Law Review Panel. Transportation, meals, lodging.
5.	North American Tripartite Commission	November 14-16, 2003. New York, NY. Panelist. Transportation, meals, lodging.
6.	Glasser LegalWorks Complex Class Actions	November 19-20, 2003. New York, NY. Panelist. Transportation.

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IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE — (No such reportable reimbursements.)

SOURCE

DESCRIPTION

7. Glasser LegalWorks Complex Class Actions

December 3-4, 2003. San Francisco, CA. Panelist. Transportation, meals, lodging.

8. ABA/ABA

December 16-17, 2003. Washington, DC. Panelist. Transportation, meals, lodging.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former colleagues, U.S. Department of Justice	Parting gift. (Supreme Court sketch)	\$1,800
2.	Senator and Mrs. Little	Investiture gift. (Crystal water carafe)	\$200
3.	Union County Bar Association	Waterford Crystal clock. Hosted reception on 11/13/03 honoring judicial appointment.	\$200
4.	Geoff Berman	2 tickets to Luciano Pavarotti, Trenton, NJ	\$120

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

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Name of Person Reporting

Chertoff, Michael

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-E)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Wachovia (formerly First Union)	D	Interest	L	T					
2. PNC Bank account	A	Interest	K	T					
3. TIAA CREF NY Corporate Savings Program Fund		None	K	T					
4. Liberty Mutual Funds		None	J	T					
5. Janus Fund		None	J	T					
6. Bernstein Diversified Municipal Fund	E	Dividend	N						
7. Bernstein Tax Managed IVP Fund	B	Dividend	M	T					
8. Bernstein Emerging Markets Portfolio Fund	A	Dividend	K	T					
9. Sanford Bernstein Cash Account	D	Interest	O	T					
10. Smith Barney Money Market / Citibank Deposit Program	C	Interest	O	T					
11. Ende Pharmacy Common Stock		None	J	T					
12. Navitas NJ Premium Income Fund	A	Dividend	K	T					
13. AIM Basic Value Fund C		None	L	T					
14. AIM Constellation Fund A		None	K	T					
15. Calamos Growth Fund Class C		None	L	T					
16. Hartford Capital Appreciation Fund		None	L	T					
17. ING International Value Fund C		None	K	T					
18. Lord Abbett Mid-Cap Value Fund Class C	A	Interest	L	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H = \$1,000,001-\$5,000,000	I = \$5,000,001-\$25,000,000	J = More than \$25,000,000
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	N = \$250,001-\$500,000
(See Columns C1 and D3)	O = \$500,001-\$1,000,000	P = \$1,000,001-\$5,000,000	Q = \$5,000,001-\$25,000,000	R = \$25,000,001-\$50,000,000	S = \$50,000,001-\$250,000,000
	T = \$250,000,001-\$500,000,000	U = \$500,000,001-\$1,000,000,000	V = \$1,000,000,001-\$5,000,000,000	W = \$5,000,000,001-\$25,000,000,000	X = \$25,000,000,001-\$50,000,000,000
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessed	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Chertoff, Michael

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. MFS Value Fund Class C	A	Dividend	L	T					
20. Mainstay Conv. Fund	A	Interest	K	T					
21. Mainstay HI-Yield Fund	B	Dividend	K	T					
22. Pimco Pea Renaissance C		None	L	T					
23. Solomon Brothers Capital Fund 2		None	L	T					
24. Smith Barney Aggressive Growth L		None	L	T					
25. Smith Barney Premium Total Return Fund Class B	A	Dividend	K	T					
26. Smith Barney Large Cap Fund L	A	None	L	T					
27. Templeton Foreign Fund Class C	B	Dividend	L	T					
28. Wanaque NJ SWR AVIH Bonds		None	J	T					
29. Bergen County Utility Autho. Water Poll. Bonds		None	K	T					
30. Mercer County IMPJ Auth. Rev. Bonds		None	J	T					
31. NJ Eco Dev. Auth. St. Barnabas Bonds		None	J	T					
32. Hamilton Twp. Bd. Ed. CTFS		None	K	T					
33. Mercer Co. IMPJ Auth. SUE & Disposal Bonds		None	K	T					
34. NJ EDA Recovery CPN Bonds		None	J	T					
35. NJ EDA St. Barnabas Proj. Bonds		None	J	T					
36. Camden Co. Mun. Util. Auth. Bonds		None	J	T					

1. Source/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	HE = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes:	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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Name of Person Reporting
Cheroff, Michael

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "X" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-E)	Type (e.g. div. rent. or inc.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain: Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
37. Marlboro Twp. NW Mun. Util. Bonds		None	J	T					
38. NJ EDA St. Barnabas Proj. Bonds		None	J	T					
39. West NY, NJ Mun. Util. Swr. Bonds		None	J	T					
40. Jefferson Parish Hospital Bonds	A	Interest	J	T					
41. Detroit Ec. Dev. Res. Rec. Bonds	A	Interest	K	T					
42. NY City G/O Ser. B Bonds	A	Interest	J	T					
43. Port Seattle Wash. State B G/O Bonds	B	Interest	K	T					
44. Fidelity Advisor Growth Oppty Fund		None	K	T					
45. Franklin Mutual Beacon Fund C		None	J	T					
46. Oppenheimer Main St. Growth and Income Fund		None	K	T					
47. Fidelity Magellan Fund	A	Dividend	K	T					
48. Fidelity Cash Res.	A	Dividend	L	T					
49. Fidelity Growth Income Fund	A	Dividend	K	T					
50. Fidelity Puritan Fund	A	Dividend	K	T					
51. Fleet Bank Common Stock	A	Dividend	J	T					
52. Versant Affiliates Fund Partnership Int.	A	Int/Div	J	W	Sale	4/1	J	A	Vintage investments
53. Mangfield Fund V Partnership Int.	A	Interest	J	W	Sale	4/1	J	A	Vintage investments
54. TCV IV Strategic Partners Partnership Interest	A	Interest	J	W	Sale	4/1	J	A	Vintage investments

1. Income/Gain Codes:	A = \$1,000 or less (See Column B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less (See Column C1 and D3)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
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Name of Person Reporting
Chertoff, Michael

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VII. INVESTMENTS and TRUSTS — Income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (excluding trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-E)	(2) Type (e.g. div. inst. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
55. Bay City Capital Partnership Interest	A	Interest	J	W	Sale	4/1	J	A	Vintage investments
56. Idealab Common Stock		None	J	T	Sale	4/1	J	A	Vintage investments
57. Refint Pharmaceutical Common Stock		None	J	T	Sale	4/1	J	A	Vintage investments
58. Expedia Common Stock	A	Capital Gain	J	T	Sale	4/1	J	A	Vintage investments
59. Lincoln, Nebraska Water Rev.	A	Interest	K		Bought	4/25	K		
60. Orange Co. Refunding, North Carolina	A	Interest	K		Bought	3/12	K		
61. Greenville, South Carolina Maturity 2013	A	Interest			Sold	4/8	J	B	
62. Kendall Kane & Will Cos. Sch. Dist. Bonds Maturity 2016	A	Interest			Sold	3/11	K	B	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
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VII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

FINANCIAL DISCLOSURE REPORT

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/13/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Chertoff, Michael	2. Court or Organization Court of Appeals, 3rd Circuit	3. Date of Report 5/13/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active.	5. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address Judge Michael Chertoff 50 Walnut Street, Room 5037 Newark, NJ 07102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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Aug 9 2 45 PM '04
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<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1. 12/1/03	Gabriel Homes - Charitable contribution chosen by Weekly Standard in lieu of payment for published article.	\$400
2.		

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2003	U.S. Department of Homeland Security
2. 2003	[REDACTED] Trenton, NJ

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

<u>SOURCE</u>	<u>DESCRIPTION</u>
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2. AEI (American Enterprise Institute for Public Policy Research)	August 3, 2003. Washington, DC. Panelist. Transportation, meals, lodging.
3. Harvard University Long-Term Legal Strategy Project	October 9, 2003. Cambridge, MA. Panelist. Transportation, meals, lodging.
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December 3-4, 2003. San Francisco, CA. Panelist. Transportation, meals, lodging.

8. AEI/ABA

December 16-17, 2003. Washington, DC. Panelist. Transportation, meals, lodging.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☐ NONE - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Former colleagues, U.S. Department of Justice	Parting gift. (Supreme Court sketch)	\$1,800

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

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VIL INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

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6. Bernstein Diversified Municipal Fund	E	Dividend	N						
7. Bernstein Tax Managed IVP Fund	B	Dividend	M	T					
8. Bernstein Emerging Markets Portfolio Fund	A	Dividend	K	T					
9. Sanford Bernstein Cash Account	D	Interest	O	T					
10. Smith Barney Tax Free Money Market	B	Interest	N	T					
11. Citibank Bank Deposit Program	B	Interest	M	T					
12. Endo Pharmacy Common Stock		None	J	T					
13. Nuveen NJ Premium Income Fund	A	Dividend	K	T					
14. AIM Basic Value Fund C		None	L	T					
15. AIM Constellation Fund A		None	K	T					
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2. Value Codes:	J = \$15,000 or less (See Columns C1 and D5)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	P1 = \$1,000,001-\$5,000,000
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Date of Report
5/13/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Lord Abbett Mid-Cap Value Fund Class C	A	Interest	L	T					
20. MPS Value Fund Class C	A	Dividend	L	T					
21. Mainstay Conv. Fund	A	Interest	K	T					
22. Mainstay Hi-Yield Fund	B	Dividend	K	T					
23. Pimco Pea Renaissance C		None	L	T					
24. Solomon Brothers Capital Fund 2		None	L	T					
25. Smith Barney Aggressive Growth L		None	L	T					
26. Smith Barney Premium Total Return Fund Class B	A	Dividend	K	T					
27. Smith Barney Large Cap Fund L	A	None	L	T					
28. Templeton Foreign Fund Class C	B	Dividend	L	T					
29. Wanaque NJ SWR AVTH Bonds		None	J	T					
30. Bergen County Utility Auth. Water Poll. Bonds		None	K	T					
31. Mercer County IMPJ Auth. Rev. Bonds		None	J	T					
32. NJ Eco Dev. Auth. St. Barnabas Bonds		None	J	T					
33. Hamilton Twp. Brd. Ed. CTFS		None	K	T					
34. Mercer Co. IMPJ Auth. SUE & Disposal Bonds		None	K	T					
35. NJ EDA Recovery CPN Bonds		None	J	T					
36. NJ EDA St. Barnabas Proj. Bonds		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: I = \$15,000 or less J = \$15,001-\$50,000 K = \$50,001-\$100,000 L = \$100,001-\$250,000
(See Columns C1 and D3) M = \$250,001-\$500,000 N = \$500,001-\$1,000,000 O = \$1,000,001-\$5,000,000 P1 = \$5,000,001-\$25,000,000 P2 = \$25,000,001-\$50,000,000
P3 = \$50,000,001-\$100,000,000 P4 = More than \$100,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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Name of Person Reporting
Chertoff, Michael

Date of Report
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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "EX" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-E)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of buyer/seller (if private transaction)
37. Camden Co. Mun. Util. Auth. Bonds		None	J	T					
38. Marlboro Twp. NJ Mun. Util. Bonds		None	J	T					
39. NJ EDA St. Barnabas Proj. Bonds		None	J	T					
40. West NY, NJ Mun. Util. Swr. Bonds		None	J	T					
41. Jefferson Parish Hospital Bonds	A	Interest	J	T					
42. Detroit Ec. Dev. Res. Rec. Bonds	A	Interest	K	T					
43. NY City G/O Ser. B Bonds	A	Interest	J	T					
44. Port Seattle Wash. State B G/O Bonds	B	Interest	K	T					
45. Fidelity Advisor Growth Oppty Fund		None	K	T					
46. Franklin Mutual Beacon Fund C		None	J	T					
47. Oppenheimer Main St. Growth and Income Fund		None	K	T					
48. Fidelity Magellan Fund	A	Dividend	K	T					
49. Fidelity Cash Res.	A	Dividend	L	T					
50. Fidelity Growth Income Fund	A	Dividend	K	T					
51. Fidelity Puritan Fund	A	Dividend	K	T					
52. Fleet Bank Common Stock	A	Dividend	J	T					
53. Versant Affiliates Fund Partnership Int.	A	Int/Div			Sale	4/1	J		Latham & Watkins
54. Mayfield Fund V Partnership Int.	A	Interest			Sale	4/1	J		Latham & Watkins

1. Income/Gain Codes:	A = \$1,000 or less (See Columns B1 and D4)	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D5)	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
3. Value Method Codes	J = \$15,000 or less (See Column C2)	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
	U = Book Value	V = Other	W = Estimated		

-- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. TCV IV Strategic Partners Partnership Interest	A	Interest			Sale	4/1	J		Latham & Watkins
56. Bay City Capital Partnership Interest	A	Interest			Sale	4/1	J		Latham & Watkins
57. Idealab Common Stock		None			Sale	4/1	J		Latham & Watkins
58. Reliant Pharmaceutical Common Stock		None			Sale	4/1	J		Latham & Watkins
59. Expedia Common Stock	A	Capital Gain			Sale	4/1	J		Latham & Watkins
60. Lincoln, Nebraska Water Rev.	A	Interest	K	T	Bought	4/25	K		
61. Orange Co. Refunding, North Carolina	A	Interest	K	T	Bought	3/12	K		
62. Greenville, South Carolina Maturity 2013	A	Interest			Sold	4/8	J	B	
63. Kendall Kane & Will Cos. Sch. Dist. Bonds Maturity 2016	A	Interest			Sold	3/11	K	B	
64. Latham & Watkins		None			Refund	4/1	J		

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and B4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$50,000,001-\$100,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Cheroff, Michael

Date of Report

5/13/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Line 64 of Section VII was inadvertently omitted from the 2003 report.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Chertoff, Michael

Date of Report

5/13/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date _____

8/5/03

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544