

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) Yeakel III, Earl L	2. Court or Organization District Court, W.D. Texas	3. Date of Report 6/14/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address United States Courthouse 200 West 8th Street Austin, Texas 78701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee & Vice President	Theodore Roosevelt Association
2. Trustee	Austin Rotary Club Foundation
3. Director, President-Elect, & President	Rotary Club of Austin
4. Member	University of Texas Longhorn Foundation Advisory Board
5. Member	Texas Commission on Uniform State Laws
6. Member	National Conference of Commissioners on Uniform State Laws
7. President	Austin Chapter, The English-Speaking Union
8. Member	Advisory Board, Austin Lawyers Chapter, The English-Speaking Union

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	_____

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	Texas Court of Appeals, Third District	\$71,566.64
2.	2003	Travis County, Texas	~ \$2,712.50

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	State Bar of Texas

IV. REIMBURSEMENTS — transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.		

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Austin Chapter, Federal Bar Association	Investiture Ceremony	\$5,250
2.	Travis County Bar Association	Investiture Ceremony	\$5,250

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☐ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.	Liberty Bank SSB	Line of Credit	K

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. AXP Common Stock	A	Dividend			Sold	11/14	J	D	
2. BUD Common Stock	A	Dividend			Sold	11/24	K	E	
3. TWX Common Stock (formerly AOL)		None			Sold	11/24	J	B	
4. BA Common Stock	A	Dividend	J	T					
5. BMY Common Stock	A	Dividend			Sold	11/24	J		
6. CASS Common Stock	A	Dividend			Sold	12/26	J	A	
7. SCH Common Stock	A	Dividend	J	T					
8. CVX Common Stock	A	Dividend	K	T					
9. C Common Stock	A	Dividend	J	T					
10. CMCSA Common Stock		None			Sold	12/02	J	A	
11. DCX Common Stock	A	Dividend			Sold	11/24	J		
12. DELL Common Stock		None	L	T					
13. XOM Common Stock	A	Dividend	J	T					
14. F Common Stock	A	Dividend	J	T					
15. HPQ Common Stock	A	Dividend			Sold	11/24	J		
16. HD Common Stock	A	Dividend	J	T					
17. INTC Common Stock	A	Dividend	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
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18. JPM Common Stock	A	Dividend	J	T					
19. LEH Common Stock	A	Dividend			Sold	11/14	J	A	
20. MSFT Common Stock		None	J	T					
21. PDC Common Stock		None	J	T					
22. RG Common Stock		None	J	T					
23. RD Common Stock	A	Dividend	K	T					
24. LUV Common Stock	A	Dividend	L	T					
25. SPND.OB Common Stock		None	J	T					
26. TGT Common Stock	A	Dividend			Sold	11/24	J	B	
27. UCL Common Stock	A	Dividend	J	T					
28. VIAb Common Stock		None	J	T					
29. VZ Common Stock	A	Dividend	J	T					
30. DIS Common Stock	A	Dividend	J	T					
31. WFC Common Stock	A	Dividend			Sold	11/24	J	B	
32. GTTCX Mutual Fund	A	Dividend	J	T					
33. FBGRX Mutual Fund	A	Dividend	K	T					
34. FHKCX Mutual Fund	A	Dividend	J	T					
35. FTEXX Mutual Fund	A	Dividend	J	T					

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36. FGRXX Mutual Fund	A	Dividend	J	T					
37. GHPXX Mutual Fund									
38. Vanguard Growth Index Fund	B	Dividend			Sold	09/05	K	D	
39. VWITX Mutual Fund	A	Dividend	J	T					
40. VMMXX Mutual Fund	A	Dividend	K	T					
41. FREEX Mutual Fund		None			Sold	10/23	K	D	
42. AWSHX Mutual Fund		None			Sold	10/23	K	D	
43. PNOPX Mutual Fund		None			Sold	10/23	L		
44. FRBSX Mutual Fund		None			Sold	10/23	K	D	
45. Employees Retirement System of Texas		None			Sold	09/19	K		
46. JP Morgan Chase Bank (Savings)	A	Interest	K	T					
47. JP Morgan Chase Bank (Checking)	A	Interest	J	T					
48. JP Morgan Chase Bank (Checking)		None			Closed Acct	05/19	J		
49. Liberty Bank SSB (CDs)	B	Interest	L	T					
50. Liberty Bank SSB (Checking)		None	J	T					
51. Wells Fargo Bank (Checking)		None	J	T					
52. Wells Fargo Bank (Checking)	A	Interest	J	T					
53. Oil & Gas Working Interests, San Juan County, NM (See Note)	D	Royalty	N	T	Sold Part	09/27	J	D	

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54. [REDACTED] Irrevocable Trust	C	Distribution	L	T					
55. Great Hall Investment Funds Prime	A	Dividend	K	T	Bought	10/31	M		
56. Great Hall Investment Funds Prime					Sold	12/22	M		
57. Calamos Growth & Income - A (Mutual Fund)		None	K	T	Bought	12/22	K		
58. American Balanced (Mutual Fund)		None	K	T	Bought	12/22	K		
59. Kopp Emerging Growth Fund Class A (Mutual Fund)		None	K	T	Bought	12/22	K		
60. Thornburg Value Fund Class A (Mutual Fund)		None	K	T	Bought	12/22	K		
61. FPA Capital (Mutual Fund)		None	K	T	Bought	12/22	K		
62. Scudder Drennan High Return Equity Fund Class A (Mutual Fund)		None	K	T	Bought	12/22	K		
63. LU Common Stock (X) (See Note)		None			Sold	11/24	J		
64. ZMH Common Stock (X) (See Note)		None			Sold	11/24	J	B	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

1. Part VII, item 53. The oil & gas working interests in San Juan County, New Mexico, are operated by XTO Energy, Inc.
2. Part VII, items 63 & 64. The Lucent Technologies (LU) and Zimmer Holdings, Inc. (ZMH) common stocks were not listed on the previous report as neither had a fair market value in excess of \$1000 nor had I received income in excess of \$200 from either during the reporting period. At the time the shares were sold, each realized slightly over \$1000 and thus are reported here.

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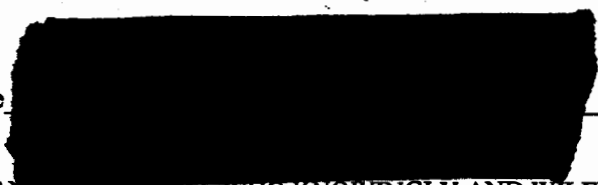
6/14/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date June 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544