

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. app. §§101-111)

1. Person Reporting (Last name, first, middle initial) Martin, Jr., Boyce F.		2. Court or Organization Sixth Circuit, USCA		3. Date of Report May 10, 2007	
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge		5a. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual ____ Final		6. Reporting Period 01/01/2006 - 12/31/2006	
		5b. ____ Amended Report			
7. Chambers or Office Address 209 United States Courthouse 601 W. Broadway Louisville, KY 40202-2227		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____			

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Trustee	Hanover College, Hanover, Indiana
2	
3	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	
A. Filer's Non-Investment Income		
☒ NONE (No reportable non-investment income.)		
1		\$
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)	
1	
2	

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Martin, Jr., Boyce F.

Date of Report

May 10, 2007

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)SOURCEDESCRIPTION☐

NONE (No such reportable reimbursements.)

1

University of Michigan

Transportation, lodging and food

2

3

4

5

6

7

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)SOURCEDESCRIPTIONVALUE☒

NONE (No such reportable gifts.)

1

\$

2

\$

3

\$

4

\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)CREDITORDESCRIPTIONVALUE CODE*☒

NONE (No reportable liabilities.)

1

2

3

4

5

*Value Codes:

J=\$15,000 or less

K=\$15,001-\$50,000

L=\$50,001-\$100,000

M=\$100,001-\$250,000

N=\$250,001-\$500,000

O=\$500,001-\$1,000,000

P1=\$1,000,001-\$5,000,000

P2=\$5,000,001-\$25,000,000

P3=\$25,000,001-\$50,000,000

P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Martin, Jr., Boyce F.

May 10, 2007

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions.)									
1 IRA - Kobren Growth Fund	D	Div	N	T	Redemption 10/20/06		J	A	Distribution due reaching 70 1/2
2 Kentucky Tax Free Income Fund (Dupree)	C	Div	M	T					
3 CGM Realty Fund	D	Div	N	T					
4 IRA - T. Rowe Price Mid Cap Fund (Ky. Deferred Income)	C	Div	N	T					
5 Merrill Lynch - Harvey	C	Div	P1	T					
6 Fund Northeast Investors	B	Div	K	T					
7 Trust Fund Vanguard Asset Allocation	B	Div	K	T					
8 Fund Kobren Growth Fund	B	Div	M	T					
9 Sachs Company Fund - On January 1, 2006 this fund became part of the Fidelity Family of Funds:									
10 Fidelity Growth and Income Portfolio	D	Div	P1	T					
11									
12									
13									
14									
15									
16									
17									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Martin, Jr., Boyce F.

Date of Report

May 10, 2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date May 10, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) MARTINEZ, JOSE E	2. Court or Organization DISTRICT COURT - S DIST OF FL	3. Date of Report 03/19/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 301 NORTH MIAMI AVENUE THIRD FLOOR MIAMI, FL 33128	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER OF THE BOARD OF DIRECTORS	FEDERAL JUDGES ASSOCIATION
2.	
3.	
4.	
5.	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

MARTINEZ, JOSE E

Date of Report

03/19/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. FEDERAL JUDGES ASSOCIATION	MAY 6 - WASHINGTON, DC, MTG OF BOARD OF DIRECTORS (AIRFARE, ROOM, TAXIS, TIPS, INTERNET ACCESS)
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

MARTINEZ, JOSE E

Date of Report

03/19/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

MARTINEZ, JOSE E

Date of Report

03/19/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERICAN ELECTRIC POWER CO (COMMON STOCK HELD BY [REDACTED])	A	Dividend	J	T	NONE				
2. DOMINION DIRECT (COMMON STOCK HELD BY [REDACTED])	B	Dividend	K	T	NONE				
3. SOUTHERN COMPANY (COMMON STOCK OWNED BY [REDACTED])	A	Dividend	J	T	NONE				
4. WESTAR ENERGY INC (COMMON STOCK OWNED BY [REDACTED])	A	Dividend	J	T	NONE				
5. MCDONALDS CORPORATION (COMMON STOCK OWNED BY [REDACTED])	A	Dividend	J	T	NONE				
6. UNIVERSITY CREDIT UNION	B	Interest	K	T	NONE				
7. ING DIRECT	C	Interest	M	T	NONE				
8. WACHOVIA BANK DEPOSIT SWEEP ACCT (OWNED BY [REDACTED])	A	Interest	J	T	NONE				
9. SALOMON BROS CAP FD INC CL C (OWNED BY [REDACTED])	B	Dividend			NAME CHANGE	11/17	L		
10. SMITH BARNEY AGGR GROWTH FD CL C (OWNED BY [REDACTED])		None			NAME CHANGE	4/11	L		
11. IRA #1 SALOMON BROS. CAP FD INC CL C (OWNED BY [REDACTED])	B	Dividend			NAME CHANGE	11/17	K		
12. IRA #1 SMITH BARNEY AGGR GROWTH FD CL C (OWNED BY [REDACTED])		None			NAME CHANGE	4/11	K		
13. IRA #1 WACHOVIA BANK DEPOSIT SWEEP ACCT (OWNED BY [REDACTED])	A	Interest	J	T	NONE				
14. IRA #2 SALOMON BROS. CAP FD INC. CL C	B	Dividend			NAME CHANGE	11/17	L		
15. IRA #2 SMITH BARNEY AGGR GROWTH FD CL C		None			NAME CHANGE	4/11	K		
16. IRA #2 WACHOVIA BANK DEPOSIT SWEEP ACCT	A	Interest	J	T	NONE				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

MARTINEZ, JOSE E

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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17. LEGG MASON PARTNERS CAP FUND INC CL C (OWNED BY [REDACTED])	C	Dividend	L	T	NAME CHANGE	11/17	L		
18. LEGG MASON PARTNERS AGGRESS GROWTH FD CL C (OWNED BY [REDACTED])		None	L	T	NAME CHANGE	4/11	L		
19. IRA#1 LEGG MASON PRTNRS AGGRESS GRWTH FDCL C OWNED BY [REDACTED]		None	K	T	NAME CHANGE	4/11	K		
20. IRA#1 LEGG MASON PRTNRS CAP FD INC CL C (OWNED BY [REDACTED])	B	Dividend	K	T	NAME CHANGE	11/17	K		
21. IRA#1 FIRST TRUST TARGET FOCUS (OWNED BY [REDACTED])	A	Dividend	J	T	BUY	6/30	J		
22. IRA #2 LEGG MASON PARTNERS AGGRESSIVE GROWTH FD CL C		None	K	T	NAME CHANGE	4/11	K		
23. IRA #2 LEGG MASON PARTNERS CAP FD INC CL C	C	Dividend	L	T	NAME CHANGE	11/17	L		
24.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

MARTINEZ, JOSE E

Date of Report

03/19/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

THE [REDACTED] NO LONGER HAS AN INTEREST IN SPANISH RADIO SERVICES, INC. BECAUSE THE COMPANY WAS CLOSED AT THE END OF 2006.

IN THE INVESTMENT ACCOUNTS, THERE WERE TWO SECURITIES THAT CHANGED NAMES:

FROM: SALOMON BROS. CAP FUND INC CL C TO: LEGG MASON PARTNERS CAP FUND INC CL C, AND

FROM: SMITH BARNEY AGGRESSIVE GROWTH FUND CL C TO: LEGG MASON PARTNERS AGGRESSIVE GROWTH FUND CLASS C

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

MARTINEZ, JOSE E

Date of Report

03/19/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

Date

30 April 07

NOTE: ANY INDIVIDUAL WHOSE NAME APPEARS ON THIS REPORT WHO DOES NOT PREPARE OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544