

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) HEARTFIELD, THAD	2. Court or Organization U.S.D.C. (TEXAS EASTERN)	3. Date of Report 03/30/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) DISTRICT COURT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address POST OFFICE BOX 949 BEAUMONT, TEXAS 77704	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Annuity Ins. Co. (AIG)	A	Interest	J	T					
2. Annuity Ins. Co. (AIG)	A	Interest	J	T					
3. Southtrust Bank, Checking	A	Interest	K	T					
4. Southtrust Bank, Checking	A	Interest	J	T					
5. Southtrust Bank, Checking	A	Interest	J	T					
6. Hebbroville State Bank Stock		None	J	T					
7. Anadarko Petroleum Corp.	A	Royalty	J	T					
8. General American Universal Life		None	K	T					
9. UBS RMA	B	Dividend	L	T					
10. UBS IRA#1 Money Market	A	Dividend	K	T					
11. FICO strips 2/3/08		None	K	T					
12. US Treas. strips 5/15/09		None	L	T					
13. Fannie Mae (FMNA) 6.15 12/10/07	B	Interest	K	T					
14. Fed. Home Loan Mortgage (FHLMC) 5.125 10/15/08	B	Interest	K	T					
15. Fed. Home Loan Bank 5.79 4/27/09	B	Interest	K	T					
16. FNMA Call Step-up Nts 4.0 9/21/12	A	Interest	K	T	Buy	3/9	K		
17. FHLMC Callable 4.625 5/28/13	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. FHLMC Step up Mtn 4.00 10/15/16	A	Interest	K						
19. FHLB Callable 5.00 6/26/18	B	Interest	K						
20. Alliance Bernstein Grth & Inc.	A	Dividend	K						
21. MS Equally Weighted S&P 500 Fund	A	Dividend	J	T					
22. Oppenheimer Strategic Income	C	Dividend	L	T					
23. Federated Bond Fund	C	Dividend	L	T					
24. Davis New York Venture	A	Dividend	K	T					
25. Pimco Total Return Fund	C	Dividend	M	T					
26. Bond Fund of Amer.	C	Dividend	L	T					
27. EuroPacific Growth	C	Dividend	L	T					
28. Growth Fund of America	A	Dividend	L	T					
29. Investment Co. of America	C	Dividend	L	T					
30. American National CD 5.6 CPN 2/28/05	A	Interest	K	T	Redemption	2/28	K	A	
31. First USA Bank CD 5.75 2/28/06	A	Interest	K	T					
32. Greenwood Trust CD 7.00 CPN 1/26/10	A	Interest	J	T					
33. Discover Bank CD 6.95 CPN 8/9/10	A	Interest	J	T					
34. Discover Bank CD 6.8 CPN 9/7/10	A	Interest	J	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P4 = More than \$50,000,000
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Provident Nat'l CD 6.10 CPN 3/7/11	B	Interest	K	T					
36. Hartford Select (HTF) Leaders 2 Var. Annuity									
37. HTF Amer. Growth Fund		None	K	T					
38. American Grth. & Income		None	K	T					
39. American Global Grth		None	K	T					
40. Franklin Mutual Shares		None	K	T					
41. MFS Total Return		None	K	T					
42. UBS IRA #2									
43. Hartford Select Leaders Variable Annuity (HTF)									
44. HTF American Growth & Income		None	K	T					
45. HTF American Growth & Income		None	K	T					
46. HTF American Global Growth		None	K	T					
47. HTF Franklin Mutual Shares		None	K	T					
48. HTF MFS Total Return		None	K	T					
49. Money Market	A	Dividend	J	T					
50. Nuveen Insured Muni. Oppor. Fund	B	Dividend	K	T					
51. Nuveen Insured Quality Muni. Fund	B	Dividend	K	T					

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	(1) Amount Code 1 ()	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Nuveen Insured Premium Income Fund	B	Dividend	K	T					
53. Bank Deposit Program	A	Interest	K	T					
54. Dallas TX ISD Bond 4.25% due 8/15/10	B	Interest	K	T					
55. Houston TX Area Water Corp. 5.5% due 3/1/12	B	Interest	K	T					
56. Pearland TX Cert of Oblig. 5.25% due 3/1/07	B	Interest	K	T					
57. Plano TX ISD 4.9% due 2/15/06	B	Interest	K	T					
58. Rowlett TX Cert. of Oblig. 5.5% due 2/15/07	B	Interest	K	T					
59. Sachse TX Cert. of Oblig. 5.0% due 2/15/08	B	Interest	K	T					
60. San Antonio TX Elec. & Gas 5.25% due 2/1/11	B	Interest	K	T					
61. San Antonio TX Water Rev. Bond 5.0% due 5/15/13	B	Interest	K	T					
62. San Antonio TX Elec. & Gas 5.25% due 2/1/14	B	Interest	K	T					
63. Spring TX ISD Series A 4.4% due 8/15/11	B	Interest	K	T	Buy	3/17	K	A	
64. TX State Rfdg. Series B 5.125% due 10/1/09	B	Interest	K	T					
65. TX State PFA Bond 5.7% due 2/1/05	A	Interest			Matured	2/1	K	A	
66. Tarrant Cnty. TX College Dist. 3.5% due 2/15/10	B	Interest	K	T					
67. TX Tech Univ. Revs. Fing. Sys. 5.0% due 8/15/25	B	Interest	K	T					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. Investments and Trusts - Lines 9 through 49 have been transferred from Morgan Stanley to UBS.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

3-30-06

NOTE: ANY PERSON WHO KNOWINGLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544