

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SPARKS, SAM	2. Court or Organization DISTRICT COURTS--Texas Western	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) ACTIVE ARTICLE III JUDGE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States Courthouse 200 West Eighth St., Suite 100 Austin, Texas 78701-2333	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. State Bar of Texas	July 20-21, Galveston, Texas. Speaker at educational seminar (transportation, hotel, meals)
2. Texas Trial Lawyers Association	October 13, Dallas, Texas. Speaker at educational seminar (transportation, lunch)
3. American Board of Trial Advocates, Waco Chapter	November 16, Waco, Texas. Speaker at educational seminar (transportation to/from Waco, dinner)
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Condominium (20%) [REDACTED]		None	L	T	Buy 5%	11-09	K		Justin Sparks
2.									
3. CASH ACCOUNTS:									
4. American Bank of Commerce	B	Interest	L	T					
5. Chase Bank of Texas Accounts	C	Interest	N	T					
6. Dain Rauscher account (Tarmack Investment FDS)	C	Dividend	M	T					
7.									
8. STOCKS:									
9. Hilton Hotels Corp.					Sold	08-15	K	E	Market
10. Kirby Corp.		None	N	T					
11. Kirby Corp.					Partial sale	01-26	L	E	Market
12. Kirby Corp.					Partial sale	01-27	L	E	Market
13. Kirby Corp.					Partial sale	02-21	L	E	Market
14. Kirby Corp.					Partial sale	02-22	L	E	Market
15. Kirby Corp.					Partial sale	02-27	L	E	Market
16. Kirby Corp.					Partial sale	02-28	L	E	Market
17. Kirby Corp.					Partial sale	03-01	L	E	Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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18. Occidental Petroleum	A	Dividend	J	T					
19.									
20. BONDS:									
21. Allen TX ISD GO	B	Interest	K	T					
22. Allen TX ISD GO	A	Interest	J	T					
23. Arlington TX ISD	A	Interest	K	T					
24. Austin TX Community College	B	Interest	K	T					
25. Austin Tx GO	A	Interest	K	T	Buy	03-02	K		Market
26. Austin TX Higher Ed Auth	A	Interest	J	T					
27. Barbers Hill TX GO	A	Interest	K	T					
28. Baytown Tx GO	B	Interest	K	T					
29. Bexar Cnty Tx GO	A	Interest	K	T	Buy	01-31	K		Market
30. Canadian River Mun. Tx. Rv.	B	Interest	K	T					
31. Canyon TX ISD GO	A	Interest	K	J					
32. Carrollton TX GO	A	Interest	K	T					
33. Cedar Hill TX ISD	A	Interest	J	T					
34. Cedar Park TX Util Sys	B	Interest	K	T					

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35. Columbia & Brazoria Co. GO	B	Interest	K	T					
36. Columbia & Brazoria GO	B	Interest	K	T					
37. Comal TX ISD	A	Interest	J	T					
38. Collin Co. TX GO	B	Interest	K	T					
39. Crowley TX ISD GO	A	Interest	K	T					
40. Crowley TX ISD GO	A	Interest	K	T					
41. Dallas TX ISD	A	Interest	K	T	Buy	08-15	K		Market
42. Del Valle TX ISD GO	A	Interest	K	T	Buy	08-15	K		Market
43. Denton Tx Elec Rev	A	Interest	J	T					
44. Denton TX Util. Sys.	A	Interest	K	T	Buy	01-13	K		Market
45. Dickinson TX ISD GO	B	Interest	K	T					
46. Duncanville TX ISD	A	Interest	K	T	Buy	03-06	K		Market
47. Eagle Mtn. & Saginaw GO	A	Interest	K	T	Buy	02-21	K		Market
48. El PasoTX GO	A	Interest	K	T	Buy	08-15	K		Market
49. El Paso Tx New Pub	A	Interest	J	T					
50. Forney TX ISD GO	A	Interest	J	T					
51. Ft. Worth TX ISD		None	K	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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52. Ft. Worth TX ISD GO	A	Interest	K	T					
53. Frisco Tx ISD GO	A	Interest	K	T					
54. Galveston TX Wtrwks	A	Interest	K	T	Buy	01-31	K		Market
55. Grand Prairie ISD GO	B	Interest			Redeemed	02-15	K	A	Market
56. Grapevine TX GO	A	Interest	J	T					
57. Grapevine TX Wtr Swr	A	Interest	J	T					
58. Harlandale TX ISD GO	A	Interest	J	T					
59. Harris Cnty TX Flood GO	A	Interest	K	T	Buy	02-02	K		Market
60. Hawkins TX ISD GO	A	Interest	K	T	Buy	03-02	K		Market
61. Highland Park TX ISD	A	Interest	J	T					
62. Houston Tx Wtr Sys	B	Interest	K	T					
63. Huntsville TX Ind GO	A	Interest	J	T					
64. Hurst-Euless-Bedford TX	A	Interest	K	T					
65. Irving TX Wtr Wk Swr	A	Interest	K	T					
66. Judson TX ISD	A	Interest	J	T					
67. Katy TX ISD	A	Interest	K	T					
68. Katy TX ISD GO	A	Interest	J	T					

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69. Lancaster TX ISD GO	A	Interest	K	T	Buy	08-16	K		Market
70. Leander TX ISD GO	A	Interest	J	T					
71. Leander TX ISD GO	A	Interest	K	T					
72. Lewisville TX ISD GO	A	Interest	J	T	Buy	01-31	J		Market
73. Lewisville TX ISD GO	A	Interest			Redeemed	09-29	K	A	Market
74. Lindale TX ISD GO	A	Interest	J	T	Buy	02-10	J		Market
75. Lubbock TX Elec. Lt & Pwr.	A	Interest	J	T	Buy	01-31	J		Market
76. Lubbock TX GO	A	Interest	K	T					
77. Lufkin TX Wtrwks	A	Interest	J	T	Buy	01-31	J		Market
78. Meridan TX ISD GO	A	Interest	J	T	Buy	03-07	J	A	Market
79. New Caney TX ISD GO	A	Interest	K	T	Buy	01-31	K		Market
80. North East TX ISD GO	A	Interest			Redeemed	02-01	K	A	Market
81. North Forest ISD GO	A	Interest	J	T	Buy	02-21	J		Market
82. Orange TX GO	A	Interest	K	T	Buy	08-15	K		Market
83. Pearland TX ISD GO	A	Interest	K	T					
84. Pflugerville TX GO	A	Interest	K	T					
85. Plano TX GO	A	Interest	K	T					

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86. Prosper TX ISD GO	A	Interest	K	T					
87. Round Rock Tx	A	Interest	J	T					
88. Sam Rayburn TX Mun Pwr	A	Interest	J	T					
89. San Angelo TX GO	A	Interest	K	T					
90. San Antonio TX Rev	A	Interest	K	T	Buy	02-16	K		Market
91. San Marcos TX GO	B	Interest	K	T					
92. San Marcos TX GO PSF	A	Interest	K	T	Buy	01-31	K		Market
93. Sealy TX ISD GO	A	Interest	K	T					
94. Seguin TX GO	B	Interest	K	T					
95. Southlake TX GO	A	Interest	K	T					
96. Spring TX ISD GO	A	Interest	J	T					
97. Texas St GO	A	Interest	K	T	Buy	02-21	K		Market
98. Tx St Pub Fin Auth		None			Redeemed	02-01	J	A	Market
99. Travis Co. TX	A	Interest	J	T					
100. Travis Co TX GO	A	Interest	J	T					
101. Washington State G.O.		None	J	T					
102. Waxahachie TX ISD		None			Redeemed	02-15	J	A	Market

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103. Weatherford TX Util. Sys.	B	Interest	K	T					
104. Whitehouse TX ISD GO Rev	A	Interest	K	T					
105. Williamson Cnty TX Rd	A	Interest	K	T	Buy	01-31	K		Market
106. Willis ISD		None	J	T					
107. Willis TX ISD GO	B	Interest	K	T					
108. Willis TX ISD GO	A	Interest	K	T					
109. UT Austin Rev.	B	Interest	K	T					
110. UT Austin Rev.	B	Interest	K	T					
111. Upper Trinity Reg. Auth.	A	Interest			Redeemed	10-10	K	A	Market
112.									
113. DAIN, RAUSCHER IRA:									
114. American Balance	C	Dividend	L	T					
115. Bristol Myers Squibb Co.	A	Dividend	K	T					
116. Chevron-Texaco Corp.	D	Dividend	M	T					
117. E Trade Group		None			Sold	08-15	L	E	Market
118. Earthlink		None	J	T					
119. Friede Goldman Halter		None	J	T					

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120. Genitope Corp.		None	J	T					
121. Gov't Nat'l MTG Assn. II Pool 3663	A	Interest	K	T					
122. Gov't Nat'l MTG Assn. II Pool 622080	A	Interest	K	T					
123. Gov't Nat'l MTG Assn. II Pool 3700	A	Interest	L	T					See note in VIII
124. Gov't Nat'l MTG Assn. II Pool 3709	A	Interest	L	T					
125. Gov't Nat'l MTG Assn. II Pool 3772	A	Interest	L	T					
126. Gov't Nat'l MTG Assn. II Pool 3664	A	Interest	M	T					
127. Gov't Nat'l MTG Assn. II Pool 3747	A	Interest	M	T					
128. Gov't Nat'l MTG Assn II Pool #1 (Reassigned Pool 579546)	A	Interest	J	T					
129. Gov't Nat'l MTG Assn II Pool #2 (Reassigned Pool 3228)	A	Interest	J	T					
130. Gov't Nat'l MTG Assn II Pool #3 (Reassigned Pool 3542)	B	Interest	K	T					
131. Gov't Nat'l MTG Assn II Pool #4 (Reassigned Pool 3554)	B	Interest	K	T					
132. Gov't Nat'l MTG Assn II Pool #5 (Reassigned Pool 3556)	E	Interest	N	T					
133. Gov't Nat'l MTG Assn II Pool #6 (Reassigned Pool 003595)	A	Interest	M	T					
134. Gov't Nat'l Mtg Assn Pool #3851	A	Interest	M	T	Buy	08-25	M		Market
135. Gov't Nat'l Mtg Assn Pool #3851	A	Interest	K	T	Buy	09-26	K		Market
136. Gov't Nat'l Mrg Assn Pool #003830	A	Interest	K	T	Buy	04-19	K		Market

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$55,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	U = Book Value				

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. Govt Natl Mrg Assn Pool #003830	A	Interest	K	T	Buy	06-27	K		Market
138. Govt Natl Mtg Assn Pool #003795	B	Interest	K	T	Buy	02-21	K		Market
139. Halliburton	D	Dividend	K	T					
140. Hilton Hotels Corp.	A	Dividend			Sold	08-15	K	D	Market
141. Perot Sys Corp CDT		None			Sold	08-15	J	A	Market
142. Smallcap World Fd Inc.	B	Dividend			Sold	02-21	M	F	Market
143. Spanish Broadcasting, Inc.		None	J	T					
144. Steris Corp.		None	K	T					
145. Tamarack Investment FDS	A	Interest	J	T					
146.									
147. MISCELLANEOUS:									
148. LRF Limited Pship	A	Royalty	J	W					
149.									
150. ██████████ SEPARATE PROPERTY									
151. EDWARD JONES --									
152. Capital Income Builder Fund	B	Dividend	L	T					
153. Goldman Sachs Cap-A		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. Growth Fund of Am.	A	Dividend	K	T					
155. Tax Exempt Bond Fd of Am	B	Interest	K	T					
156. Van Kampen Comstock		None	J	T					
157. BENIFICIARY-CB&T Trustee IRA of [REDACTED]	C	Distribution							
158. American Mutual Fund Inc	A	Dividend	K	T					
159. Bond Fund of American Inc	A	Dividend	K	T					
160. Capital World Growth	A	Dividend	K	T					
161. IRA-Edward Jones Custodian [REDACTED] (IRA)									
162. Hartford Cap. Appreciation CLA	A	Dividend	J	T					
163. Hartford Global Leaders CLA	A	Dividend	J	T					
164. Hartford Stock Fund CLA	A	Dividend	J	T					
165. Annuity-Sunamerican Life Insurance Polaris Variable Annuity	A	Dividend	K	T					
166. Property - DeBaca Co, New Mexico		None	J	W					
167. Property - Brewster Co, TX		None	J	W					
168. Royalty Interest - Upton Co, TX	A	Royalty	J	W					
169. Royalty Interest - Palo Pinto Co, TX	A	Royalty	J	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Line 123: 2005 Report had two entries for Govt. Nat'l Mtg Assn. II Pool 3700, as purchases were made on two separates dates (04-20 and 06-21). For the 2006 report, these two items were combined, and Govt. Nat'l Mtg Assn. II Pool 3700 is only listed once.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-15-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544