AO 10
Rev. 1/2008

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008

Report Required by the Ethics in Government Act of 1978 (5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial)	2. Court or Organization	3. Date of Report
Lynch, Sandra L.	U.S. Court of Appeals, 1st Cir	5/31/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)	**5a. Report Type** (check appropriate type)	**6. Reporting Period**
U.S. Circuit Judge (active)	☐ Nomination, Date ☐ Initial ☑ Annual ☐ Final **5b.** ☐ Amended Report	01/01/2008 to 12/31/2008
7. Chambers or Office Address	**8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.**	
United States Courthouse 1 Courthouse Way, Suite 8710 Boston, MA 02210	**Reviewing Officer**_____ **Date**_____	

IMPORTANT NOTES: ***The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.***

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☑ NONE *(No reportable positions.)*

	POSITION	NAME OF ORGANIZATION/ENTITY
1.		
2.		
3.		
4.		
5.		

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FINANCIAL DISCLOSURE OFFICE

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☑ NONE *(No reportable agreements.)*

	DATE	PARTIES AND TERMS
1.		
2.		
3.		

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE	INCOME (yours, not spouse's)
1.			
2.			
3.			
4.			

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☑ NONE *(No reportable non-investment income.)*

	DATE	SOURCE AND TYPE
1.		
2.		
3.		
4.		

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE *(No reportable reimbursements.)*

	SOURCE	DATES	LOCATION	PURPOSE	ITEMS PAID OR PROVIDED
1.	Yale Law School	12/11/08	New Haven, CT	Moot Court	Transportation, lodging and meals
2.					
3.					
4.					
5.					

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☑ NONE *(No reportable gifts.)*

	SOURCE	DESCRIPTION	VALUE
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☑ NONE *(No reportable liabilities.)*

	CREDITOR	DESCRIPTION	VALUE CODE
1.			
2.			
3.			
4.			
5.			

Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
1. Fidelity Mass Muni MM	B	Dividend	L	T					
2. Bank of America	A	Interest	M	T					
3. FIDELITY TRADITIONAL IRA	B	Dividend	L	T					
4. - Fidelity Disciplined Equity									
5. - Fidelity Cash Reserves									
6. Fidelity Investments Core Cash	C	Interest	N	T					
7. ABA Members Retirement Plan (See note, Section VIII)	A	Dividend	K	T					
8. - Stable Asset Return Fund									
9. - Moderate Portfolio Fund									
10. IRA-1 (Formerly TA IRA 1)	D	Dividend	P1	T					
11. - Parametric Technology Corp New									
12. - Federal Home Loan Bk 4% 2010					Sold	01/30	K		
13. - Pimco Real Return Fund Cl C									
14. - UBS Bank Dep Acct									
15. - Ameris Bank CD					Buy	09/22	L		
16.					Sold	10/30	L		
17. - Banco Popular CD					Buy	9/16	L		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

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18.					Sold	12/24	L		
19. - GE Capital Fin CD					Buy	9/18	L		
20.					Sold	12/26	L		
21. - GE Money Bank CD					Buy	9/18	L		
22.					Sold	12/26	L		
23. - Israel Disc Bank CD					Buy	9/18	L		
24.					Sold	12/26	L		
25. - Plainscapital Bank CD					Buy	9/18	L		
26.					Sold	11/26	L		
27. - State Bank CD					Buy	9/18	L		
28.					Sold	12/26	L		
29. - Texas Capital Bank CD					Buy	9/18	L		
30.					Sold	12/01	L		
31. - Zions First Natl Bank CD					Buy	09/19	L		
32.					Sold	10/27	L		
33. - Deustch Bank MSCI EAFE					Buy	1/28	O		
34.					Sold	9/17	O		

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35. - UBS S&P 500					Buy	1/28	P1		
36.					Sold	9/16	P1	D	
37. - Compass Bank CD					Buy	9/16	L		
38. - Goldman Sachs BK CD					Buy	11/06	L		
39. - Republic B&T CD					Buy	11/06	L		
40. - Gateway Bk & Tr CD					Buy	9/16	L		
41. - Midwest Bk & Tr CD					Buy	9/16	L		
42. - MidFirst Bk CD					Buy	9/16	L		
43. - Capital One Bank NA CD					Buy	9/19	L		
44. - Capital One USA CD					Buy	9/19	L		
45. - GreenBank CD					Buy	9/19	L		
46. - Comerica Bk CD					Buy	9/22	L		
47. - Lehman Bros S&P 500 structured product					Buy	1/28	O		
48. IRA-2 (Formerly TA IRA 2)	E	Dividend	P1	T					
49. - Johnson & Johnson									
50. - Medtronic Inc.					Sold (part)	1/23	L	D	
51. - Pepsico Inc					Sold (part)	1/23	K	D	

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52. - Amgen Inc					Sold	1/24	L		
53. - Kinder Morgan Mgmnt LLC					Sold (part)	1/23	L	E	
54. - Varian Medical Sys Inc					Sold (part)	1/23	M	F	
55. - UnitedHealth Grp Inc					Sold (part)	1/23	L	E	
56. - Genzyme Corp					Sold (part)	1/23	L	E	
57. - Gilead Sciences Inc					Sold (part)	1/23	L	F	
58. - Apache Corp					Sold (part)	1/23	L	F	
59. - Amercian Express Co					Sold (part)	1/23	K	C	
60. - Praxair Inc					Sold (part)	1/23	L	E	
61. - America Movil S A					Sold (part)	1/23	M	G	
62. - Compania Vale Do Rio Doce Spon ADR					Sold (part)	3/10	M	G	
63.					Sold (part)	1/23	M	G	
64. - Chesapeak Energy Corp					Sold (part)	5/5	J	D	
65.					Sold (part)	1/23	L	E	
66. - Caterpillar Inc					Sold (part)	1/23	L	E	
67. - United Technologies Corp									
68. - Zimmer Hldgs Inc					Sold	1/23	K		

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69. - Allergan Inc					Sold	1/24	L	E	
70. - Coach Inc									
71. - Devon Energy Corp					Sold (part)	1/23	L	E	
72. - Diageo PLC-Sponsored ADR					Sold (part)	1/23	K	D	
73. - EOG Resources Inc					Sold (part)	5/5	K	E	
74.					Sold (part)	1/23	L	F	
75. - Freeport McMoran Copper & Gold CLB					Sold (part)	1/23	L	E	
76. - Goldman Sachs Group Inc					Sold (part)	1/23	L	E	
77. - Int'l Game Technology AO									
78. - Petsmart Inc					Sold	1/24	K		
79. - Rio Tinto PLC					Sold (part)	3/10	L	E	
80.					Sold (part)	1/23	L	E	
81. - Smith Int'l Inc Del					Sold	1/24	M	F	
82. - Teva Pharmaceutical Industries PLC					Sold	1/24	L	E	
83. - EMC Corp Mass					Sold (part)	1/23	K	D	
84. - Symantec Corp									
85. - Lehman Brothers Holdings Inc					Sold	1/24	L		

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86. - Altria Group Inc					Sold (part)	1/23	K	D	
87. - Texas Instruments Inc					Sold (part)	1/23	K		
88. - UBS Bank USA Deposit Acct									
89. - General Electric Co					Sold (part)	1/23	L		
90. - Google					Sold (part)	1/23	K	D	
91.					Buy (add'l)	3/7	K		
92. - Hewlett Packard Co					Sold	1/24	L	C	
93. - Kraft Foods Inc Cl A					Sold	1/24	K	C	
94. - Starbucks Corp					Sold	1/24	L		
95. - Apple Inc					Buy	3/6	K		
96. - Philip Morris Intl Inc (Spinoff from Altria Group Inc)					Spinoff (from line 86)	3/31			
97. IRA ROLLOVER ACCOUNT	E	Dividend	O	T					
98. - Fidelity Advisor Equity Income					Buy (add'l)	03/28	J		
99.					Sold (part)	10/20	J		
100. - Fidelity Low Priced Stock					Sold (part)	04/03	J	A	
101. - Fidelity Dividend Growth					Sold	03/28	L	D	
102.					Buy	10/20	K		

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103. - Fidelity Capital and Income									
104. - Fidelity Invest Grade					Sold (part)	03/28	J		
105.					Sold (part)	10/20	J		
106. - Fidelity Int'l Discovery					Sold	03/28	K	D	
107. - Fidelity Capital Appreciation					Sold (part)	03/28	K		
108. - Fidelity Large Cap Value					Buy (add'l)	03/28	J		
109. - Fidelity Blue Chip Growth					Sold (part)	03/28	K		
110.					Buy (add'l)	10/20	J		
111. - Fidelity Advisor Strategic Inc CL I					Sold (part)	03/28	J		
112.					Sold (part)	10/20	J		
113. - Fidelity Inflation Protected Bond					Sold (part)	03/28	J	A	
114.					Sold (part)	10/20	J		
115. - Fidelity Ultra-Short Bond					Sold (part)	02/12	J		
116.					Sold (part)	04/03	J		
117.					Sold (part)	04/29	J		
118. - Fidelity Total Bond					Sold (part)	03/28	J		
119.					Buy (add'l)	04/03	J		

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120.					Buy (add'l)	04/29	J		
121.					Sold (part)	10/20	K		
122. - Fidelity Advisor Mid Cap II CL I					Sold (part)	03/28	J		
123.					Buy (add'l)	10/20	J		
124. - Spartan 500 Index FID Advantage					Sold (part)	03/28	K	C	
125. - Fidelity Real Estate Investment					Sold (part)	10/20	J		
126. - Fidelity Advisor New Insights Cl I					Buy (add'l)	10/20	J		
127. - Fidelity Growth Company					Sold (part)	03/28	J	B	
128.					Buy (add'l)	10/20	J		
129. - Fidelity Growth & Income					Sold (part)	03/28	J		
130.					Sold (part)	10/20	J		
131. - Fidelity Advisor High Income CL I					Sold (part)	04/03	J		
132. - Fidelity Short Term Bond					Sold (part)	04/29	J		
133.					Sold (part)	10/20	J		
134. -FID PAS US Opp Fidelity Fd of Fds					Buy (add'l)	03/28	J		
135.					Buy (add'l)	10/20	J		
136. - Fidelity PAS Int'l Fd of Fds					Buy (add'l)	03/28	K		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
137.					Sold (part)	04/03	J		
138.					Buy (add'l)	10/20	J		
139. - Fidelity Small Cap Growth									
140. - Fidelity Stratgegic Real Return					Sold (part)	03/28	J	A	
141. -Fidelity Disciplined Equity					Buy	03/28	K		
142.					Buy (add'l)	10/20	J		
143. -Fidelity Equity Income					Buy	03/28	K		
144.					Buy (add'l)	03/31	J		
145.					Sold (part)	10/20	J		
146. -Fidelity Independence					Buy	03/28	J		
147.					Buy (add'l)	10/20	J		
148. -Fidelity Fund					Buy	03/28	K		
149. -Fidelity Growth Discovery Fd					Buy	03/28	J		
150. - Fidelity Magellan					Buy	3/28	K		
151.					Buy	10/20	J		
152. -Fidelity Value					Buy	03/28	K		
153.					Buy (add'l)	10/20	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
154. -Fidelity Money Market					Open	04/29	J		
155. Esplanade Capital Ptnrs I LLC (See note section VIII)	E	Dividend	N	U					
156. Condominium, Carrabassett Vallley, ME	D	Rent	M	W					
157. UBS INVEST ACCT 1 (See note, section VIII)									
158. - UBS Bank USA Deposit Acct	A	Interest	J	T					
159. - Apple Bank for Savings CD	A	Interest	L	T	Buy	9/26	L		
160. - Goldman Sachs Bank CD	A	Interest	L	T	Buy	9/26	L		
161. PAS BROKERAGE ACCOUNT									
162. - Fidelity Int'l Discovery		None			Sold	04/01	J		
163. - Fidelity Low Priced Stock		None			Sold	01/11	J		
164. - Fidelity Mass Muni Income	A	Dividend			Sold (part)	04/01	J		
165.					Sold (part)	06/24	J		
166.					Sold	12/17	J		
167. - Fidelity Municipal Income	A	Dividend	K	T	Sold	06/24	J		
168.					Buy	12/17	K		
169. - Fidelity Short-Intermediate Muni Income	A	Dividend	J	T	Sold (part)	06/24	J	A	
170. - Fidelity Municpal Money Market	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
171. - William Blair Int'l Growth Cl N		None			Sold	1/11	J		
172.					Buy	4/1	J		
173.					Sold	9/16	J		
174. - DWS Mass Tax Free Cl S	A	Dividend			Buy (add'l)	09/16	J		
175.					Sold	12/17	K		
176. - Dreyfus Appreciation Fund		None			Sold	01/11	K		
177.					Buy	04/01	J		
178.					Sold	09/16	J		
179. - Eaton Vance Large-Cap Value Cl A		None			Sold	01/11	K		
180. - Hartford Capital Appreciation Cl A	A	Dividend	J	T	Sold	01/11	J		
181.					Buy	04/01	J		
182.					Sold	09/16	J		
183.					Buy	12/17	J		
184. - Ishares Tr Russell 2000 Index	A	Dividend			Sold	01/15	J		
185. - Ishares Tr Russell 3000 Index	A	Dividend			Sold	01/15	J		
186. - Janus Fund	A	Dividend			Sold	04/01	J		
187.					Buy	09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
188.					Sold	12/17	J		
189. - LM Value Trust Finance Intermediary Cl	A	Dividend			Sold	01/11	J		
190.					Buy	04/01	J		
191.					Sold	06/24	J		
192. - Marsico Focus		None			Sold	04/01	J		
193. - Morgan Stanley Inst Inc Inter Equity B		None	J	T	Sold	01/11	J		
194.					Buy	04/01	J		
195.					Sold	09/16	J		
196.					Buy	12/24	J		
197. - Oppenheimer Main St Opportunity Cl A		None			Sold	01/11	J		
198.					Buy	04/01	J		
199.					Sold	09/16	J		
200. - Jennison Growth Cl A		None			Sold	04/01	J		
201. - S&P 500 Depository Receipt	A	Dividend	J	T	Sold	01/15	J		
202.					Buy	04/03	J		
203.					Sold	09/18	J		
204.					Buy	12/19	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
205. - SPDR SER TR DJ Wilshire REIT ETF	A	Dividend			Sold	01/15	J		
206. - SSGA Int'l Stock Sel		None			Sold	01/11	J		
207. - Fidelity Advisor Dividend Growth Cl I		None			Buy	01/14	J		
208.					Sold	04/01	J		
209. -Fidelity Advisor Int'l Discovery Cl I	A	Dividend			Buy	09/16	J		
210.					Sold	12/17	J		
211. -Fidelity Diversified Int'l		None			Buy	01/11	J		
212.					Sold	04/01	J		
213. -Fidelity Emerging Mrkts		None			Buy	06/24	J		
214.					Sold	4/1	J		
215. -Fidelity Advisors New Insights Cl I	A	Dividend	J	T	Buy	01/11	K		
216.					Sold	04/01	K		
217.					Buy	12/17	J		
218. -Fidelity RE Investment	A	Dividend			Buy	01/11	J		
219.					Sold	12/17	J		
220. -Fidelity Growth Company		None			Buy	04/01	J		
221.					Sold	09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
222. -Fidelity New Markets Income	A	Dividend			Buy	06/24	J		
223.					Sold	09/16	J		
224. -Cambiar Opportunity Investor		None			Buy	09/16	J		
225.					Sold	12/17	J		
226. -Allianz NFJ Dividend Value Fd Cl D	A	Dividend	J	T	Buy	12/17	J		
227. -American Beacon Int'l Equity Plan		None			Buy	01/11	J		
228.					Sold	04/01	J		
229. -American Beacon Large Cap Value Plan		None			Buy	09/16	J		
230.					Sold	12/17	J		
231. -Artisan Mid Cap Value		None			Buy	04/01	J		
232.					Sold	12/17	J		
233. -Blackrock Large Cap Value Fd Cl A		None			Buy	01/11	J		
234.					Sold	04/01	J		
235. -William Blair Small Cap Growth Cl N		None	J	T	Buy	12/22	J		
236. -Columbia Marsico Focused Eq Cl A	A	Dividend			Buy	09/16	K		
237.					Sold	12/17	J		
238. -Credit Suisse Commd Retrn Strtgy Com		None	J	T	Buy	12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
239. -DWS Strategic High Yield Tax Free Cl S	A	Dividend	J	T	Buy	12/17	J		
240. -DWS Managed Muni Bond Cl S	A	Dividend	J	T	Buy	12/17	J		
241. -Davis New York Venture Cl A	A	Dividend			Buy	09/16	K		
242.					Sold	12/17	J		
243. -Diamond Hill Small Cap Cl A	A	Dividend	J	T	Buy	12/18	J		
244. -Eaton Vance Tax Mgd Value Cl A		None			Buy	09/16	J		
245.					Sold	12/17	J		
246. -GE Int'l Equity Service		None			Buy	04/01	J		
247.					Sold	06/24	J		
248. -GMO Emerging Countries Cl M		None	J	T	Buy	12/22	J		
249. -Goldman Sachs Large Cap Value Instl		None			Buy	04/01	J		
250.					Sold	09/16	J		
251. -John Hancok Classic Value CL A		None	J	T	Buy	12/17	J		
252. -Harbor Capital Appreciation Adm		None			Buy	09/16	J		
253.					Sold	12/17	J		
254. -OakMark Fund I		None	J	T	Buy	12/22	J		
255. -Hartford Growth Opportunity Cl A		None			Buy	09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS – *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
256.					Sold	12/17	J		
257. -Hotchkis & Wiley Large Cap Value Cl A		None			Buy	09/16	J		
258.					Sold	12/17	J		
259. -Ishares TR S&P 100 Index Fd	A	Dividend			Buy	01/15	J		
260.					Sold	04/03	J		
261. -IShares TR Russell 1000 Index Fd	A	Dividend			Buy	01/15	J		
262.					Sold	04/03	J		
263. -Janus Mid Cap Value Invst shs		None	J	T	Buy	12/17	J		
264. -JP Morgan US Lg Cap Core Plus Sel Shs		None			Buy	04/01	J		
265.					Sold	09/16	J		
266. -Julius Baer Int'l Equity Fund II Cl A		None			Buy	09/16	J		
267.					Sold	12/17	J		
268. -Lazard Emerging Mkts Open		None			Buy	09/16	J		
269.					Sold	12/17	J		
270. -Legg Mason Opport Trust Fin Interm		None			Buy	01/11	J		
271.					Sold	04/01	J		
272.					Buy	06/24	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
273.					Sold	09/16	J		
274. -Legg Mason Partners Apprec Cl A		None			Buy	09/16	J		
275.					Sold	12/17	J		
276. -Lord Abbett Small Cap Blend Cl A		None			Buy	01/11	J		
277.					Sold	12/17	J		
278. -MFS International Growth Cl A		None			Buy	01/11	J		
279.					Sold	04/01	J		
280. -Manning & Napier World Oppt Ser Cl A	A	Dividend	J	T	Buy	04/01	J		
281.					Sold	09/16	J		
282.					Buy	12/17	J		
283. -Marisco Growth Fd		None	J	T	Buy	04/01	J		
284.					Sold	09/16	J		
285.					Buy	12/17	J		
286. -Morgan Stanley Mid Cap Grwth Port		None	J	T	Buy	12/17	J		
287. -Mutual Series Mutual Shrs Cl A		None			Buy	09/16	J		
288.					Sold	12/17	J		
289. -Mutual Series Mutual Beacon Cl A	A	Dividend	J	T	Buy	06/25	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
	P3 =$25,000,001 - $50,000,000		P4 =More than $50,000,000		
3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
	U =Book Value	V =Other	W =Estimated		

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
290.					Sold	09/16	J		
291.					Buy	12/26	J		
292. -Neuberger Berman Ptnrs Tr		None			Buy	04/01	J		
293.					Sold	09/16	J		
294. -Nuveen High Yield Muni Cl A	A	Dividend			Buy	06/24	K		
295.					Sold (part)	09/24	J		
296.					Sold	12/17	J		
297. -Oppenheim Main St Small Cap Cl A	A	Dividend			Buy	06/24	J		
298.					Sold	12/17	J		
299. -Pimco Real Admin	A	Dividend			Buy	06/24	J		
300.					Sold	12/17	J		
301. -Pimco Emerging Markets Bond Admin	A	Dividend			Buy	09/16	J		
302.					Sold	12/17	J		
303. -Pimco Commodity Real Ret Strat Admin	A	Dividend			Buy	09/16	J		
304.					Sold	12/17	J		
305. -Pimco Foreign Bd Fd (Unhedged) Admin	A	Dividend			Buy	06/24	J		
306.					Sold	09/16	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
	F =$50,001 - $100,000	G =$100,001 - $1,000,000	H1 =$1,000,001 - $5,000,000	H2 =More than $5,000,000	
2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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3. Value Method Codes (See Column C2)	Q =Appraisal	R =Cost (Real Estate Only)	S =Assessment	T =Cash Market	
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
307. -T Rowe Price Growth Stck Advisor		None	J	T	Buy	04/01	J		
308.					Sold	09/16	J		
309.					Buy	12/17	J		
310. -RS Value Fd Cl A		None	J	T	Buy	01/11	J		
311.					Sold	04/01	J		
312.					Buy	06/24	J		
313.					Sold	09/16	J		
314.					Buy	12/22	J		
315. -Rainier Large Cap Equity Portfolio		None		T	Buy	12/18	J		
316. -T Rowe Price Value Fd Advisor	A	Dividend			Buy	01/11	J		
317.					Sold	04/01	J		
318.					Buy	09/16	J		
319.					Sold	12/17	J		
320. -T Rowe Price Real Estate Fd Adv		None	J	T	Buy	12/17	J		
321. -Royce Penn Mutual Fd		None	J	T	Buy	12/17	J		
322. -Royce Total Return Fd Svcs	A	Dividend			Buy	09/16	J		
323.					Sold	12/17	J		

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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2. Value Codes (See Columns C1 and D3)	J =$15,000 or less	K =$15,001 - $50,000	L =$50,001 - $100,000	M =$100,001 - $250,000	
	N =$250,001 - $500,000	O =$500,001 - $1,000,000	P1 =$1,000,001 - $5,000,000	P2 =$5,000,001 - $25,000,000	
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VII. INVESTMENTS and TRUSTS -- *income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)*

☐ NONE *(No reportable income, assets, or transactions.)*

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period (1) Amount Code 1 (A-H)	B. (2) Type (e.g., div., rent, or int.)	C. Gross value at end of reporting period (1) Value Code 2 (J-P)	C. (2) Value Method Code 3 (Q-W)	D. Transactions during reporting period (1) Type (e.g., buy, sell, redemption)	D. (2) Date Month - Day	D. (3) Value Code 2 (J-P)	D. (4) Gain Code 1 (A-H)	D. (5) Identity of buyer/seller (if private transaction)
324. -SSGA Emerging Markets	A	Dividend			Buy	09/16	J		
325.					Sold	12/17	J		
326. -Schwab Core Equity		None	J	T	Buy	12/29	J		
327. -Thornburg Value Cl A	A	Dividend			Buy	09/16	J		
328.					Sold	12/17	J		
329. -CRM Mid Cap Value Investor Shrs		None			Buy	09/16	J		
330.					Sold	12/17	J		
331. -Wells Fargo Growth & Inc Fd- Investor	A	Dividend	J	T	Buy	12/17	J		
332. -Wintergreen Fund Conf		None			Buy	09/16	J		
333.					Sold	12/17	J		
334. IRA ROLLOVER ACCT NO 2	A	Interest	J	T					
335. - Fidelity Cash Reserves									
336.									

1. Income Gain Codes: (See Columns B1 and D4)	A =$1,000 or less	B =$1,001 - $2,500	C =$2,501 - $5,000	D =$5,001 - $15,000	E =$15,001 - $50,000
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Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII - Investment limited partnership income whether passive or nonpassive is disclosed as "dividend" income.

Part VII - All investments are publicly traded common stocks or mutual funds unless otherwise noted.

Part VII, ABA Members Retirement Plan - The plan sponsor does not provide detail transaction information to plan participants. Plan participants receive an annual report showing which plan funds they are invested in, the plan value, total contributions, total distributions, and net realized and unrealized gains. The plan participant recieved a monthly minimum required distribution. The total assets of the plan that were liquidated to fund the distributions are reflected in column D(3).

Part VII - Esplanade Capital Ptnrs I LLC is a non-publicly traded LLC.

Part VII - UBS Invest Acct 1 - For 2007, the only investment was the UBS Bank USA Deposit Acct.

Name of Person Reporting	Date of Report
Lynch, Sandra L.	5/31/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544