

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

COPY

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) KERN, TERENCE C	2. Court or Organization USDC/NDOK	3. Date of Report -04/26/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2003 to 12/31/2003
7. Chambers or Office Address United States Courthouse 333 West 4th Street, Rm 411 Tulsa OK 74103	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Personal Representative (see Part VIII)

Estate #1

II. AGREEMENTS. - (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

RECEIVED
MAY 3 12 28 PM '04
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)☐ **NONE** - (No such reportable gifts.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Southern Hills Country Club	Reduced annual dues	\$1,320
2.	American Board of Trial Advocates	Dues waiver (estimated)	\$300

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)☒ **NONE** - (No reportable liabilities.)

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			

FINANCIAL DISCLOSURE REPORT

Page 1 of 3

Name of Person Reporting
KERN, TERENCE C

Date of Report
04/26/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. - Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Oil & Gas Leases:									
2. —L Tuley, Garvin Co, OK	A	Royalty	J	W					
3. —Lash, Murray Co, OK	A	Royalty	J	W					
4. —Crump, Murray Co, OK	A	Royalty	J	W					
5. —Floyd, Garvin Co, OK	B	Royalty	J	W					
6. —Gibson, Garvin Co, OK	A	Royalty	J	W					
7. —Muncrief, Garvin Co, OK	A	Royalty	J	W					
8. Estate #1									
9. —Home, Edmond, OK		None	M	T					
10. —Mineral acres, Dewey Co, OK	A	Royalty	J	W					
11. —BcWk Cleveland, Logan Co, OK	A	Royalty	J	W					
12. —Sparks, Major Co, OK	A	Royalty	J	W					
13. —Stokely-Van Camp shares	A	Dividend	J	T					
14. —Bank Acct for Estate	C	Interest	K	T					
15. —Personal Property		None	J	W					
16. Brokerage Account #3									
17. CBQ Inc.		None	J	T					
18. Xeta Tech		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,001-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

FINANCIAL DISCLOSURE REPORT

Page 2 of 3

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

VIL INVESTMENTS and TRUSTS

— income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. — Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Pfizer Inc.	A	Dividend	J	T					
20. Brokerage Account #4									
21. MSDW Inc	A	Dividend	J	T					
22. Liquid Asset Fund	A	Dividend	J	T					
23. AT&T Wireless		None	J	T					
24. Cisco Systems		None	K	T					
25. JP Morgan Chase & Co	A	Dividend			Sell	9/18	J		
26. Knight Trading Group		None	K	T					
27. Nokia CP ADR	A	Dividend	J	T					
28. Gemstar-TV Guide		None	J	T					
29. Prudential Financial		None			Part Sell	6/18	J	A	
30. Prudential Financial Inc		None			Sell	11/04	J	A	
31. Microsoft Corp	A	Dividend	K	T					
32. Sun Microsystems Inc		None	J	T					
33. Unit Trust Sector Biotech	A	Dividend	J	T					
34. Amgen Inc		None	J	T					
35. MS Charter Graham	A	Interest	K	T	Buy	7/1	K		
36. Brokerage Acct #7									

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,001-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Page 3 of 3

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. - Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
37. American International	A	Dividend	K	T					
38. Applied Materials		None	J	T					
39. Charter Comm		None	J	T					
40. Cimarex Energy		None	J	T					
41. Dow Chemical Co		None	J	T					
42. Helmerich & Payne	A	Dividend	J	T					
43. Heritage Propane		None	J	T					
44. JDS Uniphase Corp		None	J	T					
45. McData Corp		None			Sell	4/10	J		
46. Network Appliance Inc		None	J	T					
47. Pfizer Inc.	A	Dividend	J	T					
48. Altria Group Inc.	A	Dividend	J	T					Name change; see Part VIII.
49. Silicon Storage		None	J	T					

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part I: Positions: Personal Representative of Estate #1 as Co-Personal Representative died during this reporting period.

Part VII: Note: Assets of Brokerage Account #s 1, 2, 5, and 6 were sold last reporting period.

Part VII: Ln 48: Name changed from Philip Morris Inc. to Altria Group Inc.

Part I: Positions: Personal Representative of Estate #1 as Co-Pers Representative died during this reporting period.

Part VII: Ln 48: Name changed from Philip Morris Inc. to Altria Group, Inc.

Part VII: Note: Assets of Brokerage Accounts #1, #2, #5, and #6 were sold last reporting period and are not included in this report.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

KERN, TERENCE C

Date of Report

04/26/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

April 27, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544