

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Block, Frederic	2. Court or Organization U.S. District Court	3. Date of Report 06/16/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 225 Cadman Plaza E. Eastern District Court of NY Brooklyn, NY 11201	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Officer	Frederic Block, P.C.
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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Block, Frederic

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. United States Government	6/5/08-6/7/08	Bolton Landing, NY	Annual Judicial Retreat	Lodging and meals
2. George Mason School of Law, Law & Econ. Center	7/9/08-7/14/08	George Mason Univ., AZ	Educational Seminar	Travel, Lodging and meals
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AMERICA MOVIL SER L ADR (1)(Y)									
2. AT&T INC. SENIOR NOTES 6.375% 2/1 5/56 (1)(Y)									
3. CITIGROUP INC. (4)(Y)									
4. COHENSTEERS CLOSED END OPPTY FD(1)(Y)									
5. DELAWARE INVT GBL D INCM (1)(Y)									
6. EATON VANCE TAX-MANAGED GBL DIV EQUITY FD(1)(Y)									
7. EATON VANCE TAX-MANAGED GBL DIV	C	Distribution			Sold	9/4	K		
8. FIRST TR TAX ADVANTAGED PFD INC FD(1)(Y)									
9. FIRST TR TAX ADVANT. PFD INC FD	B	Dividend	K	T					
10. FLAHERTY & CRUMRINE CLAYMORE PFD SEC INC FD(1)(Y)									
11. FRT TRT VAL INDX(1)(Y)									
12. FRT TRT VAL INDX(1)(Y)									
13. CAPITAL ONE FIN.(1)(Y)									
14. GENERAL ELECTRIC(1)(Y)									
15. CHEVRON/TEXACO CORP.(1)(Y)									
16. ALLIED CAPITAL CORP.(1)(Y)									
17. DNP SELECT INCOME FUND INC.(1)(Y)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I = \$15,001 - \$50,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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18. BLACKROCK INCM TR INC(1)(Y)									
19. BLACKROCK NY MN I TR SBI	C	Dividend	L	T					
20. EATON VANCE INS NY MUN	C	Dividend	K	T					
21. ACE LIMITED DEP SHR REP 1/10 CUM RED 7.8% SER C(1)(Y)									
22. KIMCO REALTY CORP DEP SHREXP 1 /10 CUM RD 6.65%PFD SHR (1)(Y)									
23. CITIGROUP CAP. VIII DEF INT TRUST PFD STK 6.950% 09/15/31	A	Interest	J	T					
24. GENERAL ELECTRIC CAPTL SENIOR NOTED PFD 5.875% 2/18/33(1)(Y)									
25. CITIGP CAP VIII DEF INT TST PFD STK 6.959% 09/15/31(1)(Y)									
26. WELLS FARGO CAP VIII 5.625% 8-1-3 3(1)(Y)									
27. BAYSHORE NY UN FREE SCH 4.25% 1-15-24(1)(Y)									
28. LONG ISLAND PWR AT NY EL 4.5% 12- 1-24(1)(Y)									
29. NY NYC MUN WFA 4.5% 6-15-36(1)(Y)									
30. NYS EFC ST CLN DRKG SER A 4.25% 1 1-15-19(1)(Y)									
31. NEW ROCHELLE NY PUB INPT SER A 4.125% 3-15-22(1)(Y)									
32. NYS SER A 4% 3-15-22(1)(Y)									
33. NYC NY SER H 5.00 03/15/29(1)(Y)									
34. HARTFORD DIRECTOR(1)(Y)									

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2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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35. ABN AMRO CAP FD TR VII PFD NON CUM 6.08% PERP	C	Dividend	K	T					
36. ROYAL BK SCOT GRP PLC 6.35% NO NCUM PRF SR-N	C	Dividend	K	T					
37. BLACKROCK MUNIYIELD NY INS FD INC	A	Dividend	K	T					
38. NUVEEN NY INV QUAL MUNI	C	Dividend	L	T					
39. NUVEEN NY QUAL INC MUNI	B	Dividend	L	T					
40. PIMCO NY MUN INC FD	B	Dividend	K	T					
41. MORTGAGE IT HOLDINGS INC(1)(Y)									
42. AMERICAN CAPITAL WLD G&I CL C(2)	A	Dividend			Sold	7/4	K		
43. AMERICAN CAP INC BUILDER CL C(2)	A	Dividend			Sold	5-13	L	A	
44. AMERICANBLNCD CL C(2)		None			Sold	1/16	K		
45. BLACKROCK EQUITYFD C(2)	A	Dividend			Sold	7/7	K	A	
46. COHEN & STEERS CLOSED END OPPT Y FD(2)	A	Dividend	J	T					
47. MERRILL LYNCH BANK USA(2)	A	Interest	K	T					
48. MERRILL LYNCH BANK USA(1)(Y)									
49. MERRILL LYNCH BANK & TRUST (1) (Y)									
50. MERRILL LYNCH BANK USA	A	Interest			Closed	5/8	K		
51. ALGER CAPITAL APPRECIATION CL B (4)(Y)									

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52. SATURNS-GS 2004-2 SER GS 5.75% 2/15/33	B	Interest	K	T					
53. SELIGMAN COMM & INF FD CL A (4)(Y)									
54. ABB LTD SPON ADR(5)	A	Dividend			Sold	1/15	J	A	
55. ADIDAS AG SPON ADR(5)	A	Dividend			Sold	1/24	J	A	
56. AES CORP(5)		None			Sold	5/8	J		
57. AFF. MANAGERS GRP(5)	A	Dividend			Sold	5/8	J		
58. ALNYLAM PHARM.(5)		None			Sold	5/8	J	A	
59. AMER EAGLE OUTFITTERS(5)	A	Dividend			Sold	5/8	J		
60. AM EX CO(5)	A	Dividend			Sold	5/8	J		
61. AMER INTL GRP (5)	A	Dividend			Sold	5/8	J		
62. AMER MOVIL SAB DE CV(50	A	Dividend			Sold	5/8	J		
63. ANHEUSR BUSCH COS (5)	A	Dividend			Sold	5/8	J	A	
64. AON CORP(5)	A	Dividend			Sold	5/8	J		
65. APACHE CORP(5)	A	Dividend			Sold	5/8	J	A	
66. APACHE CORP(5)	A	Dividend			Sold	5/8	J	A	
67. BANK OF AMERICA CORP (5)	A	Dividend			Sold	5/8	J		
68. BANK OF AMERICA CORP (5)	A	Dividend			Sold	5/8	J		

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69. BARR PAHARM(5)	A	Dividend			Sold	5/8	J		
70. BARRICK GOLD CORP.(5)		None			Sold	5/8	J	A	
71. BARRICK GOLD CORP.(5)		None			Sold	5/8	J	A	
72. BAXTER INTNL(5)	A	Dividend			Sold	5/8	J	A	
73. BHP BILLITON LTD ADR(5)	A	Dividend			Sold	5/8	J	A	
74. BOSTON PPTYS INC(5)	A	Dividend			Sold	5/8	J	A	
75. BOSTON SCIENTIFIC CORP(5)		None			Sold	5/8	J	A	
76. CANADIAN NATURAL RES LTD(5)	A	Dividend			Sold	1/22	J	A	
77. CATERPILLAR INC DEL(50)	A	Dividend			Sold	5/8	J	A	
78. CHESAPEAKE ENERGY OKLA(5)	A	Dividend			Sold	5/8	J	B	
79. CIGNA CORP(5)		None			Sold	5/8	J		
80. COCA COLA COM(5)	A	Dividend			Sold	5/8	J	A	
81. COMCAST CORP NEW CL A(5)		None			Sold	5/8	J	A	
82. CONOCOPHILLIPS(5)	A	Dividend			Sold	5/8	J	A	
83. CONOCOPHILLIPS(5)	A	Dividend			Sold	5/8	J	A	
84. CREDIT SUISSE GP SP ADR(5)	A	Dividend			Sold	5/8	J	A	
85. CYTEC INDUST.(5)	A	Dividend			Sold	3/17	J		

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86. DEVON ENERGY CORP NEW (5)	A	Dividend			Sold	5/8	J	A	
87. DISNEY(WALT) COM COM STK(5)	A	Dividend			Sold	5/8	J	A	
88. DISNEY(WALT) COM COM STK(5)	A	Dividend			Sold	5/8	J	A	
89. EL PASO CORP.(5)	A	Dividend			Sold	5/8	J	A	
90. EMERSON ELEC CO(5)	A	Dividend			Sold	5/8	J	A	
91. EQUITY RESIDENTIAL(5)	A	Dividend			Sold	5/8	J	A	
92. FLEXTRONICS INTL LTD(5)		None			Sold	5/8	J		
93. GENERAL ELECTRIC(5)	A	Dividend			Sold	5/8	J		
94. GENERAL GROWTH PPTYS INC(5)	A	Dividend			Sold	5/8	J	A	
95. GOLD CORP INC(5)	A	Dividend			Sold	5/8	J	A	
96. HARMONETICS CORP MASS(5)		None			Sold	5/8	J	A	
97. HALLIBURTON CO(5)	A	Dividend			Sold	4/29	J	A	
98. HELIX ENERGY SOLUTIONS(5)		None			Sold	3/13	J		
99. HEWLETT PACKARD CO DEL(5)	A	Dividend			Sold	5/8	J		
100. HEWLETT PACKARD CO DEL(5)	A	Dividend			Sold	5/8	J		
101. INTL BUSINESS MACHINES CORP (5)	A	Dividend			Sold	5/8	J	A	
102. INTRPUBLIC GRP OF CO(5)		None			Sold	5/8	J	A	

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103. INVESCO LTD(5)		None			Sold	5/8	J	A	
104. KINETIC CONCEPTS INC(5)		None			Sold	5/8	J		
105. MACYS INC(5)	A	Dividend			Sold	5/8	J		
106. MARSH & MCLENNAN COS INC(5)	A	Dividend			Sold	5/8	J	A	
107. MARSH & MCLENNAN COS INC(5)	A	Dividend			Sold	5/8	J	A	
108. MCDONALDS CORP COM(5)	A	Dividend			Sold	5/8	J	A	
109. MEMC ELECTR MATLS INC(5)		None			Sold	2/19	J	A	
110. MICROSOFT CORP(5)	A	Dividend			Sold	2/5	J		
111. MURPHY OIL CORP(5)	A	Dividend			Sold	5/8	J	B	
112. MURPHY OIL CORP(5)	A	Dividend			Sold	5/8	J		
113. NEWMONT MINING CORP(5)	A	Dividend			Sold	5/8	J	A	
114. NOVARTIS ADR(5)	A	Dividend			Sold	5/8	J		
115. OAO GAZPROM SPON ADR(5)	A	Dividend			Sold	5/8	J	A	
116. OILSANDS QUEST INC(5)		None			Sold	5/8	J	A	
117. PFIZER INC DEL PV\$0.05 (5)	A	Dividend			Sold	5/8	J		
118. PIONEER NATURAL RES CO(5)	A	Dividend			Sold	5/8	J	A	
119. PROCTOR & GAMBLE CO(5)	A	Dividend			Sold	5/8	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)					

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Name of Person Reporting

Block, Frederic

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06/16/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. PUBLIC STORAGE \$0.10 (5)	A	Dividend			Sold	5/8	J	A	
121. ROYAL DUTCH SHELL PLC SPONS ADR A(5)	A	Dividend			Sold	5/8	J	A	
122. SAP AKGSLTT SPONS ADR(5)	A	Dividend			Sold	5/8	J	A	
123. SCHLUMBERGER LTD(5)	A	Dividend			Sold	5/8	J	A	
124. SIEMENS AG ADR(5)	A	Dividend			Sold	5/8	J		
125. SILVER WHEATON CORP(5)		None			Sold	5/8	J	A	
126. SIMON PROP GRP DEL(5)	A	Dividend			Sold	5/8	J	A	
127. SOUTHWEST AIRLNS CO(5)	A	Dividend			Sold	5/8	J	A	
128. SOUTHWESTERN ENERGY CO(5)		None			Sold	5/8	J	A	
129. SPECTRA ENERGY CORP(5)	A	Dividend			Sold	5/8	J	A	
130. STRYKER CORP(5)	A	Dividend			Sold	1/22	J	A	
131. STRYKER CORP(5)	A	Dividend			Sold	1/22	J		
132. SUN MICROSYS INC(5)		None			Sold	5/8	J		
133. SUNCOR ENERGY INC NPV(5)	A	Dividend			Sold	5/8	J	B	
134. SUNTECH PWR HLDGS CO LTD(5)		None			Sold	5/8	J	A	
135. SYMANTEC CORP COM (5)		None			Sold	5/8	J	A	
136. SYMANTEC CORP COM (5)		None			Sold	5/8	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 I2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. TESORO CORP(5)	A	Dividend			Sold	5/8	J		
138. TEXAS INSTRM(5)	A	Dividend			Sold	5/8	J	A	
139. TIME WARNER INC NEW (5)	A	Dividend			Sold	5/8	J	A	
140. TITANIUM METALS CORP NEW(5)	A	Dividend			Sold	5/8	J		
141. UNILEVER NV NY REGS SHS(5)	A	Dividend			Sold	5/8	J		
142. UNILEVER NV NY REGS SHS(5)	A	Dividend			Sold	5/8	J	A	
143. UNUM GROUP(5)	A	Dividend			Sold	5/8	J		
144. VORNADO REALTY TST COM (5)	A	Dividend			Sold	5/8	J		
145. WAL-MART STORES INC(5)	A	Dividend			Sold	5/8	J	A	
146. WASHINGTON POST CO B (5)	A	Dividend			Sold	5/8	J		
147. WASTE MGMT INC NEW(5)	A	Dividend			Sold	5/8	J	A	
148. WEATHERFORD INTL LTD BERMUD A(5)		None			Sold	3/13	J	A	
149. WEBMD HEALTH CORP(5)		None			Sold	5/8	J		
150. WSTN DIGITAL CORP DEL(5)		None			Sold	4/22	J	A	
151. WSTN DIGITAL CORP DEL(5)		None			Sold	4/22	J		
152. WYNN RESORTS LTD(5)		None			Sold	5/8	J	A	
153. STREETTRACKS GOLD TR(5)		None			Sold	5/8	J	B	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$250,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

154. MERRILL LYNCH BK USA(S)	A	Interest			Closed	5/8	J		
155. BLACKROCK WORLD INVT TR	A	Dividend	K	T	Buy	9/4	K		
156. NUVEEN NY PREF PLS MUNI	A	Dividend	K	T	Buy	7/7	K		
157. RESEARCH IN MOTION LTD		None	J	T	Buy	8/12	J		
158. WESTERN ASST/CLAYMORE US TR EAS. INFLATION	B	Dividend	K	T	Buy	5/13	K		
159. WESTERN ASSET/CLAYMORE INFL.-L NKD OPT & INC FD	B	Dividend	K	T	Buy	5/13	K		
160. IRA - F. BLOCK									
161. ALLIED CAPITAL CORP NEW	A	Dividend	J	T	Buy	3/26	K		
162. ANNALY CAP MGMT INC	B	Dividend	K	T	Buy	4/1	K		
163. ARROW ALTERN. SOLUTIONS FD CL A	A	Dividend	L	T	Buy	5/16	L		
164. BLACKROCK PFD INC STRAT	B	Dividend	J	T	Buy	1/30	K		
165. BLACKROCK PFD & CORP INC	B	Dividend	J	T	Buy	1/30	K		
166. CGM ADVISOR TARG.EQ. FD CL C	A	Dividend	K	T	Buy	1/3	K		
167. DWA TECH LEADERS PORT		None	J	T	Buy	4/28	J		
168. EATON VANCE DIVIDEND BLDR FD C L C	A	Dividend	K	T	Buy	1/15	K		
169. EATON VANCE RISK MANAGED DI VRS.EQ. INC FD	A	Dividend	K	T	Buy	9/11	K		
170. JOHN HANCOCK LG CAP EQ FD CL C	A	Dividend	K	T	Buy	1/28	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

171. PIMCO TOTAL RETURN FD CL C	B	Dividend	K	T	Buy	2/25	K		
172. COMCAST CORP.USCRD NOTES SER B 7% 9-15-55	A	Dividend			Sold	5/13	K		
173. -NEWCASTLE INVESTMENT CRP REIT S DIVERS.		None			Sold	1/31	J		
174. -MERRILL LYNCH & CO SRUS SER M LNP 4.15 10/4/11	A	Interest			Sold	4/22	K		
175. -MORGAN STANLEY - TR V DEF INT TR PFD 5.75% 7/15/33	A	Interest	J	T	Sold (part)	9/16	J		
176. -HERTZ CORP. NOTES 7.4% 03/01/11	B	Interest			Sold	4/18	K		
177. CBS CORP. 7.25% 06/30/51	B	Interest	J	T					
178. -CITIGROUP CAP VIII DEF INT TR PFD STK 6.95% 09/15/31	C	Interest	K	T					
179. -VORNADO REALTY TRUST SER F CU M PFD SHS 6.75% PERP	B	Interest	K	T	Sold (part)	9/19	K		
180. ALLIANZ NACM PACIFIC RIM FD CL C	A	Dividend			Sell	12/6	K		
181. AMERICAN FUNDM INVT CL C FD	A	Dividend	K	T	Sold (part)	3/11	K		
182. AMERICAN CAP WLD GRW & INC CL C	A	Dividend	K	T					
183. AMERICAN CAP INC BUILDER CL C	B	Dividend			Sold	1/8	L	B	
184. AMERICAN CAP. WORLD BD FD CL C	A	Dividend			Sold	6/4	K		
185. AMERICAN HIGH INC TRUST FD CL C		None			Sold	2/28	K		
186. AMERICAN BOND FD OF AMERICA CL C	B	Dividend	K	T					
187. ARROW DWA BALANCED FD CL A	A	Dividend	L	T					

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3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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188. BLACKROCK EQUITY DIV FD C	A	Dividend	K	T					
189. COHEN & STEERS CLOSED END OPPT Y FD	B	Dividend	J	T					
190. COLUMBIA ACORN SELECT FD CL C		None			Sold	3/31	K		
191. DELAWARE INVT GBL D INC	A	Dividend			Sold	3/31	K		
192. -EVERGREEN MANAGED INCOME F UND Multi Sector	C	Dividend	K	T					
193. HANCOCK PREF INC 3	A	Dividend			Sold	2/4	K	A	
194. IVY ASSET STRATEGY FD CL C		None	K	T					
195. IVY CAPITAL APPRC. FD CL C		None			Sold	3/14	K		
196. BLACKROCK ALLOCATION C	A	Dividend	K	T					
197. NATIXIS INC DIV PTFLIO CL C	A	Dividend			Sold	1/31	K		
198. SATURNS-GS 2004-2 SER GS 5.75% 2/15/ 33	B	Dividend	K	T					
199. THORNBURG CORE GRTH FD CL C		-None			Sold	1/18	K	B	
200. THORNBURG INC BUILDER FD CL C	B	Dividend	K	T					
201. -MERRILL LYNCH BANK USA RASP	A	Interest	J	T					
202. USB CAPITAL VI 5.75% 3/9/35	B	Dividend	K	T	Sold (part)	9/16	J		
203. -COHEN STEERS REIT & UTILITIES IN C FD					Sold	1/3	K		
204. -LOOMIS SAYLES INV GRADE BOND FD CL C	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
205. LOOMIS SAYLES STRAT INC FD CL C	C	Dividend	K	T	Sold (part)	4/4	K		
206. NUVEEN QUAL PREF INC 2	C	Dividend	K	T					
207. BANK OF SMITHTOWN		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part III-B - All assets listed with (1) or (4) in the previous year's report were owned by Judge Block [REDACTED]
Divorce was final in 2008 therefore these items are no longer reportable.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFY OR CONCEAL INFORMATION REPORTED HEREIN IS SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544