

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2006**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) MCCONNELL, MICHAEL W	2. Court or Organization UNITED STATES COURT OF APPEALS	3. Date of Report 08/05/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. COURT OF APPEALS - FULL	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address FEDERAL BUILDING, SUITE 5402 125 SOUTH STATE STREET SALT LAKE CITY, UT 84138	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.</i>		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	YALE UNIVERSITY, PUBLICATION ROYALTIES	\$ 90
2. 2006	STANFORD UNIVERSITY, TEACHING	\$ 20,000
3. 2006	UNIVERSITY OF UTAH, TEACHING	\$ 4,780
4. 2006	ASPEN PUBLISHING CO., PUBLICATION ROYALTIES	\$ 2,845
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	 TEACHING
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Stanford Law School	Feb.5 - Feb. 24, Stanford, CA, Teaching, (Transportation, lodging, meals)
2. Liberty Fund Colloquium	Mar. 9 - Mar. 10, San Francisco, CA, Lecture, (Transportation, lodging, meals)
3. University of Texas at Austin Law School	Mar. 30 - Mar. 31, Austin, TX, Seminar participant, (Transportation, lodging, meals)
4. Olin Fellows Federalist Society	Apr. 25 - Apr. 27, New York City, NY, Seminar participant, (Transportation, lodging, meals)
5. The University of Chicago - The Law School	May 4 - May 5, Chicago, IL, Seminar participant, (Transportation, lodging, meals)

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6.	Australian National University	May 22 - Jun. 2, Canberra, Australia, Seminar participant, (Transportation, lodging, meals)
7.	Louisville Federalist Society	Jun. 22 - Jun. 23, Louisville, KY, Seminar participant, (Transportation, lodging, meals)
8.	James Madison College, Michigan State University	Oct. 12 - Oct. 13, East Lansing, MI, Seminar participant, (Transportation, lodging, meals)
9.	The Henry Institute, Calvin College	Oct. 13 - Oct. 14, Grand Rapids, MI, Seminar participant, (Transportation, lodging, meals)
10.	New York University, The Brennan Center for Justice	Oct. 25 - Oct. 27, New York City, NY, Seminar participant, (Transportation, lodging, meals)

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. FIDELITY INVESTMENTS IRA #1									
2. SPARTAN 500 INDEX FUND	A	Dividend	J	T					
3. FIDELITY CASH RESERVES	A	Int./Div.	J	T					
4. FIDELITY INVESTMENTS IRA #2									
5. FIDELITY WORLDWIDE FUND	D	Dividend	L	T					
6. FIDELITY EMERGING MARKETS FUND	A	Dividend	K	T					
7. FIDELITY CASH RESERVES ACCOUNT	A	Int./Div.	J	T					
8. FIDELITY BLUE CHIP GROWTH	A	Dividend	J	T					
9. TIAA CREF									
10. TIAA TRADITIONAL	E	Dividend	N	T					
11. CREF STOCK FUND	E	Dividend	N	T					
12. CREF EQUITY INDEX FUND	E	Dividend	N	T					
13. CREF GLOBAL EQUITIES FUND	D	Dividend	K	T					
14. DODGE & COX STOCK FUND (401K)	E	Dividend	N	T					
15. DWS EQUITY 500 INDEX FUND F/K/A SCUDDER (401K)	D	Dividend	L	T					
16. JENNISON SMALL COMPANY Z FUND (401K)	B	Dividend	M	T	BUY	11/30	M		
17. DRYFUS PREMIUM SMALL EQUITY	C	Dividend	L	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = A: W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
FUND (401K)									
18. STI CLASSIC SMCAP GR STOCK T FUND (401K)	E	Dividend			SELL	11/30	M	A	
19. WELLS FARGO (BANK ACCOUNT)	A	Interest	J	T					
20. BROKERAGE ACCOUNT #1									
21. FIDELITY WORLD WIDE FUND	A	Dividend			SELL	3/15	J	B	
22. SPARTAN TOTAL MARKET INDEX FUND	A	Dividend	J	T	SELL	12/5	J	A	
23. SPARTAN 500 INDEX FUND	A	Dividend	L	T	SELL	12/5	K	D	
24. FIDELITY MAGELLAN FUND	A	Dividend	L	T					
25. FIDELITY MUNICIPAL MONEY MARKET	A	Interest	J	T					
26. FIDELITY RETIREMENT RESERVES ANNUITY	D	Int./Div.	L	T					
27. SPARTAN 500 INDEX FUND (SEP IRA)	A	Dividend	J	T					
28. FIDELITY CASH RESERVES (SEP IRA)	A	Int./Div.	J	T					
29. SPARTAN 500 INDEX FUND (UTMA)	A	Dividend			SELL	12/5	J	A	
30. SPARTAN 500 INDEX FUND (UTMA)	B	Dividend	J	T	SELL	VAR	K	A	
31. SPARTAN 500 INDEX FUND (UTMA)	A	Dividend			SELL	12/5	J	A	
32. NATIONWIDE VARIABLE LIFE INSURANCE	A	Interest	K	T					
33. FIDELITY MAGELLAN FUND (IRA)	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
34. FIDELITY LOW PRICED STOCK FUND (IRA)	E	Dividend	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date 8/13/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544