

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2007

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) O'Malley, Kathleen M	2. Court or Organization U.S. District Court, N.D. Ohio	3. Date of Report 07/31/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 801 W. Superior Avenue Rm 16A Cleveland, OH 44113-1840	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Chair	Judges' Committee, Federal Circuit Bar Association
2. Member	US Judicial Conference Committee on Space & Facilities
3. Member	Sixth Circuit Judicial Conference Space & Facilities Committee
4. Chair	U.S. District Court, Northern District of Ohio, Space & Facilities Committee
5. Member	National Academies, Committee on the Development of the Third Edition of the Reference Manual on Scientific Evidence
6. Advisory Board Member	University of Maryland School of Law, Intellectual Property Advisory Board
7. Dean's Advisory Committee	Case Law School
8. Master Benchers	Anthony J. Celebrezze Inn of Court

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2007	Covington & Burling LLP - Attorney
2. 2007	Federal Judicial Center - Speaking: Honoraria \$400
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	Association of Coporate Patent Counsel	Jan 28-31, 2007	Bonita Springs, FL	Educational/Panelist	registration; transportation; lodging; meals
2.	Intellectual Property Owner's Association	March 27, 2007	Washington, DC	Educational/Speaker	transportation
3.	George Mason University Foundation, Inc	July 17, 2007	Arlington, VA	Educational/Speaker	transportation
4.	American Conference Institute	July 18-20, 2007	New York, NY	Educational/Speaker	transportation; lodging
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	Mr. & Mrs. Roderick McKelvie	Engagement Party	\$ 2,500.00
2.	Mr. & Mrs Kurt Calia, Mr. & Mrs Chris Sipes, Mr. & Mrs. Kevin Collins; Mr. & Mrs. Jeff Elikan	Weekend Getaway - Inn at Perry Cabin	\$ 1,225.00
3.	Mr. & Mrs. Gamiere, Lonardo, McDonald, Huth, Cohen & Powell	Waterford Crystal Wine Goblets	\$ 700.00
4.	Mr. & Mrs. John Flanigan, Mr. & Mrs. Peter Barber, Mr. & Mrs. Brian Mann	Case of Champagne	\$ 600.00
5.	Mr. & Mrs. Nick Vassos	Neiman Marcus Gift Card	\$ 375.00
6.	Mr. Robert Sherman & Ms. Kim Sawyer	Tiffany Knife & Cake Server	\$ 350.00
7.	Mr. & Mrs. Lous Virelli	Cannisters; flatware	\$ 350.00
8.	Ms. Jeannie Voss	Waterford Bowl	\$ 350.00
9.	Mr. & Mrs. Don Green	Waterford Candlesticks	\$ 325.00
10.	Hon. Barbara & Dr. Ted Rothstein	Faberge' Crystal Bowl	\$ 305.00
11.	Hon. & Mrs. John Tunheim	Waterford Vase	\$ 305.00
12.	Hon. Sara Lioi	Champagne Goblets & Champagne	\$ 350.00

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Citibank (X)	D	Interest	M	W					
2. Castle Harlan LLP (X)	D	Distribution	K	U					
3. Castle Harlan LLP (X)	A	Int./Div.	K	W					
4. Mid-Atlantic Fund of Funds (X)	A	Int./Div.	J	W					
5. Dinner Club, LLC (X)	A	Interest	J	W					
6. Dinner Club, LLC (X)	C	Distribution	K	U					
7. Mid-Atlantic fund of Funds (X)	F	Distribution	K	U					
8. Brokerage Acct #1 (X)									
9. -Montpelier Re Hldgs (X)	A	Dividend	J	T					
10. -One Beacon Ins (X)	A	Dividend	J	T					
11. -White Mtn Ins (X)	A	Dividend			Sell	8/03	J	B	
12. -Brown & Brown (X)	A	Dividend	J	T					
13. Citigroup (X)	A	Dividend			Sell	10/12	J	A	
14. Legg Mason (X)	A	Dividend	J	T					
15. -MDC Holdings (X)	A	Dividend	J	T					
16. -Martin Marietta MTLs (X)	A	Dividend	J	T	Part Sale	7/25	J	A	
17. -Moody's Corp (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. -Odyssey Re Hldgs (X)	A	Dividend	K	T	Part Sale	4/25	J	A	
19. -Walmart Stores (X)	A	Dividend			Sell	10/12	J	A	
20. -Wells Fargo (X)	A	Dividend	J	T					
21. -Mohawk (X)		None	J	T					
22. -Reserve Fund (X)	A	Interest	J	T					
23. Brokerage Acct #2 (X)									
24. - White Mountain Ins (X)		None			Sell	1/09	J	C	
25. - MDC Holdings (X)		None			Sell	1/09	J	A	
26. -Martin Marietta (X)		None			Sell	1/09	J	B	
27. -Mohawk Industries (X)		None			Sell	1/09	J	A	
28. -Odyssey Re Hldgs (X)		None			Sell	1/09	K	D	
29. -UST Strip Int (X)	B	Interest	L	T	Part Sale	8/15	J	A	
30. -UST Strip Int (X)		None	L	T	Part Sale	5/15	J	B	
31. -Wells Fargo & Co (X)		None			Sell	1/09	J		
32. -Reserve Fund Int (X)	A	Interest	K	T					
33. Ferris Baker Watts IRA #1 Cash Fund/MM (X)	B	Interest	L	T					
34. Ferris Baker Watts (X)									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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35. -Brown & Brown (X)	A	Dividend	J	T	Buy	6/05	J		
36. -Legg Mason (X)	A	Dividend	K	T	Buy	9/13	J		
37. -MDC Holdings (X)	A	Dividend	J	T	Buy	8/31	J		
38. -Martin Marietta Mtls (X)	A	Dividend	J	T					
39. -Mohawk Industries (X)	A	Dividend	K	T					
40. -Montpelier Re Hldgs (X)	A	Dividend	J	T					
41. -Moody's Corp (X)	A	Dividend	J	T	Buy	9/10	J		
42. Odyssey Re Hldgs (X)	A	Dividend	K	T					
43. One Beacon Ins (X)	A	Dividend	J	T	Buy	8/08	J		
44. -Toll Brothers (X)	A	Dividend	J	T	Buy	1/11	K		
45. -Wells Fargo Co (X)	A	Dividend	K	T	Buy	10/19	J		
46. -White Mts Ins Grp (X)	A	Distribution	J	T					
47. -Yum! Brands Inc (X)	A	Dividend	K	T					
48. -Citigroup (X)	A	Dividend			Sell	8/29	J		
49. -US Treas Stripped (X)	B	Interest			Redemption	11/5	K		
50. -Walmart Stores(X)	A	Dividend			Sell	10/17	K		
51. -White Mnts Ins Grp (X)	A	Dividend			Sell	8/08	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

52. Charles Schwab 401K #1 (X)									
53. -Vanguard Inst Index (X)	C	Dividend	M	T					
54. -Rainier Small/Midcap Equity (X)	D	Dividend	L	T					
55. -Schwab Mng Ret Trust-2010 (X)	C	Dividend	L	T					
56. -Schwab Mng Ret Trust-2020 (X)		None	K	T					
57. -Schwab Mng Ret Trust-2030 (X)		None	K	T					
58. -Vanguard Wellington Adm (X)	D	Dividend	L	T					
59. Minnesota Life Ins Policy Variable Adjustable Cash Value (X)		None	K	T					
60. Covington Pension Plan Cash Bal Acct (X)	D	Interest	N	W					
61. Covington & Burling Partnership LLP (X)	D	Interest	M	W					
62.									
63.									
64.									
65.									

1. Income Gain Codes:
(See Columns BI and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VIII

Married 10/2008

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544