

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) McKeown, Mary M.	2. Court or Organization Ninth Circuit Court of Appeals	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U. S. Court of Appeals 401 West A Street Suite 2000 San Diego CA 92101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board of Advisors	RAND Institute of Civil Justice
2. Board of Advisors	American Judicature Society
3. Board of Visitors	Georgetown University Law Center
4. Board of Directors	Association of Business Trial Lawyers - San Diego
5. Board of Directors	Federal Judges Association
6. Board of Directors	La Jolla Music Society
7. Trustee	International Association of Women Judges

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions.)**A. Filer's Non-Investment Income**☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Spg/Fall09	Univ of San Diego Law School - Teaching	\$10,000.00
2. Spg/Fall09	Georgetown University Law School	\$5,000.00
3. Spg/Fall09	Thomas Jefferson Law School	\$3,000.00
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.

(Dollar amount not required except for honoraria.)

☐ NONE (No reportable non-investment income.)

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-employed - Consultant
2. 2009	University of California San Diego - Salary
3.	
4.	

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ NONE (No reportable reimbursements.)

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	02/13/09-02/15/09	Boston, MA	Midyear Meeting	Transportation, lodging & meals
2.	Northwestern University	03/05/2009-03/07/2009	Chicago, IL	Moot Court	Transportation, lodging & meals
3.	Georgetown University Law Center	04/02/2009-04/05/2009	Sante Fe, NM	Board Meeting	Transportation, lodging & meals
4.	Law Seminars International Inc.	04/24/2009	Seattle, WA	Presentation	Transportation
5.	University of Texas Law School Foundation	04/29/2009-04/30/2009	Austin, TX	Women's Power Summit	Transportation & meals
6.	Federal Judges Association	05/01/2009-05/04/2009	Washington D.C.	Board Meeting	Transportation, lodging & meals

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7.	Thomas Jefferson School of Law	05/24/2009-05/27/2009	Hangzhou, China	Teaching	Transportation, lodging & meals
8.	University of San Diego School of Law	07/18/2009-07/31/2009	Paris, France	Teaching	Transportation, lodging & meals
9.	American Bar Association	08/01/2009-08/03/2009	Chicago, IL	Committee Meeting	Transportation, lodging & meals
10.	Organization for Security and Cooperation in Europe	11/07/2009-11/11/2009	Sarajevo, Bosnia	Lecture and Ethics Workshops	Transportation & meals
11.	American Bar Association	11/11/2009-11/15/2009	Vienna, Austria	World Justice Project	Transportation, lodging & meals
12.	ALI Council Meeting	12/03/2009-12/04/2009	Philadelphia, PA	Committee Meeting	Transportation, lodging & meals

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. SUNTRUST MORTGAGE, INC./CHASE HOME	RESIDENTIAL/RENTAL PROPERTY - SAN FRANCISCO CA	N
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BANK OF AMERICA #2	A	Interest	M	T					
2. BOEING	A	Dividend	J	T					
3. COLLEGE SAVINGS BANK - PRINCETON	A	Interest			Closed	08/15/09	K		
4. LINCOLN MUTUAL INSURANCE	A	Interest	J	T					
5. SCHWAB ACCT #4									
6. ---AIM VI TECH FUND		None	J	T					
7. ---ALGER LARGE CAP GROWTH		None	J	T					SEE PART VIII FOR DETAIL
8. ---AMER CENTURY VP INTL		None	J	T					
9. ---BARON GROWTH OPPORT FND		None	J	T					SEE PART VIII FOR DETAIL
10. ---SCHWAB S&P 500 INDEX FUND		None	J	T					SEE PART VIII FOR DETAIL
11. TULLY'S COFFEE		None	J	T					
12. TRUST #1									
13. ---CASH/BANK OF AMER		None			Closed	08/15/09	J		
14. ---FIDELITY BLUE CHIP	A	Dividend	K	T					
15. ---FIDELITY DISCOVER FUND	A	Dividend	J	T					
16. ---FIDELITY GROWTH & INC	A	Dividend	K	T					
17. 403b #1- FIDELITY INVESTMENTS									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---UC SAVINGS FUND	A	Dividend	J	T					
19. ---FIDELITY CONTRA	A	Dividend	M	T					
20. ---FIDELITY EURO CAP APPREC	B	Dividend	L	T					
21. ---FIDELITY SELECT TECH/PURITAN	A	Dividend	K	T					
22. FIDELITY ANNUITY #2									
23. ---FIDELITY VIP CONTRA		None	K	T					
24. ---FIDELITY VIP EQUITY INCOME		None	J	T					
25. NORTHERN TRUST #1									
26. ---NORTHERN TRUST CHK	A	Interest	L	T					
27. NORTHERN TRUST #2									
28. ---NORTHERN TRUST MMF	A	Int./Div.	K	T					
29. NORTHERN TRUST #3									
30. ---NORTHERN TRUST CHK	A	Interest	J	T					
31. NORTHERN TRUST #4									
32. ---NORTHERN TRUST CHK	A	Interest	K	T					
33. NORTHERN TRUST #5									
34. ---ABBOTT LAB (ABT)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	

1. Income/Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. ---ACCENTURE BERMU (ACN)	A	Dividend	J	T					
36. ---ADR ABB LTD (ABB)		None	J	T	Buy	11/06/09	J		
37. ---APPLE (AAPL)		None	J	T					
38. ---BAXTER INTL (BAX)	A	Dividend			Sold (part)	02/13/09	J	A	
39.					Sold	12/15/09	J	A	
40. ---CVS CAREMARK CORP (CVS)	A	Dividend			Buy	08/14/09	J		
41.					Sold	11/06/09	J	A	
42. ---DANAHER CORP (DHR)	A	Dividend	J	T					
43. ---FEDEX CORP (FDX)		None	J	T	Buy	12/15/09	J		
44. ---ITT CORP INC (ITT)	A	Dividend	J	T					
45. ---JOHNSON C'TL INC (JCI)		None	J	T	Buy	11/06/09	J		
46. ---KOHL'S CORP (KSS)		None	J	T	Buy	08/14/09	J		
47. ---MCDONALDS CORP (MCD)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
48. ---MCKESSON CORP (MCK)			J	T	Buy	12/15/09	J		
49. ---MEDCO HLTH SOLUT (MHS)		None	J	T	Sold (part)	02/13/09	J	A	
50. ---MFB NORTH CAL MUN MONEY MKT (NOCXX)	A	Dividend	K	T					
51. ---MFB NORTH FDS CALIF INTER TAX EXPT (NCITX)	C	Int./Div.	M	T	Sold (part)	11/06/09	K	A	

1. Income Gain Codes.
(See Columns B1 and D4)

A = \$1,000 or less
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2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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B = \$1,001 - \$2,500
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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

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52. ---MFB NORTH FDS CA TAX EXPT (NCATX)	D	Int./Div.	M	T	Sold (part)	11/06/09	K	A	
53. ---MFB NORTH EMERG MKTS EQ FND (NOEMX)	A	Dividend	K	T	Buy	06/02/09	K		
54.					Buy (add'l)	11/06/09	J		
55. ---MFB NORTH HI YIELD FXD INC (NHFIX)		None			Sold	01/01/09	L	A	SEE PART VIII FOR DETAIL.
56. ---MFB NORTH HI YIELD MUNI (NHVMX)	C	Int./Div.	J	T	Buy (add'l)	05/05/09	K		
57. ---MFB NORTH STK INDEX (NOSIX)	B	Dividend	M	T					
58. ---MFB NORTH MM GLOBAL RE (NMMGX)	A	Dividend	K	T	Buy (add'l)	12/21/09	J		
59. ---MFB NORTH INTL EQTY INDEX (NOINX)	B	Dividend	L	T	Buy (add'l)	11/06/09	J		
60. ---MFC ISHARE TR BARCLAYS TIPS (TIP)	A	Dividend	K	T	Buy	11/06/09	K		
61. ---MFC SPDR GOLD TR (GLD)		None	J	T	Buy	05/05/09	J		
62. ---MFO CREDIT SUISSE COMMODITY RETURN (CRSOX)	A	Dividend	J	T					
63. ---MFO PIMCO FNDX PAC INVST (PCRIX)	A	Dividend	K	T	Buy	11/06/09	K		
64.					Buy (add'l)	12/09/09	J		
65. ---MICROSOFT CORP (MSFT)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
66. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T	Buy	11/06/09	J		
67. ---NIKE INC CL B (NKE)		None	J	T	Buy	12/15/09	J		
68. ---PEPSICO INC (PEP)	A	Dividend	J	T	Buy	11/06/09	J		

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I1 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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69. ---PG&E CORP (PCG)	A	Dividend	J	T					
70. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
71.					Sold (part)	08/14/09	J	A	
72. ---SCHLUMBERGER LTD (SLB)	A	Dividend	J	T	Buy	11/06/09	J		
73. ---TRANSOCEAN INC (RIG)		None	J	T					
74. ---VERIZON COMM (VZ)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
75. NORTHERN TRUST #6									
76. ---ABBOTT LAB (ABT)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
77. ---ACCENTURE BERM (ACN)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
78. ---ADOBE (ADBE)		None	J	T					
79. ---ADR ABB LTD (ABB)		None	J	T	Buy	11/06/09	J		
80. ---ADR ARCELORMITTAL (MT)	A	Dividend	J	T					
81. ---ADR NOKIA CORP (NOK)	A	Dividend	J	T					
82. ---AFLAC (AFL)	A	Dividend			Sold	05/28/09	J	A	
83. ---AMERIPRISE FINL (AMP)	A	Dividend	J	T					
84. ---APPLE INC (AAPL)		None	J	T	Sold (part)	02/13/09	J	A	
85. ---BANK OF NY MELLON (BK)	A	Dividend	J	T					

1. Income/Gain Codes
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less
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P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
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M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
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86. ---BAXTER INTL (BAX)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
87.					Sold (part)	12/15/09	J	B	
88. ---BECTON DICKINSON & CO. (BDX)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
89. ---CISCO SYS (CSCO)		None	J	T	Sold (part)	02/13/09	J	A	
90. ---COSTCO WHOLESALE (COST)	A	Dividend	J	T					
91. ---CVS CAREMARK (CVS)	A	Dividend	J	T	Buy	08/14/09	J		
92. ---DANAHER CORP (DHR)	A	Dividend	J	T					
93. ---EMERSON ELECT CO. (EMR)	A	Dividend	J	T					
94. ---EXELON CORP EXC (EXC)	A	Dividend	J	T					
95. ---FEDEX CORP (FDX)		None	J	T	Buy	12/15/09	J		
96. ---GOLDMAN SACHS GRP (GS)	A	Dividend	J	T					
97. ---HARTFORD FINL SVCS (HIG)	A	Dividend			Sold	11/06/09	J	A	
98. ---ITT CORP (ITT)	A	Dividend	J	T					
99. ---JACOBS ENGR GRP (JEC)		None			Sold	12/15/09	J	A	
100. ---JOHNSON & JOHNSON (JNJ)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
101. ---JOHNSON CTL INC (JCI)		None	K	T	Buy	11/06/09	K		
102. ---KELLOGG CO. (K)	A	Dividend	J	T					

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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Name of Person Reporting

McKeown, Mary M.

Date of Report

05/05/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. ---KOHLS CORP (KSS)		None	J	T	Buy	08/14/09	J		
104. ---MCDONALDS (MCD)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
105. ---MCKESSON CORP (MCK)		None	J	T	Buy	12/15/09	J		
106. ---MEDCO HLTH SOLUTIONS (MHS)		None	J	T	Sold (part)	02/13/09	J	B	
107. ---MFB NORTH EMERG MKTS (NOEMX)	A	Dividend	L	T	Buy (add'l)	11/06/09	K		
108. ---MFB NORTH FDS FX INC (NOFIX)	D	Dividend	N	T					
109. ---MFB NORTH FDS GLOBAL RE INDEX (NGREX)	B	Dividend	K	T	Sold (part)	11/06/09	J	A	
110. ---MFB NORTH HI YLD FXD INC (NHFIX)	D	Dividend	M	T	Buy (add'l)	05/05/09	L		
111. ---MFB NORTH IFL EQTY INDEX (NOINX)	B	Dividend	K	T	Buy	11/06/09	K		
112. ---MFB NORTH MM IFL EQUITY (NMIEX)	B	Dividend	M	T					
113. ---MFB NORTH MM MID CAP (NMMCX)	A	Dividend	K	T	Sold (part)	11/06/09	K	A	
114. ---MFB NORTH MM SMALL CAP (NMMSX)	A	Dividend	K	T	Sold (part)	11/06/09	K	A	
115. ---MFB NORTH MONEY MKT FND (NORXX)	A	Dividend	L	T					
116. ---MFC SELECT SECT SPDR ENERGY (XLE)	A	Dividend	K	T	Sold (part)	02/13/09	J	A	
117. ---MFC SELECT SECT SPDR FINL (XLF)	A	Dividend	K	T					
118. ---MFC SELECT SECT SPDR SHS BEN INT-MAT (XLB)	A	Dividend	J	T					
119. ---MFC SELECT SECT TECH (XLK)		None			Sold	02/13/09	J	A	

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column U2)					

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Name of Person Reporting

McKeown, Mary M.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "I(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. ---MFC SPDR GOLD TR (GLD)		None	J	T	Buy	05/05/09	J		
121. ---MFO CREDIT SUISSE COMMODITY RTN (CRSOX)	B	Dividend	K	T					
122. ---MFO PIMCO FDS PAC (PCRIX)	B	Dividend	K	T	Buy	11/06/09	K		
123.					Buy (add'l)	12/09/09	J		
124. ---MICROCHIP TECH (MCHP)	A	Dividend	J	T					
125. ---MICROSOFT CORP (MSFT)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
126. ---NATL OIL WELL VARCO (NOV)	A	Dividend	J	T					
127. ---NIKE INC CL B (NKE)		None	J	T	Buy	12/15/09	J		
128. ---PEPSICO INC (PEP)	A	Dividend	J	T					
129. ---PG&E CORP (PCG)	A	Dividend	J	T					
130. ---PROCTOR & GAMBLE (PG)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
131.					Sold (part)	08/14/09	J	A	
132. ---PRUDENTIAL FINL (PRU)	A	Dividend	J	T					
133. ---ROCKWELL COLLINS (COL)	A	Dividend	J	T					
134. ---SCHLUMBERGER LTD (SLB)	A	Dividend	J	T					
135. ---SELECT SECT SPDR INDL (XLI)	A	Dividend	J	T					
136. ---SELECT SECT SPDR CNSMR DISCR (XLY)	A	Dividend	J	T	Sold (part)	12/15/09	J	A	

1. Income Gain Codes (See Columns B1 and D4):
A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,001 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

2. Value Codes (See Columns C1 and D1):
B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

3. Value Method Codes (See Column C2):
C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

McKeown, Mary M.

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05/05/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. ---SIGMA -ALDRICH (SIAL)	A	Dividend	J	T					
138. ---SPECTRA ENERGY (SE)	A	Dividend	J	T					
139. ---TRANSOCEAN INC (RIG)		None	J	T					
140. ---UNITED TECH CORP (UTX)	A	Dividend	J	T					
141. ---VERIZON COMM (VZ)	A	Dividend	J	T	Sold (part)	02/13/09	J	A	
142. ---WATERS CORP (WAT)		None			Sold	02/13/09	J	A	
143. NORTHERN TRUST #7									
144. ---TEMPLETON FOREIGN CL A (TEMPX)	A	Dividend	K	T	Buy (add'l)	12/22/09	J		
145. NORTHERN TRUST #8									
146. ---AMERICAN BALANCED CL A (ABALX)	A	Dividend	K	T	Buy (add'l)	02/23/09	J		
147.					Buy (add'l)	05/27/09	J		
148.					Buy (add'l)	08/17/09	J		
149.					Buy (add'l)	12/16/09	J		
150. NORTHERN TRUST #9	A	Dividend	J	T					
151. NORTHERN TRUST #10		None	K	T					
152. CONDO(50%) SAN FRANCISCO CA	D	Rent	O	W					
153.									

1. Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P1 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting
McKeown, Mary M.

Date of Report
05/05/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children: see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-I)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154.									
155.									
156.									
157.									
158.									
159.									
160.									
161.									
162.									
163.									
164.									
165.									
166.									
167.									
168.									

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
Q = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeown, Mary M.

Date of Report

05/05/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

PART VII:

LINE 7: NAME WAS CHANGED FROM ALGER AMERICAN GROWTH TO ALGER LARGE CAP GROWTH.

LINE 9: NAME WAS CHANGED FROM BARON CAPITAL ASSET TO BARON GROWTH OPPORTUNITY FUND.

LINE 10: A SMALL AMOUNT OF FUNDS STILL EXISTS IN THIS ACCOUNT ALTHOUGH PRIOR PERIOD INDICATED A FULL TRANSFER.

LINE 55: PRIOR PERIOD ADJUSTMENT - THE STOCK WAS SOLD IN PREVIOUS YEAR.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

McKeown, Mary M.

Date of Report

05/05/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

**SELF INITIATED
AMENDMENT**



**UNITED STATES COURT OF APPEALS
FOR THE NINTH CIRCUIT**

401 WEST A STREET, SUITE 2000
SAN DIEGO, CALIFORNIA 92101-7908

CHAMBERS OF
M. MARGARET MCKEOWN
U.S. CIRCUIT JUDGE

May 13, 2010

TEL: 619.557.5300

Honorable Bobby R. Baldock, Chair
Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, NE
Suite 2-301
Washington, D.C. 20544

Re: 2009 Financial Disclosure Report

Dear Judge Baldock:

My Financial Disclosure Report for 2009 should be amended to add the following reimbursement:

Association of Business Trial Lawyers
10/1/09 - 10/2/09
Colorado Springs, CO
Lecture/Panel
Transportation, lodging, meals

Sincerely,

M. Margaret McKeown
U.S. Circuit Judge

MMM:wlb

McKeown, Mary M.