

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2004

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) SLOVITER, DOLORES K.		2. Court or Organization United States Court of Appeals for the Third Circuit		3. Date of Report 5/15/05
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge - Active		5. Report Type (check appropriate type) ____ Nomination, _____ Date _____ ____ Initial ☒ Annual _____ Final _____		6. Reporting Period 1/1/04 to 12/31/04
7. Chambers or Office Address 18614 United States Courthouse 601 Market Street Philadelphia, PA 19106		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION	NAME OF ORGANIZATION/ENTITY
☐ NONE (No reportable positions.)	
1 Board of Trustees	National Constitution Center
2 Executrix	Estate of [REDACTED]
3 Chair 2003, 2004	Pennsylvania State Committee of Selection for Rhodes Scholars

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE	PARTIES AND TERMS
☒ NONE (No reportable agreements.)	
1	
2	

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE	SOURCE AND TYPE	GROSS INCOME
A. Filer's Non-Investment Income		
☐ NONE (No reportable non-investment income.)		
1	Salary Temple University, Phila., PA	\$ 9,522.00
2		\$
3		\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ NONE (No reportable non-investment income.)
1
2

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 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

DOLORES K. SLOVITER

Date of Report

5/15/05

IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☐	NONE (No such reportable reimbursements.)	
1	Attending Moot Court Competition at Pace University	2/21-22/04 - White Plains, New York (TRANSPORTATION, FOOD, HOTEL)
2	Attending FJC Workshop for Judges of Second and Third Circuits	4/26-27/04 - New Paltz, New York (TRANSPORTATION, FOOD, HOTEL)
3	Attending ABA Annual Meeting - Speaker	8/7-8/04 - Atlanta, Georgia (TRANSPORTATION, FOOD, HOTEL)
4	Attending Third Circuit Judicial Conference	11/3-5/04 - Hershey, PA (TRANSPORTATION, FOOD, HOTEL)
5	Attending Pennsylvania Rhodes Scholarship Selection Committee	11/16-17/04 - Pittsburgh, PA (TRANSPORTATION, FOOD, HOTEL)
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☒	NONE (No reportable liabilities.)		
1			
2			
3			
4			
5			

*Value Codes: J - \$15,000 or less

K - \$15,001-\$50,000

L - \$50,001-\$100,000

M - \$100,001-\$250,000

N - \$250,001-\$500,000

O - \$500,001-\$1,000,000

P1 - \$1,000,001-\$5,000,000

P2 - \$5,000,001-\$25,000,000

P3 - 25,000,001-\$0,000,000

P4 - 50,000,001 or more

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VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 SEE ATTACHED PAGES 1-12									
2									
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14									
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1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H1=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 H2=More than \$5,000,000	E=\$15,001-\$50,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less N=\$250,001-\$500,000 P3=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 O=\$500,001-\$1,000,000	L=\$50,001-\$100,000 P1=\$1,000,001-\$5,000,000 P4=More than \$50,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000	
3	Value Method Codes: (See Col. C2)	Q=Appraisal U=Book value	R=Cost (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market	

VII. INVESTMENTS AND TRUSTS -- income, value, transactions

A.	B.	C.	D.						
Description of Assets	Income during period		Gross Value at end of period		Transactions during period				
Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	<u>If not exempt from disclosure</u>			
						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Exelon - com. stock	A	Div.	L	T	Inherited	9/15			
GE - com. stock	B	Div.	M	T					
IT&T Industries - com. stock	A	Div.	J	T					
Hartford Fin. Services - com. stock	A	Div.	K	T					
PSEG - com. stock	A	Div.	K	T					
Rayonier - com. stock	A	Div.	J	T					
Sara Lee - com. stock	A	Div.	K	T					

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 C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000
 F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000

2. Value Codes: J = \$15,000 orless K = \$15,001 to \$50,000
 L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000
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SBC - com. stock	B	Div.	M	T	Inherited	9/15			
Im-it Ins. Mun. Inv. Tr. - Ser. 16	A	Int. & p'al		T	Sold	6/25	J	A	
Vanguard Mun. Bond Funds	F	Div. & cap. gain	P	T	periodic deposits and redemptions - see VIII				
Vanguard Windsor II	D	Div. & cap. gain	N	T	periodic deposits - see VIII				
Vanguard Star Fund	B	Div.	L	T					
Vanguard 500 Index Fund	A	Div.	J	T					

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Allegheny, PA, 6.0%, '11	A	Int.	J	T					
Bucks County W & S, 5%, '22	A	Int.	J	T					
Conrad Weiser S.D., 5.25%, '14	A	Int.	J	T					
Conrad Weiser Area S.D., 6.85%, '14	B	Int. & cap. gain		T	Sold	12/15	J	A	
Dauphin Cty., 4.4%, '19	A	Int.	J	T					
Dauphin Cty., 4.5%, '26	None		J	T	Purch.	12/10			

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Fleetwood, 5.65%, '11	A	Int.	J	T					
Gettysburg, 5%, '13	A	Int.		T	Sold	6/1	J	None	
Greater Johnstown, 5%, '08	A	Int.	J	T					
Hempfield S.D., 5%, '07	A	Int.		T	Sold	10/15	J	None	
Lancaster, 6%, '14	A	Int. & cap. gain		T	Sold	5/15	J	A	
Lower Merion S.D., 4.8%, '25	A	Int.	K	T					

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Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	<u>If not exempt from disclosure</u>			
						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Lower Merion Twp. 5.125%, '18	B	Int.	K	T					
Luzerne, 5.6%, '16	A	Int.	J	T					
Manheim S.D., 6.1%, '14	A	Int. & cap. gain		T	Sold	5/15	J	A	
Muhlenberg, PA, 5.25%, '12	A	Int.	J	T					
Muhlenberg, PA, 5%, '23	A	Int.	K	T					
Northampton Cty., 6%, '19	B	Int. & cap. gain		T	Sold	10/15	K	A	

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						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
Pa. St. Higher Ed. Fac. Auth., 5.25%, '10	A	Int.	J	T					
Penna. Inter- govt'l, 5 1/4%, '17	A	Int.	J	T					
Penna., 5 3/4%, '18	B	Int.	K	T					
Pa. Higher Ed., 6 1/8%, '10	A	Int.	J	T					
Pa. Turnpike, 5% '23	A	Int.	J	T					
Pa. Turnpike, 5%, '23	A	Int.	J	T					

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Pa. Turnpike, 4.75%, '27	A	Int.	J	T	Purch.	4/28			
Pa. St. Ed. Fin. A., 5.625%, '27	B	Int.	K	T					
Phila. W & W, 5.5%, '14	A	Int.	J	T					
Phila. Parking, 5.125%, '24	A	Int.	J	T					
Pittsburgh, 5%, '21	A	Int.	J	T					
Pleasant Valley, Pa., 5.1%, '12	A	Int.	J	T					

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Southern Lehigh S.D., 5.2%, '18	B	Int.	K	T	Inherited additional bond - same series				
Springfield, 5.2%, '17	A	Int.	J	T					
Suburban Lancaster Swr. Auth., 6%, '17	B	Int.	K	T					
Susquehanna Twp. Auth. Pa., 6%, '13	A	Int.	J	T					
Upper Dublin, 5%, '14	A	Int.	J	T					
West Brandywine Twp., 5.4%, '14	A	Cap. gain	J	T	Sold	1/12	J	A	

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						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
West Chester, 5.45%, '12	A	Int. & cap. gain		T	Sold	2/1	J	A	
Wilkes-Barre Area S.D., 5.25%, '14	A	Int.	J	T					
Wachovia Accts.	A	Int.	K	T					
Lancaster S.D., 6%, '11	A	Int.		T	Sold	5/18	J	A	
<u>Estate of</u> (all assets liquidated or transferred by 12/31/04 except MetLife, first found in 2005)									
American Tel. & Tel. - com. stock	A	Div.		T	Sold	9/20	J		

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
AWE (spinoff from AT&T)		None		T	Sold	9/30	J		
Comcast (spinoff from AT&T)		None		T	Transferred as share of Estate	9/15	J		
Exelon - com. stock	B	Div.		T	Transferred as share of Estate	9/15	L		
Lucent Technologies - com. stock		None		T	Sold	9/30	J		
SBC - common stock	B	Div.		T	Transferred as share of Estate	9/15	M		

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						Date: Month-Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Identity from buyer/seller
Abington S.D., 5.1%, '04	A	Int.		T	Sold	5/24	J		
Del. Pa. & NJ Riv., 5.4%, '14	A	Int.		T	Transferred as share of Estate	9/22	J		
No. Wales, 6.1%, '04	A	Int.		T	Sold	11/8	J		
Pa. Higher Educ., 6 1/8%, '10	A	Int.		T	Transferred as share of Estate	9/22	J		
Southern Lehigh S.D., 5.2%, '18	B	Int.		T	Transferred as share of Estate	9/22	J		

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A.	B.	C.	D.						
Description of Assets	Income during period	Gross Value at end of period	Transactions during period						
Place "(X)" after each asset exempt prior dis- closure	Amt. Code ¹ (A-H)	Type (e.g., div.)	Value Code ² (J-P)	Value Method Code ³ (Q-W)	Type (e.g., sold)	<u>If not exempt from disclosure</u>			
						Date: Month- Day	Value Code ² (J-P)	Gain Code ¹ (A-H)	Iden- tity from buyer/ seller
MetLife, Inc.* - com. stock	A	Div.	J						

* ins. co. had become stock co.

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001 to \$2,500
C = \$2,501 to \$5,000 D = \$5,001 to \$15,000 E = \$15,001 to \$50,000
F = \$50,001 to \$100,000 G = \$100,001 to \$1 million H = over \$1,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001 to \$50,000
L = \$50,001 to \$100,000 M = \$100,001 to \$250,000 N = \$250,001 to \$500,000
O = \$500,001 to \$1,000,000 P = over \$1,000,000
3. Value Method Codes: Q = Appraisal R = Cost (real estate only)
S = Assessment T = Cash/market U = Book value V = Other
W = Estimated

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

DOLORES K. SLOVITER

Date of Report

5/15/05

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

The Vanguard Municipal Bond Funds in VII have checking account features and were used for various transactions throughout the year.

In my Report as of 12/31/03, I neglected to note that on 12/30/03 I had transferred a small amount from a Vanguard Municipal Bond Fund to Vanguard 500 Index Fund, which because it is not a Vanguard Municipal Bond Fund, should have been separately noted – Value J, Income A.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with provisions of 5 U.S.C. app., § 501 et seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544