

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Barkett, Rosemary	2. Court or Organization U.S. Court of Appeals, 11 Cir.	3. Date of Report 4/24/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. Circuit Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address 99 NE 4th Street Suite 1223 Miami, FL 33132	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Trust #1
2.	
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 9/1/79	State of Florida, Div. of Retirement; Sect. 401(a), IRC
2. 10/1/82	Actna Life Ins.; Deferred Comp., Sect. 457, IRC
3. 3/3/87	Nationwide Life Ins.; Deferred Comp., Sect. 457, IRC

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2008	State of Florida Retirement (Actna & Nationwide)	\$18,602.63
2. 2008	State of Florida Judicial Retirement	\$47,804.28
3. July 2008	New York University - Teaching Appellate Judges Seminar	\$1,500.00
4. July 2008	Aspen Institute	\$1,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. American Bar Association, Environmental Seminar	1/0--12/2008	Snowmass Village, CO	Teaching	airfare, meals, lodging
2. Judicial Outreach Committee (The American Society of International Law) Advisory Board	1/29-30/2008	Washington, DC	attend meeting	airfare, meal
3. American Bar Assoc. (CEELI Program)	2/16--22/2008	Istanbul, Turkey	attend conference	airfare, meals, lodging
4. Stanford University	3/17--18/2008	Stanford, CA	speech	airfare, meal, lodging
5. Judicial Council IT Committee	6/1--3/2008	Washington, DC	attend meeting	airfare, meals, lodging
6. National Association of Women	6/24/2008	Washington, DC	attend meeting	airfare, meals

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on Judges

7. American Bar Association (C EELI Program)	7/5--10/2008	Damascus, Syria	Teaching	airfare, meals, lodging
8. New York University - Appel late Judges Seminar	7/14--17/2008	New York, NY	attend Seminar/teaching	airfare, meals, lodging
9. The Aspen Institute, Justice & Society Program	7/18--24/2008	Aspen, CO	Teaching	airfare, meals, lodging
10University of Florida	9/4--5/2008	Gainesville, FL	Attend Moot Court	airfare, meals, lodging

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Farm Credit, Homestead, FL	Real Estate Mortgage (1/3 owner)	K
2. Wachovia Bank (re-mortgage)	Real Estate Mortgage (1/2 owner)	L
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT & T, Inc (formerly SBC & before that Pacific Telcelis)	A	Dividend	J	T					
2. Altira	A	Dividend	K	T					
3. Amgen, Inc (Amgn)		None	L	T					
4. Apple		None	J	T	Buy	10/8	J		
5. Applied Materials	A	Dividend	K	T					
6. Attl (formerly Firstcom FCLX)		None	J	T					
7. Cisco		None	K	T					
8. Citicorp (c) formerly Travelers Group	A	Dividend	J	T					
9. Coca Cola (KO)	A	Dividend	J	T					
10. Corning, Inc. (GLW)	A	Dividend	K	T					
11. Dell		None	J	T					
12. Walt Disney (DIS)	A	Dividend	J	T					
13. EMC Corp.		None	K	T					
14. Federal National Mortgage Association (Fannie Mae)	A	Dividend	J	T					
15. Fld.Contra Fd FCNTX		None	K	T					
16. Hewlett-Packard (HPQ)	A	Dividend	K	T					
17. Intel Corporation	B	Dividend	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. IBM	A	Dividend	J	T					
19. JP Morgan Chase (JPM)	A	Dividend	J	T					
20. Microsoft Corporation (MSFT)	A	Dividend	K	T					
21. Micron Technology (MU)		None			Sold	10/08	J		
22. Nokia Corp. (NOK)	B	Dividend	K	T					
23. North. Trust NTRS	A	Dividend	K	T					
24. Qualcomm, Inc. (QCOM)	A	Dividend	J	T					
25. Rsearch Motion LTD		None	J	T	Buy	10/08	J		
26. Texas Instruments	A	Dividend	K	T					
27. Live Ops		None	L	T	Buy	4/08	L		
28. Actna Defer ed Comp Plan (now ING Life)	D	Dividend	L	T					
29. Nationwide	D	Dividend	L	T					
30. Skandia now GldnSelect (Am. Skandia trans ferred into ING)		None	M	T					
31.									
32. TRUST # 1 (Global Managed Portfolio Lev el 5 (Citibank)	D	Dividend	N	T					
33.									
34. IRA #1 (ClearBridge Multi Cap Growth Po rtfolios (Citibank)	A	Dividend	M	T					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000

2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P3 = \$5,000,001 - \$25,000,000 P4 = More than \$50,000,000

3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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35.									
36. Re-Parcel 1-Lake Park, FL (1/2 ownership)		None	N	W					
37. Re-Parcel 2-Key Largo, FL (1/4 ownership)		None	K	W					
38. Re-Parcel 4-Homestead, FL (1/3 ownership)		None	L	W					
39. Re-Parcel 5-Homestead, FL (1/2 ownership)		None	L	W					
40.									

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(See Columns B1 and D4)

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3. Value Method Codes
(See Column C2)

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544