

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WILLIAMS, RICHARD L	2. Court or Organization U.S. District Court ED/VA	3. Date of Report 04/30/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Robinson/Merhige U.S. Courthouse 701 E. Broad St., Suite 3000 Richmond, Virginia 23219	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1 Trustee	Revocable Trust Agreement dated as of 11/29/05 to hold Scott & Stringfellow Account - Trust #1
2 Trustee	Revocable Trust Agreement dated as of 11/29/05 to hold Scott & Stringfellow Account - Trust #2
3 Trustee	Revocable Trust Agreement dated as of 11/29/05 to hold Merrill Lynch Account - Trust #3
4.	
5	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1	
2	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1 1/1-12/31	Virginia Supplemental Retirement/retirement Total -	\$10,626.00
2		
3		
4		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1	
2	
3	
4	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1				
2				
3				
4				
5				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div, rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1 Bank of America/Richmond, VA/ Accts	A	Interest	K	T					
2. Suntrust/Richmond, VA/Acct	A	Interest	J	T					
3 Revocable Trust #1/Scott & Stringfellow Comprised of:	E	Int /Div.	N	T					
4. - Bristol Myers Squibb Co (Common Stock)									
5. - Intel Corp (Common Stock)									
6 - Int'l Business Mach (Common Stock)									
7 - Microsoft (Common Stock)					Sold (part)	5/14/09	J		See Notes
8 - Minnesota Mng Mfg - (3M Co.) (Common Stock)									
9 - Suntrust Banks (Common Stock)									
10. - Verizon Communications (Common Stock)									
11 - Johnson & Johnson (Common Stock)									
12 - Smucker JM Co (Common Stock)									
13. - AT&T									
14 - Amem High Inc Tr CL B					Buy	3/31/08	K		See Notes
15 - Richmond VA MET Auth Expwy Rev ITM 011613									
16 - Harrisonburg VA REDV HSG 071614									
17 - Units Tax Exempt Bd FD VA									

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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18. - Henrico VA 6.5 060122									
19. - Va St RDA-D 6.0 040124									
20. - Fairfax FINL 042612									
21. - Loudoun VA VAR 080128					Sold	2/2/09	J		See Notes
22. - Albemarle Va. 010112									
23. - Smithfield 080111									
24. - Smithfield 101509					Buy	10/01/08	J		See Notes
25.					Sold	10/15/09	J		See Notes
26. - Va Bch Va Dv Ath Res Care Fac 7/01/10									
27. - VA St Hous Rev 010116					Buy	1/28/09	K		
28. Revocable Trust #2 - Scott & Stringfellow Acct. Comprised of	D	Int /Div	M	T					
29. - Va St Res Auth Wtr & Swr Sussex					Sold	7/22/09	K		See Notes
30. - Princ Wm Co Va Wstmnstr Lake 610113									
31. - Va. St Res Auth Rev Infra Sub Pooled Fing 110111									
32. - Henrico Co Va EconDv Utd Meth 6/01/28									
33. - Fairfax Co Va Econ Dv Goodwin Hs 10/01/16									
34. - Chesterfield Co VA 010120					Buy	7/23/09	L		

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35 Revocable Trust #3 - Merrill Lynch CMA comprised of	D	Int /Div	N	T					
36. - Norfolk VA Wtr Rev RFDG FSA 110123									
37. - VA St Res Auth Wtr&Sys Rev Sussex RF OIL 100125					Redeemed	7/22/09	J	A	
38. - VA St Res at INFRA Rev Pooled LN BD 050117					Distributed	6/26/09	K		See Notes
39. - VA St Res Auth 050117 (10K)					Spinoff (from line 38)	6/26/09	J		See Notes
40 - VA St Res Auth 050117 (15K)					Spinoff (from line 38)	6/26/09	K		See Notes
41 - VA Clige Bldg Auth Wash-Lee MBIA 010126									
42. - Arlington Co VA Pub IMPT 02012019									
43. - Southeastern Pub Svc Auth 07012015									
44. - Norfolk Va. Cap Impt MBIA 03012016									
45 - DC Ballpk Rev 2012019									
46 - Blackrock MuniVest Fund, Inc									

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2 Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3 Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Entries of new acquisitions have been listed in each brokerage account, not at the end of the report, in order to keep each account together.

Part VII - Line 7 - No appropriate letter code. This asset was sold at a loss.

Part VII - Line 14 - Inadvertently omitted from 2008 report.

Part VII - Line 21 - No appropriate letter code. No gain on this transaction.

Part VII - Line 24 - Inadvertently omitted from 2008 report.

Part VII - Line 25 - No appropriate letter code. No gain realized on this transaction.

Part VII - Line 29 - No appropriate letter code. This asset was sold at a loss.

Part VII - Line 38 - No appropriate classification for exchanges. This asset was exchanged for Va. St. Res. Auth. entries listed on Lines 39 and 40.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C 20544