

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MARSH, MALCOLM F	2. Court or Organization U.S. DISTRICT COURT, OREGON	3. Date of Report 5/7/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DIST. JUDGE, SR. STATUS	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 1000 S. W. THIRD AVENUE SUITE 1507 PORTLAND, OR 97204	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. BOARD MEMBER	RESIDENCE CONDOMINIUM ASSOCIATION
2.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☐ NONE - (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 12/68	VESTED INTEREST IN FORMER LAW FIRM, CLARK, LINDAUER PLAN, PIONEER TRUST BANK, N.A., SALEM, OR SEE VII, P. 5, LINE 86

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)

A. Filer's Non-Investment Income

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

GROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income - (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☒ **NONE** - (No reportable non-investment income.)

DATE

SOURCE AND TYPE

1.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ **NONE** - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ **NONE** - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. 1/4 Interest in Clark, et al, Salem, OR Rental, App. 1992	D	Rent	M	Q					
2. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT									
3. Smith Barney Municipal Money Market Fund Mutual Fund	A	Interest	J	T					
4.									
5. MUNICIPAL BONDS									
6. Portland OR Central City Street, 04/01/2018	A	Interest	K	T					
7. Clackamas County, OR, Hospital, 10/01/04	A	Interest			Full Call	06/16	J	A	
8. Polk Marion Benton County, OR School Dist, Due 12/01/06	A	Interest	J	T					
9. Oregon State Bd Bk Revenue Service Pub Wks, 01/01/07	A	Interest	J	T					
10. Oregon St. Bd Bk Rev Ore Eco Comm Dev Dept, 01/01/2025	A	Interest	J	T					
11. Ore. Health Sci. Univ, 07/01/2015	A	Interest			Sell	09/11	K	A	
12. Umatilla Co. Ore. Hosp., 12-01-2030	B	Interest	K	T					
13. Portland, OR Urban Renewal & Redev., 06/15/2017	A	Interest			Sell	03/20	K	A	
14. Salem-Keizer Ore. S.D. 06/01/2013	A	Interest	J	T					
15. Ore St. Health HSF Edl & Cultural, 11-15-2032	A	Interest	K	T					
16. Portland Or Comm Coll Dist Ser 06/01/2019	A	Interest	K	T					
17. Wash Mult & Yamhill Cos OR S/D 06/01/2018	A	Interest	K	T					
18. Ore St. Dept Admin Svcs 05/01/2026	A	Interest			Sell	05/21	K	B	

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
(See Columns C1 and D3)	N = \$250,000-\$500,000	O = \$500,001-\$1,000,000	P1 = \$1,000,001-\$5,000,000	P2 = \$5,000,001-\$25,000,000	
	P3 = \$25,000,001-\$50,000,000		P4 = \$More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash/Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
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19. Portland OR Housing Auth Rev Rfdg-Pooled 01/01/2027	A	Interest	J	T					
20. Ore. St. Brd Hghr Ed, Ser. A, 08-01-2014	A	Interest	J	T					
21. Ore. St. Brd Hghr Ed, Ser. A, 08/01/2016	A	Interest	J	T					
22. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026	A	Interest	K	T					
23. Linn Benton Ore Cmnty Coll, 06/15/2015	A	Interest			Sell	02/20	K		
24. Childrens Tr Fd, 05/15/2043	B	Interest			Sell	11/26	K		
25. OHSU Rev Ser A MSF MBIA, 07/01/2032	A	Interest	K	T					
26. Ore. St. Elderly & Disable Hsg Serv, 08/01/2022	B	Interest	K	T					
27. Corvallis Sch. Dist 509J, Due 06/01/2018 30,000	A	Interest	K	T	Buy	02/20	K		
28. Corvallis Sch. Dist 509J, Due 06/01/2018 20,000	A	Interest	K	T	Part. Sale	09/11	K		
29. Ore. St. Dept. Admin Svcs, Due 05/01/2028	A	Interest	K	T	Buy	03/20	K		
30. Washington & Clackamas Cnty SD#23 Due 06/15/2020	A	Interest	K	T	Buy	08/11	K		
31. Clackamas Cnty Full Faith & Cred Oblgs, Due 06/01/2033	A	Interest	K	T	Buy	06/17	K		
32. OR St Vets Welfare, Ser. B, Due 10/01/2042	B	Interest	K	T	Buy	09/11	K		
33. Douglas Cnty S.D. #4, Due 12/15/2020	A	Interest	K	T	Buy	11/26	K		
34. MUTUAL FUNDS									
35. Pimco Real Return Fund	C	Dividend	M	T					
36. Pimco Real Return Fund Mutual Fund	C	Dividend	K	T	Buy	05/21	K		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37.									
38. SMITH BARNEY FINANCIAL MANAGEMENT ACCOUNT									
39. Citibank NA Bank Deposit Program	A	Interest	J	T					
40. MUNICIPAL BONDS									
41. Portland Or Urban Renewal Redev Or Conv Cntr, 6-15-2017	A	Interest			Sell	03/20	K	B	
42. Ore St Housing & Comm Svcs, 7-1-2019, 15,000	A	Interest	J	T	Partial Call	01/02	J	A	
43. Ore. St. Housing & Comm. Svcs, Due 07/01/2019 5,000	A	Interest			Full Call	07/01	J	A	
44. Portland OR Central City Street, 04/01/2018	A	Interest	K	T					
45. Portland ORE Sewer System Rev, 06/01/2018	B	Interest	K	T					
46. Medford ORE Hosp Facs Auth Rev, 08/15/2028	B	Interest	K	T					
47. Oregon State Bd Bk Revenue Service Pub. Works 01/01/07	A	Interest	J	T					
48. Oregon St. Dept of Administ. Services (BMIA), 11/1/16,	A	Interest	J	T					Due Dt chgd to 11/15/2032
49. ORE St. Hlth HSF Edl & Cultural 11/15/2032	A	Interest	K	T					
50. Ptind Or Cmnty Coll Dist Ser 06/01/2019	A	Interest	K	T					
51. Wash, Mult & Yamhill Cos Ore S/D 06/01/2018	B	Interest	J	T					
52. Ore St Dept Admin Svcs 05/01/2026	A	Interest			Sell	05/21	K	B	
53. Ptld Or Hsg Auth Rev RFDG 01/01/2027	A	Interest	K	T					
54. Wash Cnty Ore Sch Dist (Forest Grove) 06/15/2021	A	Interest	K	T					

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2. Value Codes:	F = \$50,001-\$100,000 (See Columns C1 and D3)	J = \$15,000 or less N = \$250,000-\$500,000 P3 = \$25,000,001-\$50,000,000	K = \$15,001-\$50,000 O = \$500,001-\$1,000,000	L = \$50,001-\$100,000 P1 = \$1,000,001-\$5,000,000 P4 = \$More than \$50,000,000	M = \$100,001-\$250,000 P2 = \$5,000,001-\$25,000,000
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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
55. Ore. St. Brd Hghr Ed., Ser. A, 08/01/2014	A	Interest	J	T					
56. Ore. St. Brd. Hghr Ed., Ser. A, 08/01/2015	A	Interest	J	T					
57. Ore. St. G/O St. Brd Hghr, 08/01/2013	A	Interest	J	T					
58. Linn Benton Ore Cmnty Coll, 06/15/2015	A	Interest			Sell	02/20	K	A	
59. Clack Cnty Ore Sch Dist (Lk Osw), 06/01/2026	C	Interest	L	T					
60. Ore. St. Elderly & Disabled Hsg Ser, 08/01/2022	A	Interest	K	T					
61. OHSU Rev Ser. A. MSF, MBIA, 07/01/2032	A	Interest	K	T					
62. [REDACTED] Tr. Fd., 05/15/2043	A	Interest			Sell	11/26	J	A	
63. Ore St Brd Higher Ed Baccalaureate, 08/01/2017	A	Interest	J	T					
64. Douglas County S.D. #4, Due 12/15/2020	A	Interest	J	T	Buy	11/25	J		
65. Ore. St. Veterans Welfare Service, Due 10/01/2042	A	Interest	K	T	Buy	09/11	K		
66. Washington/Clackamas Cnty S.D. 23 Tigard, Due 6/15/2020	A	Interest	K	T	Buy	08/11	K		
67. Clackamas Cnty OR full faith & cred obligs, due 6/1/2033	A	Interest	K	T	Buy	06/17	J		
68. Bend OR full faith & credit obligs, due 12/1/2022	B	Interest	K	T	Buy	04/16	K		
69. Deschutes Cnty, OR, Hosp Facs, due 1/1/2022	B	Interest	L	T	Buy	04/03	L		
70. Oregon St Dept Admin Svcs (OID), due 5/1/2028	A	Interest	K	T	Buy	03/20	K		
71. Corvallis SD 509J, Due 6/1/2018	A	Interest	K	T	Buy	02/20	K		
72. Corvallis SD 509J, Due 6/1/2018	A	Interest			Sell	09/11	K		

- | | | | | | |
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73. MUTUAL FUNDS									
74. Pimco Real Return Fund	C	Interest	M	T					
75. Pimco Real Return Fund, Cl. B					Buy	05/21	K		
76. Federal Home Loan Mtg. Corp .Due 8/28/2017, 25,000	B	Interest			Sell-partial	01/07	K		
77. Federal Home Loan Mtg. Corp. Due 8/28/2017, 50,000	C	Interest			Sell	04/03	L		
78. Delaware Tax Free Oregon Insured Fund	C	Interest	M	T					
79. John Hancock Preferred Income Fund	B	Interest	K	T	Buy	01/07	K		
80. John Hancock preferred Income Fund	A	Interest			Sell	04/16	K		
81.									
82. Key Bank Private Bank	C	Interest	M	T					
83. U.S. Bank Checking Acct (x)	A	Interest	J	T					
84. Key Bank Money Market Act	A	Interest	L	T					
85. U.S. Bank Money Market Acct	B	Interest	L	T					
86. 16% of Clark, Lindauer, et al., 401K PS Plan	A	Int & Div	O	T	Distrib.	01/10	K	A	Partial - annual w/draw profit
87.									No management of
88.									investments
89. Pioneer Trust Bank (Distrib from Clark, Lindauer-- above)	A	Interest	K	T	Distrib.	02/17	K	A	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/7/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544