

AO-10
Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) McKibben, Howard D	2. Court or Organization United States District Court	3. Date of Report 3/29/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Active	5. Report Type (check appropriate type) ☒ Nomination Date 10/4/1984 ☐ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 400 S. Virginia St., Room 804 Reno, Nevada 89509	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Instructor	The National Judicial College, Reno, Nevada
2.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	

RECEIVED
2004 APR -5 P 2:51
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☒ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPEGROSS INCOME

(yours, not spouse's)

1.

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)DATESOURCE AND TYPE

1. 2003

Public Employers Retirement System 

2.

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☒ **NONE** - (No such reportable reimbursements.)SOURCEDESCRIPTION

1.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☐ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1. Bank of America

Promissory Note - 4.24% Adjustable 1994

K

FINANCIAL DISCLOSURE REPORT

Page 1 of 4

Name of Person Reporting
McKibben, Howard D

Date of Report
3/29/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy. sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month- Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. House - [REDACTED] NV	D	Rent	M	W					
2. 1/2 interest -unimproved land [REDACTED] NV (water rights)		None	M	W					
3. House, [REDACTED] Oregon	E	Rent	N	W					
4. Bank of America	B	Interest	J	T					
5. Bank of America, Checking Acct. [REDACTED]		None	J	T					
6. Security Benefit & Nationwide Life	D	Interest	M	T					
7. Wells Fargo	A	Dividend	J	T					
8. Sierra Federal Credit Union, [REDACTED]	B	Interest	J	T					
9. Key Plan Maxi-Single Premium Life - Tax Deferred	B	Interest	L	T					
10. Hartford - Single Premium	A	Interest	K	W					
11. Evergreen Total Return Mutual Fund	B	Dividend	L	T					
12. AT&T	A	Dividend	J	T					
13. AT&T Wireless		None	J	T					
14. Charles Schwab & Co., Inc.	A	Interest	J	T					
15. Bell South	A	Dividend	K	T					
16. SBC Communications	B	Dividend	K	T					
17. Dean Witter Sears Liq. Asset & Liq. Asset FD DSC Exchange	D	Interest	K	T					
18. (Cont.) Dean Witter Tax Free Daily Income & Active Asset Trust									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes: Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 2 of 4

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. Ford Motor Company	A	Dividend	J	T					
20. Public Employees Retirement System of Nevada		None	J	W					
21. Inter Capital Quality Muni (two funds)	A	Interest	J	T					
22. Inter Capital Insured Muni Income	A	Interest	J	T					
23. 10.0 net mineral acre interest - Texas		None	J	W					
24. Water Rights, Nevada		None	J	W					
25. Cisco Systems, Inc.		None	J	T					
26. Dell Computer		None	J	T					
27. Microsoft		None	J	T					
28. Delphi Automotive	A	Dividend	J	T					
29. General Motors	A	Dividend	K	T					
30. Raytheon Co.	A	Dividend	J	T					
31. Morgan Stanley Dean Witter IRA Acct: American Opportunities		None	J	T					
32. General Electric	A	Dividend	J	T					
33. Dean Witter IRA Account: Dean Witter Convertible Securities	A	Dividend	J	T					
34. Dean Witter IRA Account: - Proctor & Gamble	A	Dividend	J	T					
35. Dean Witter IRA Account: - Dean Witter Div. Growth		None	J	T					
36. Dean Witter IRA Account: - T Rowe Price Small Cap		None	J	T					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
 (See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
 2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
 (See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
 P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000
 S = Assessment T = Cash/Market

FINANCIAL DISCLOSURE REPORT

Page 3 of 4

Name of Person Reporting
McKibben, Howard D

Date of Report
3/29/2004

VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets). Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- E)	(5) Identity of buyer/seller (if private transaction)
37. Dean Witter IRA Account: - Dean Witter Equity Trust	A	Dividend	J	T					
38. Dean Witter Active Asset Acct: - U.S. Treasury Notes	D	Interest	N	T	sold portion	2003	M	D	
39. Promissory Note - Mary Abbott (paid principal in full)		None	J	W					
40. Lucent Corp.	A	Dividend	J	T					
41. NCR Corp.		None	J	T					
42. General Electric	A	Dividend	J	T					
43. Intel	A	Dividend	J	T					
44. Veritas		None	J	T					
45. House - [REDACTED] California		None	M	W					
46. Bank of America Time Certificate	B	Interest	L	T					
47. Tollgrade		None	K	W					
48. Sun Microsystems		None	J	T					
49. Conquest		None	J	T					
50. Interleukin Genetics		None	J	T					
51. Avaya		None	J	T					
52. Visteon		None	J	T					
53. Loral		None	J	T					
54. Farm - [REDACTED] Ohio	D	Rent	M	W					

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = More than \$50,000,000

Q = Assessed R = Cost (Real Estate Only) S = Assessment T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 4 of 4

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
55. Oracle (See 1 in Part VIII)	A	None	J	T					
56. Series I Bonds (eleven) (See 2 in Part VIII)		None	J	T					
57. Heritage Bank	B	Interest	M	T					
58. Snuckers		None	J	T					
59. Agere Systems		None	J	T					
60. Comcast Corp.		None	J	T					
61. Sky Works Solutions		None	J	T					
62. Mindspeed (distribution of Conexant)		None	J	T	distribution	6/27	J		
63. J.P. Morgan & Chase		None	J	T	purchase	3/21	J		

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000

(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000

2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000

(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000

P3 = \$25,000,001-\$50,000,000 P4 = \$50,000,001-\$100,000,000 P5 = More than \$100,000,000

Q = Assessment R = Cost (Real Estate Only) S = Assessment T = Cash Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

All of our assets are held in a trust.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

McKibben, Howard D

Date of Report

3/29/2004

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date March 29, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544