

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Duffey, Jr., William S.	2. Court or Organization Northern District of Georgia	3. Date of Report 5/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 1/1/2010 to 12/31/2010
7. Chambers or Office Address 1721 United States Courthouse 75 Spring Street, S.W. Atlanta, GA 30303-3309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Mary Hall Freedom House, Inc. - Salary
2. 2010	Holtzbrink Publishing Holdings, LP - royalties from copyrights
3. 2010	Penguin Group (USA) Inc. - royalties from copyrights
4. 2010	Random House, Inc. - royalties from copyrights
5. 2010	Self-employed writer

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Chemonics International, Inc.	10/23/2010-10/28/2010	Kyiv, Ukraine	Seminar w/ Gov.	Travel
2. FJC Educational Terrorism Seminar	03/03/2010-03/05/2010	Durham, NC	Seminar	Travel
3. Texas Tech	04/15/2010-04/16/2010	Lubbock, TX	Seminar	Travel
4. Chemonics International, Inc.	04/18/2010-04/23/2010	Kyiv, Ukraine	Seminar w/ Gov.	Travel
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Brokerage Account #2									
2. -MS Bank Deposit Program	A	Interest	J	T					
3. -Franklin Mutual Recovery C	B	Dividend	K	T					
4. -Atlanta WTR-A BE	B	Interest			Sold	10/05/10	K	B	
5. -Investco VK Emerging Markets C (name change)		None	K	T	Buy (add'l)	10/05/10	J		
6.					Sold (part)	02/10/10	J	C	
7. -Blackrock Global Allocation C	A	Dividend	L	T	Buy (add'l)	10/05/10	K		
8.					Sold (part)	04/28/10	J	B	
9. -Investco VK Capital Growth C (name change)		None	K	T	Sold (part)	02/10/10	K	D	
10. -Investco VK Muni Income C (name change)	A	Dividend	K	T					
11.	B	Interest							
12. IRA #1	D	Dividend	N	T					
13.	B	Interest							
14. -MS Bank Deposit Program									
15. -FHR 2920 WG 5.25%					Sold	07/15/10	J	A	
16. -Federal National Mtg Assn					Sold (part)	12/25/10	J	A	
17. -Franklin Income C									

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. -AIPABSRT									
19. -Investco Equal Wgtd S&P 500 C (name change)					Buy (add'l)	06/30/10	J		
20. -Wells Fargo Company									
21. -Duke Energy Corp									
22. -Wellpoint Inc									
23. -Caterpillar FINL									
24. -Dell Inc									
25. -Rio Tinto Finance USA Limited									
26. -General Electric Capital Corp									
27. -Morgan Stanley									
28. -Comcast Corp New									
29. -Goldman Sachs Group Inc									
30. -Marathon Oil Corp									
31. -Verizon Communications									
32. -Glaxosmithkline Cap Inc									
33. -Kraft Foods Inc									
34. -Tyco International Finan									

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H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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	Code 1 (A-H)		Code 2 (J-P)	Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
35. -AT&T Inc									
36. -Metlife Inc					Sold	03/29/10	J	A	
37. -Boeing Co									
38. -CVS Caremark Corp									
39. -Indiana Michigan Power									
40. -Altria Group Inc									
41. IRA #2	A	Interest	M	T					
42. -MS Bank Deposit Program									
43. -AIPABSRT									
44. -Keyworth Bank									
45. Roth IRA #1	A	Dividend	J	T					
46. -Investco VK Corporate Bond C (name change)									
47. Roth IRA #2	A	Dividend	J	T					
48. -Investco VK Growth & Income C (name change)									
49. NW Mutual Estate Complife		None	L	T					
50. NW Mutual 65 Life		None	L	T					
51. K & S Investments	B	Interest	J	U					

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H2 = More than \$5,000,000

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(See Columns C1 and D3)

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K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

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3. Value Method Codes
(See Column C2)

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52.	A	Dividend							
53.	B	Distribution							
54. C & B Capital I, L.P. *	A	Interest	K	U					
55.	B	Distribution							
56. C & B Capital II, L.P. **	A	Interest	L	U					
57.	A	Distribution							
58.	A	Dividend							
59. Betsy Byars, Inc.		None	M	W					
60. Gold coins		None	K	T					
61. Brokerage Account #1									
62. -MS Bank Deposit Program	A	Interest	J	T					
63. -One GA Bk		None	J	T	Buy	02/11/10	J		
64. -Blackrock National Muni C	B	Interest			Sold	12/17/10	K	D	
65. -Van Eck Intl Inv Gold FD A	C	Dividend	K	T	Buy	02/19/10	K		
66. -Dreyfus Intermediate Muni BD	A	Interest			Buy	02/22/10	K		
67.					Sold	12/17/10	K	A	
68. -American WA Mutual A	A	Dividend			Buy	02/19/10	J		

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2. Value Codes
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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

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69.					Sold	12/17/10	J	C	
70. -Evergreen NC Muni BD A	C	Int./Div.			Buy	02/19/10	M		
71.					Sold	12/17/10	M	D	
72. Brokerage Account #3									
73. -MS Bank Deposit Program	A	Interest	J	T					
74. -Ishares Barclays Tips BD FD	A	Dividend	J	T					
75. -Ishares S&P 500 GRWTH Index	A	Dividend	K	T	Sold (part)	02/10/10	J	B	
76. -Ishares S&P 500 Value Index	A	Dividend	K	T	Sold (part)	02/10/10	J	B	
77. -Ishares S&P Midcap 400 Index	A	Dividend	J	T					
78. -Nuveen Municipal Value FD INC	A	Dividend	K	T	Sold (part)	11/10/10	K	B	
79.	B	Interest							
80.	A	Distribution							
81. -Vanguard EMRG MKTS ETF	A	Dividend	J	T	Sold (part)	02/10/10	J	A	
82. -Vanguard European ETF	A	Dividend	J	T	Sold (part)	02/10/10	J	B	
83. -Vanguard Pacific ETF	A	Dividend	J	T					
84. -Vanauard REIT ETF	A	Dividend	J	T					
85. -Blackrock Apex Mun FD Inc	A	Dividend	J	T	Buy	11/10/10	J		

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86.	A	Interest							
87. -Invesco Muni Inc Opp TR III	A	Interest	J	T	Buy	11/10/10	J		
88. -Ishares Barclays 1-3 Year Cred	A	Dividend	L	T	Buy	12/27/10	L		
89. -SPDR Trust Series 1		None	L	T	Buy	12/27/10	L		
90. IRA #3	E	Dividend	PI	T					
91.	A	Interest							
92.	A	Distribution							
93. -Morgan Stanley Bank Deposit Program									
94. -GNMA Pool					Sold (part)	12/20/10	J	A	
95. -Ishares IBOXX Invest GR					Buy (add'l)	07/26/10	J		
96. -Ishares Barclays Tips BD FD					Sold (part)	06/07/10	J	A	
97.					Buy (add'l)	07/26/10	J		
98. -Ishares Barclays 1-3 YR TSY BD					Sold (part)	06/07/10	K	A	
99.					Buy (add'l)	07/26/10	J		
100. -Ishares IBOXX H/Y Corp BND					Sold (part)	07/26/10	J	A	
101.					Buy (add'l)	06/07/10	J		
102. -Ishares JP Morgan EM Bond FD					Sold (part)	07/26/10	J	A	

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2. Value Codes
(See Columns C1 and D3)

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. -Ishares MSCI Canada Index FD					Buy (add'l)	07/26/10	J		
104. -Ishares MSCI Japan Index Fund					Buy (add'l)	07/26/10	J		
105. -Ishares MSCI Pac EX-JPN IDX					Sold (part)	07/26/10	J	A	
106. -Ishares S&P 500 Value Index					Sold (part)	07/26/10	J	B	
107.					Buy (add'l)	06/07/10	J		
108. -Ishares S&P 500 GRWTH Index					Buy (add'l)	07/26/10	J		
109. -Ishares S&P Midcap 400 Index					Sold (part)	06/07/10	K	C	
110. -Ishares SP Smallcap 600 Index					Sold (part)	06/07/10	K	B	
111. -Ishares TR MSCI Small Cap					Sold (part)	07/26/10	J	A	
112. -Powershares DB Comm TRK INC					Sold (part)	07/26/10	J	A	
113.					Buy (add'l)	06/07/10	J		
114. -Vanguard EMRG MKTS ETF					Sold (part)	07/26/10	J	B	
115.					Buy (add'l)	06/07/10	J		
116. -Vanguard European ETF					Sold (part)	07/26/10	J	A	
117. -Vanguard Intermediate Term BND					Sold (part)	06/07/10	J	A	
118.					Buy (add'l)	07/26/10	J		
119. -Vanguard REIT ETF					Sold (part)	07/26/10	J	B	

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L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. -JP Morgan HIBRG Stat Mkt Neu A					Buy	06/07/10	L		
121. -RYDEX/SGI Managed Fut Str A					Buy	06/07/10	K		
122. One Georgia Bank		None	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

*Ownership interest percentage is 0.208971%. During the reporting period, C&B Capital I, L.P. held interests in the following companies:

- Central Security Group, Inc.
- VCG, LLC
- Global Care, Inc.
- NuBridges, Inc.
- Alimera Sciences, Inc.
- Focus Receivables Management, Inc.
- Healthmark Partners, Inc.
- Healthcare Solutions, Inc.

**Ownership interest percentage is 0.743396%. During the reporting period, C&B Capital II, L.P. held interests in the following companies:

- SnagAJob.com, L.P.
- CBG Holdings, Inc.
- Alimera Sciences, Inc.
- All Web Leads, Inc.
- Brightree, LLC
- Healthcare Solutions, Inc.
- Prommis Solutions, Inc.
- REACH Health, Inc.
- PMW Technologies, Inc. d/b/a PeopleMatter
- SG-BGP, LLC/BPG Holdings, LLC
- Vesdia, Inc.
- Controlscan, Inc.
- Navitas Lease Finance Corp.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ William S. Duffey, Jr.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544