



Donald C. Nugent
Judge

United States District Court
Northern District of Ohio
801 West Superior Avenue
Cleveland, Ohio 44113-1842

Phone (216) 357-7160
[REDACTED]

June 23, 2009

Judge Bobby R. Baldock
Chair
Committee on Financial Disclosure
Administrative Offices of the
United States Courts
One Columbus Circle, NE
Washington, D.C. 20544

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FINANCIAL
DISCLOSURE OFFICE

Re: Calender Year 2008 Filing

Dear Judge Baldock:

This is in response to your letter dated June 12, 2009.

In part VII, page 4, lines 14-17, and page 5, lines 18-21 in my 2007 report listed various assets. These assets were not listed in my 2008 report because of a non-reportable event. I was divorced May 1, 2007 and those assets belong to [REDACTED]

I am filing an Amended Report that will include in Part VII, page 4, lines 14-17, and page 5, lines 18-21 contained in my 2007 report with a parenthetical "(Y)" inserted alongside the name of the asset.

I trust this letter and the filing of the amended Report will satisfy the reporting requirements of the Ethics in Government Act of 1978 (5 U.S.C. app Sections 101-111)

Please contact me at your convenience if there is anything further that may be required.

Sincerely
[REDACTED]

Donald C. Nugent
United States District Judge

Enclosure

Nugent_Donald_C

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2008

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NUGENT, DONALD C.	2. Court or Organization U.S. DISTRICT COURT N.D. OH	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Court House 801 W. Superior Avenue Cleveland, OH 44113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Honorary Trustee	Greater Cleveland Veterans Memorial, Inc., Cleveland, OH
2. Honorary Trustee	Cleveland Police Historical Society, Cleveland, OH
3. Member, Board of Trustees	Central Reserve Life Foundation, Strongsville, OH
4. Member, Board of Trustees	Malachi House, Cleveland, OH
5. Member, Board of Advisors	City Year Cleveland, Cleveland, OH

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4/1/08	Ohio Public Employees Retirement	\$36,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Washington Mutual, Lerner Trust	Mortgage on investment property #2, 2212	M
2. Washington Mutual, Lerner Trust	Mortgage on investment property #3, 2227	M
3. HSBC Bank, Lerner Trust	Mortgage on investment property #4, 2217	M
4. Bank of America	Mortgage on investment property #5, 5130	M
5. HSBC Bank	Mortgage on investment property #6, 5142	M

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Fort Myers, FL	D	Rent			Sold	1/30	N	E	
2. Rental Property #2, Captiva Island, FL	A	Rent	N	W					
3. Rental Property #3, Captiva Island, FL	A	Rent	N	W					
4. Rental Property #4, Captiva Island, FL	A	Rent	N	W					
5. Rental Property #5, Captiva Island, FL	A	Rent	N	W					
6. Rental Property #6, Captiva Island, FL	A	Rent	N	W					
7. Third Federal Savings	A	Interest	J	T					
8. Public Employees Retirement System of Ohio	A	Interest	M	T					
9. Ohio Public Def. Comp. Program	A	Interest	K	T					
10. [REDACTED] Property, Captiva Island, FL		None	K	W					
11. Putnam Growth and Income CI-A	A	Dividend	J	T					
12. U.S. Savings Bonds	A	Interest	K	T					
13. Ambrosio & Sirois-A Venture Capital Company		None	L	T					
14. Merrill Lynch Global Allocation Fund "(Y)"									
15. Black Rock Index Equity Fund "(Y)"									
16. CVMA Ohio Municipal Money Fund "(Y)"									
17. Franklin Templeton Fund "(Y)"									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Merrill Lynch Dow Select 10 "(Y)"									
19. Bonnie Bell Inc. Common Stock "(Y)"									
20. Copytele Common Stock "(Y)"									
21. Waterworks Common Stock "(Y)"									
22. John HancockMutual Life Insurance	A	Dividend	K	W					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Part VII, page 4, lines 14-17, and page 5, lines 18-21 are no longer reportable because I was divorced on May 1, 2007 and those assets belong to [REDACTED]

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

6/23/09

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2008Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NUGENT, DONALD C.	2. Court or Organization U.S. DISTRICT COURT N.D. OH	3. Date of Report 05/12/2009
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2008 to 12/31/2008
7. Chambers or Office Address U.S. Court House 801 W. Superior Avenue Cleveland, OH 44113	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Honorary Trustee

Greater Cleveland Veterans Memorial, Inc., Cleveland, OH

2. Honorary Trustee

Cleveland Police Historical Society, Cleveland, OH

3. Member, Board of Trustees

Central Reserve Life Foundation, Strongsville, OH

4. Member, Board of Trustees

Malachi House, Cleveland, OH

5. Member, Board of Advisors

City Year Cleveland, Cleveland, OH

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1.

2.

3.

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 4/1/08	Ohio Public Employees Retirement	\$36,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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Name of Person Reporting

NUGENT, DONALD C.

Date of Report

05/12/2009

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Washington Mutual, Lerner Trust	Mortgage on investment property #2, 2212	M
2. Washington Mutual, Lerner Trust	Mortgage on investment property #3, 2227	M
3. HSBC Bank, Lerner Trust	Mortgage on investment property #4, 2217	M
4. Bank of America	Mortgage on investment property #5, 5130	M
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

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Date of Report

05/12/2009

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "N1" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-I)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1. Rental Property #1, Fort Myers, FL.	D	Rent			Sold	1/30	N	E	
2. Rental Property #2, Captiva Island, FL.	A	Rent	N	W					
3. Rental Property #3, Captiva Island, FL.	A	Rent	N	W					
4. Rental Property #4, Captiva Island, FL.	A	Rent	N	W					
5. Rental Property #5, Captiva Island, FL.	A	Rent	N	W					
6. Rental Property #6, Captiva Island, FL.	A	Rent	N	W					
7. Third Federal Savings	A	Interest	J	T					
8. Public Employees Retirement System of Ohio	A	Interest	M	T					
9. Ohio Public Def. Comp. Program	A	Interest	K	T					
10. ████████ Property, Captiva Island, FL.		None	K	W					
11. Putnam Growth and Income CI-A	A	Dividend	J	T					
12. U.S. Savings Bonds	A	Interest	K	T					
13. Ambrosio & Sirois-A Venture Capital Company		None	L	T					
14. John Hancock Mutual Life Insurance	A	Dividend	K	W					
15.									
16.									
17.									

1. Income Gain Codes
(See Columns B) and D4)

A - \$1,000 or less
F - \$50,001 - \$100,000

B - \$1,001 - \$2,500
G - \$100,001 - \$1,000,000

C - \$2,501 - \$5,000
H1 - \$1,000,001 - \$5,000,000

D - \$5,001 - \$15,000

E - \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J - \$15,000 or less
N - \$250,001 - \$500,000
P3 - \$250,000,001 - \$50,000,000

K - \$15,001 - \$50,000
O - \$500,001 - \$1,000,000

L - \$50,001 - \$100,000
P1 - \$1,000,001 - \$5,000,000

H2 - More than \$5,000,000
M - \$100,001 - \$250,000
P2 - \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
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V = Other

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FINANCIAL DISCLOSURE REPORT
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Name of Person Reporting

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Date of Report

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

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Date of Report

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

5/12/09

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Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544