

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) SEEBORG, RICHARD G.	2. Court or Organization U.S. District Court N.D. Cal.	3. Date of Report 4/18/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address United States Courthouse 450 Golden Gate Avenue San Francisco, CA 94102	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Seeborg, Richard G.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	Matthew Bender & Co., Inc. (Book Royalties)	\$1,591.32
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	Not Applicable
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wells Fargo Bank	17% of mortgage obligation on rental property, Kamuela, HI	L
2.		
3.		
4.		
5.		

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SEEBORG, RICHARD G.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Morgan Stanley Asset Fund (Money Market)	D	Interest	PI	T					
2. Merck & Co., Inc.	B	Dividend	K	T					
3. Aegon NV ADR	A	Dividend	J	T					
4. Applied Materials	A	Dividend	J	T					
5. Schlumberger Ltd.	A	Dividend	K	T					
6. Scudder Greater-Europe Growth Fund	A	Dividend	J	T					
7. Scudder Latin America Fund	B	Dividend	L	T					
8. Templeton World Fund - Class I	A	Dividend	K	T					
9. Templeton Developing Market Trust Class A	A	Dividend	J	T					
10. Vanguard 500 Index Fund	B	Dividend	L	T					
11. Vanguard Growth Index Fund	A	Dividend	K	T					
12. Vanguard International Growth Fund	A	Dividend	K	T					
13. Vanguard U.S. Growth Fund	A	Dividend	K	T					
14. Wells Fargo Checking Account	A	Interest	K	T					
15. Navin.com (See Part VIII)		None	J	U					
16. Emerson Electric	A	Dividend	J	T					
17. John Hancock Regional Bank Fund	B	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

III = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

PI = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

II2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. JP Morgan Chase (formerly Bank One Corp)	A	Dividend	J	T					
19. Computer Sciences Corp.	A	Dividend	J	T					
20. Intel Corp	B	Dividend	K	T					
21. Van Kampen Comstock Fund	A	Dividend	L	T					
22. Invesco Special Value Fund C	A	Dividend	K	T					
23. John Hancock Small Cap Value Fund	A	Dividend	K	T					
24. American Amcap FD	A	Dividend	L	T					
25. American New Perspective Fund	A	Dividend	L	T					
26. American Growth Fund of America	A	Dividend	L	T					
27. Van Kampen Global Franchise Fund	C	Dividend	L	T					
28. American W Mutual A Fund	B	Dividend	K	T					
29. Allianz Int'l Fund	A	Dividend	J	T					
30. MFS New Endeavor A Fund	A	Dividend	K	T					
31. American Europacific Growth	A	Dividend	K	T					
32. Duke Energy	A	Dividend	J	T					
33. First Energy	A	Dividend	J	T					
34. Jet Blue Airways	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

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35. Peet's Coffee	A	Dividend	J	T					
36. Morgan Stanley Note	A	Interest	K	T					
37. Schell-Vista Fire Bond	A	Interest	K	T					
38. Van Kampen Senior Loan	A	Dividend	K	T					
39. Allianz Nacm Intern.	A	Dividend	K	T					
40. Chevron Corp	A	Dividend	K	T					
41. Clorox Corp	A	Dividend	K	T					
42. Compass Fund	A	Dividend	L	T					
43. General Electric Corp	A	Dividend	J	T					
44. Highwood	A	Interest	J	T					
45. Morgan Stanley Focus Growth Fund	A	Dividend	J	T					
46. Invesco Dividend Growth Securities Fund	A	Dividend	J	T					
47. Invesco Advantage Fund A	A	Dividend	K	T					
48. Virtus (formerly Phoenix Small Cap Value Fund A)	A	Dividend	J	T					
49. Mortgage Stanley Strategist Fund A	A	Dividend	K	T					
50. Van Kampen Global Franchise Fund	A	Dividend	K	T					
51. One-sixth interest Condominium Kamuela, HI	D	Rent	L	W					

1. Income Gain Codes: A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$15,000 E = \$15,001 - \$50,000
(See Columns B1 and D4) F = \$50,001 - \$100,000 G = \$100,001 - \$1,000,000 H1 = \$1,000,001 - \$5,000,000 H2 = More than \$5,000,000
2. Value Codes J = \$15,000 or less K = \$15,001 - \$50,000 L = \$50,001 - \$100,000 M = \$100,001 - \$250,000
(See Columns C1 and D3) N = \$250,001 - \$500,000 O = \$500,001 - \$1,000,000 P1 = \$1,000,001 - \$5,000,000 P2 = \$5,000,001 - \$25,000,000
P3 = \$25,000,001 - \$50,000,000 P4 = More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash Market
(See Column C2) U = Book Value V = Other W = Estimated

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. L.A. Water Dept Bond	B	Interest	L	T					
53. Pomona School Bond	A	Interest			Redeemed	02/01/10	L		
54. Montebello School Bond	A	Interest			Redeemed	08/02/10	J		
55. Irvine School Bond	A	Interest			Redeemed	01/04/10	L		
56. San Francisco City Finance Bond	A	Interest			Redeemed	07/29/10	J		
57. Manhattan Beach Water Bond	A	Interest	J	T					
58. American Capital World Growth Fund	B	Interest	K	T					
59. Van Kampen Dynamic Opp Fund	B	Dividend	K	T					
60. BST Hospitality LLC	A	Distribution	M	U					
61. Spectra Energy	A	Dividend	J	T					
62. Highland Credit Strategies Fund	A	Dividend	J	T					
63. Contra Costa Public Finance Bond (2 parts)	A	Interest	J	T					
64. Cal EDL Fac. Bond	A	Interest	L	T					
65. Acalones School Bond	A	Interest	L	T	Buy	07/29/10	L		
66. California Health Facilities Bond	A	Interest	M	T	Buy	06/07/10	M		
67. California State Public Works Bond	A	Interest	L	T	Buy	07/26/10	L		
68. Metropolitan Water District - So Cal Bond	A	Interest	L	T	Buy	09/28/10	L		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000
H = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000
I = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000

K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000

M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount	Type (e.g., div., rent, or int.)	Value	Value	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value	Gain	Identity of buyer/seller (if private transaction)
	Code 1 (A-H)		Code 2 (J-P)	Method Code 3 (Q-W)			Code 2 (J-P)	Code 1 (A-H)	
69. American Money Market A (See Part VIII)	A	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VI, Line 15: Startup dissolved and investment written off: 2010.

Part VI, Line 69: Purchase of Money Market Fund on 12/30/08 inadvertently omitted from prior reports.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ RICHARD G. SEEBORG

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544