

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Walker, John M.	2. Court or Organization United States Court of Appeals for the Second Circuit	3. Date of Report 05/05/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address US Court of Appeals : Second Circuit 157 Church Street New Haven, CT 06510	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Director	Dwight D. Opperman Institute of Judicial Administration
2. Past President	Federal Judges Association
3. Director	U.S. Association of Constitutional Law
4. Director	Friends of CEELI
5. Director	American Society of International Law, Judicial Advisory Board
6. Director	Spencer Williams Foundation for Judicial Independence

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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CLERK OF COURT

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. Feb-May	Income as Lecturer, Yale Law School	\$29,167.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☒NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. Aspen Institute	7/9 - 7/16/09	Aspen Colorado	Educational seminar	Transportation, meals, lodging
2. Oklahoma City University School of Law	11/15 - 11/18/09	Oklahoma City, Oklahoma	Educational seminar	Transportation, meals, lodging
3. International Judicial Academy	11/19 - 11/20/09	New York, New York	Rule of Law seminar	Transportation, meals, lodging
4. National Committee on US-China Relations	12/7 - 12/15/09	Shanghai, China	Educational seminar	Transportation, meals, lodging
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT									
For: Walker, Jr., John M.									
VII. Investments and Trusts									
A.		B.		C.		D.			
		Income during reporting period		Gross Value at end of reporting period		Transactions during reporting period			
						If not exempt from disclosure			
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain
		Code		Code	Method		Month/day	Code	Code
--COMMON STOCK--									
AES CORP			None	K	T	Partial Sale	12/15	K	
AMERICAN TOWER CORP			None	L	T	BUY	5/6	K	
ARCTIC GLACIER INCOME FUND			None	J	T				
AT & T INC COM			None	J	T	BUY	11/30	J	
BECTON DICKINSON & CO			None	K	T	BUY	9/28	K	
BID BATH & BEYOND INC			None	K	T	Partial Sale	9/17	K	D
BERKSHIRE HATHAWAY INC CL B			None	K	T	Partial Sale	11/23	J	
BHP BILITON LTD SPONSORED ADR		A	DIVIDEND	L	T	BUY	4/28	K	
BROOKFIELD ASSET MNGT INC CL A		A	DIVIDEND	J	T				
CAPITAL ONE FINANCIAL		A	DIVIDEND			SALE	2/11	J	
CARMAX			None	K	T				
CELGENCE CORP			None	K	T	BUY	7/17	K	
CHESAPEAKE ENERGY		A	DIVIDEND	K	T				
CHEVRON CORP		B	DIVIDEND	L	T	BUY	3/31	K	
CHIMERA INVESTMENT CORP			None			SALE	1/28	J	
CISCO SYST. INC			None	L	T				
CLEAR CHANNEL OUTDOOR			None	K	T	BUY	7/28	J	
CLEAR MEDIA LTD.			None	J	T				
DISCOVERY COMMUNICATIONS - A			None	K	T				
EATON CORP		A	DIVIDEND			BUY	3/13	K	
						SALE	4/21	L	
FPIC INSURANCE GROUP INC			None	J	T	BUY	11/3	J	
GENERAL ELECTRIC CO.		A	DIVIDEND	J	T				
GLADSTONE COMM CORP		A	DIVIDEND	J	T				
INTERNATIONAL BUSINESS MACHINES CORP		B	DIVIDEND	M	T				
K12 INC COM			None	K	T	BUY	6/26	K	

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						If not exempt from disclosure				
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain	Identity of
		Code		Code	Method		Month/day	Code	Code	if private trans
LAMAR ADVERTISING CO CL A			None	J	T	BUY	2/27	J		
LAMAR ADVERTISING INC			None			SALE	4/9	J		
LOWES CO. INC.		A	DIVIDEND	L	T					
MARKEL CORPORATION			None	K	T					
MICROS SYSTEMS INC			None	K	T	Partial Sale	4/1	J		
MICROSOFT CORP.		A	DIVIDEND	L	T					
MILLICOM INTERNATIONAL			None	K	T					
NATIONAL PRESTO INDUSTRIES			None			SALE	2/4	K	A	
NEOGEN CORP			None	K	T	Partial sale	12/21	J	A	
NEWALTA INCOME FD TRUST UNIT		A	DIVIDEND	J	T					
ODYSSEY HEALTHCARE			None	L	T					
ORACLE CORPORATION		A	DIVIDEND	M	T	Partial Sale	9/3	K	D	
PENN NATIONAL GAMING			None	J	T					
PEPSICO INC.		B	DIVIDEND	L	T					
PERKIN ELMER INC			None			BUY	9/15	K		
						SALE	9/24	K		
PIONEER NATURAL			None	K	T					
POOL CORP		A	DIVIDEND	K	T					
POZEN INC			None	J	T	BUY	5/4	J		
						BUY	5/6	J		
PRIME INFRASTRUCTURE HOLDINGS			None	J	T	BUY	12/15	J		
						BUY	12/17	J		
						BUY	12/18	J		
PROCTOR & GAMBLE		B	DIVIDEND	L	T	BUY	12/1	L		
SBA COMMUNICATIONS CORP			None			Partial Sale	2/27	K	D	
						SALE	5/6	K	E	
SIRIUS SATELLITE RADIO			None			SALE	1/27	J		
SMUCKER JM & CO		B	DIVIDEND	L	T					

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						If not exempt from disclosure			
		(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)
								(5)	
Description of Assets		Amount	Type	Value	Value	Type	Date:	Value	Gain
		Code		Code	Method		Month/day	Code	Code
SOLARWINDS INC COM			None	J	T	BUY	12/1	J	
SPDR GOLD TR SHS			None	K	T	BUY	5/4	K	
SUPER MICRO COMPUTER INC			None	L	T				
TAIWAN SEMICONDUCTOR		B	DIVIDEND	K	T	Partial Sale	8/14	J	A
THERMO FISCHER SCIENTIFIC INC			None	L	T				
UNITED BANKSHARES INC		A	DIVIDEND			SALE	5/20	J	
UNITED TECHNOLOGIES CORP			None	J	T	BUY	12/1	J	
UNIVERSAL DISPLAY CORP			None	J	T				
URSTADT BIDDLE PPT A		B	DIVIDEND	K	T				
VARIAN MEDICAL SYSTEMS			None	L	T				
WAL MART STORES INC		B	DIVIDEND	L	T	BUY	12/1	K	
WELLS FARGO & CO NEW		A	DIVIDEND	L	T	Partial Sale	6/17	J	
						BUY	12/1	J	
--CASH & EQUIVALENTS--									
PERSHING GOVERNMENT ACCOUNT			None	K	T				
FIDELITY CASH		A	INTEREST	K	T				
DREYFUS TREASURY PRIME INV SH		A	DIVIDEND	K	T				
--CORPORATE BONDS--									
FHLB 5.00% due 02/04/09		B	INTEREST			SALE	2/4	L	A
AMERICAN EXPRESS BANK FSB 3.150%		B	INTEREST	L	T	BUY	2/11	L	
						Partial Sale	12/3	K	A
--BANK ACCOUNTS--									
BANK OF AMERICA		A	INTEREST	J	T				
CITIBANK		A	INTEREST	J	T				
PEOPLES BANK		A	INTEREST	J	T				
--OTHER INVESTMENTS--									
NONE									

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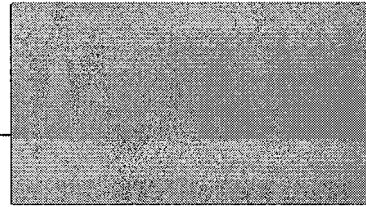
05/05/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544