

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2007**

COPY

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Caputo, A. Richard	2. Court or Organization U.S. Dist. Ct. M.D. of PA	3. Date of Report 05/01/2008
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2007 to 12/31/2007
7. Chambers or Office Address 197 South Main Street Wilkes-Barre, PA 18701	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)

1. [REDACTED] Trust	D	Int./Div.	N	T					
2. - Amgen Inc. - Common Stk					Sold	7/26	J		
3. - AVIS Budget Group, Inc. (formerly Cendant Corp.)					Sold	7/26	J		
4. - Bard C.R. Incorporated - Common Stk									
5. - Carnival Corp Paired CTF common stock									
6. - Chevron Corp. - Common Stk									
7. - CISCO Systems Inc. - Common Stk					Sold	7/26	K	D	
8. - Citigroup Inc. - Common Stk					Sold	7/26	J	A	
9. - ConocoPhillips - Common Stk									
10. - Dow Chemical Co. - Common Stk									
11. - First Charter Bank 5.05% Cert of Deposit due 2/4/08					Buy	7/27	L		
12. - Fortune Brands Inc. - Common Stk					Sold	7/26	J		
13. - General Electric Company - Common Stk									
14. - Goldman Sachs Group Inc. - Common Stk					Sold	3/7	K	D	
15. - Johnson & Johnson - Common Stk									
16. -Joy Global, Inc. Common stock					Buy	3/23	J		
17. -Joy Global, Inc.- Common stock					Sold	7/26	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	● = Appraisal U = Book Value				

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Mercantile Bank 5.1% Cert of Deposit due 8/8/08					Buy	7/27	L		
19. - Microsoft Corp. - Common Stk									
20. - Panera Bread Company - Common Stk					Sold	7/26	J		
21. - Plantronics Inc. - Common Stk					Sold	7/26	J		
22. - Realty Corp. - Common Stk					Sold	4/10	J		
23. - Sovereign Bank - Insured Cash Account									
24. - Veolia Environment Spon ADR - Common Stk					Cash in lieu	7/6	J	A	
25. - Watts Water Technology - Common Stk					Sold	7/26	J		
26. - Wyndham Worldwide Corp. -Common Stock					Sold	7/26	J		
27. - Yahoo Inc. - Common Stk					Sold	7/26	J		
28. IRA #1	C	Int./Div.	M	T					
29. - America Movil SAB DE CV Spons ADR Series L Common Stock					Buy	2/21	J		
30. - American Movil SAB DE CV Spons ADR Series L Common Stock					Sold	7/25	J	C	
31. - Apollo Group Inc. Cl A - Common Stk					Sold	7/25	J	C	
32. - Automatic Data Processing, Inc. Common Stk					Buy	2/21	J		
33. - Automatic Data Processing, Inc.- Common Stock					Sold	7/25	J		
34. - AVIS Budget Group, Inc. (formerly Cendant Corp.)					Sold	7/25	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	● = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Broadridge Financial Solutions, Inc. Common Stock					Buy	2/21	J		
36. - Broadridge Financial Solutions, Inc. Common Stock					Sold	7/25	J		
37. - Carlisle Companies Inc. - Common Stk					Sold	7/25	J	D	
38. - Chevron Corp. - Common Stk					Partial sale	7/25	J	B	
39. - Chevron Corp. - Common Stk									
40. - Citigroup Inc. - Common Stk					Sold	7/25	J	A	
41. - Citizens State Bank - CTF Dep. 5.05% due 1/30/08					Buy	7/25	L		
42. - Cooper COS Inc. NEW - Common Stk					Sold	2/21	J		
43. - Discover Bank - Insured cash account					Opened				
44. - Dupont E.I. De Nemour & Company - Common Stk					Sold	7/25	J	A	
45. - Ishares Tr NASDAQ Biotech Index Fund					Sold	7/25	J		
46. - JDS Uniphase Corp. - Common Stk					Sold	7/25	J		
47. - La Salle Bank Midwest - CTF Dep - 5.15% due 8/1/08					Buy	7/25	L		
48. - Leucadia National Corp. - Common Stk									
49. - Microsoft Corp. - Common Stk					Sold	7/25	J	A	
50. - Pfizer Incorporated - Common Stk					Sold	7/25	J		
51. - Plantronics Inc. - Common Stk					Sold	2/21	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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52. - Precision Castparts Corp. - Common Stk					Sold	7/25	K	E	
53. - Realogy Corp. (spinoff from Cendant Corp.) - Common Stk					Sold	4/10	J		
54. - Sirius Satellite Radio Ind - Common Stk					Sold	7/25	J		
55. - Sovereign Bank - Insured Cash Account									
56. - Stryker Corp. - Common Stk					Buy	2/21	J		
57. - Stryker Corp. - Common Stk					Sold	7/25	J	A	
58. - Veolia Environment Spon ADR - Common Stk					Sold	7/25	J	B	
59. - Whole Foods Market Inc. - Common Stk									
60. - Wyndham Worldwide Corp. Common Stk					Sold	7/25	J		
61. CIT Bank, Certificate of Deposit	B	Interest	K	T	Buy	2/21	K		
62. Countrywide Bank - FBS - Insured cash account	A	Interest	J	T	Opened				
63. Eastern Penn PA S.D. Bond	B	Interest	L	T					
64. Great Valley S.D. PA Bond	A	Interest			Redemption	2/15	K		
65. PA State B/E Turnpike Comm. Bond	A	Interest	K	T					
66. GMAC Automotive Bank Certificate of Deposit	C	Interest	L	T					
67. Sovereign Bank - Insured Cash Account (Y)									
68. Luzerne Bank Accounts	A	Interest	J	T					

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69. Wachovia Bank Account	A	Interest	L	T					
70. IRA #2	A	Dividend	J	T					
71. - Whole Foods Market Inc. - Common Stk									
72. Wachovia Corp. - Common Stk	C	Dividend			Sale	3/15	N	G	
73. Direct TV Group Inc. - Common Stk		None	J	T					
74. NEWS Corp. Cl A - Common Stk	A	Dividend	J	T					
75. Sovereign Bank - Insured Cash Account	B	Interest	K	T					
76. Advanta Bank Corp., Draper UT Certificate of Deposit	C	Interest	L	T	Buy	4/26	M		
77. Capital One FSB McLean VA Certificate of Deposit	C	Interest			Redemption	11/21	L		
78. Discover Bank Greenwood, DE Certificate of Deposit	C	Interest	M	T	Buy	4/11	M		
79. First Guaranty Bank & Tr. Jacksonville, FL Cert. of Deposit	B	Interest	L	T	Buy	4/11	L		
80. Franklin Bank SSB, Houston TX, Certificate of Deposit		None	K	T	Buy	4/10	L		
81. Georgian Bank Atlanta GA Certificate of Deposit	C	Interest	L	T					
82. GMAC Automotive Bank Midvale, UT Certificate of Deposit	C	Interest	K	T					
83. Irwin Union Bank & Trust Certificate of Deposit	B	Interest			Redemption	4/26	L		
84. Lehigh County PA IDA Municipal Bond	B	Interest	K	T					
85. Lehman Bros. Bank FSB Wilmington, DE - Cert of Deposit		None	L	T	Buy	8/22	L		

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86. Line Mountain PA Municipal Bond	B	Interest	K	T					
87. Mutual Bank, Harvey, IL - Certificate of Deposit	B	Interest	L	T	Buy	4/11	L		
88. Northampton PA ASD DB Bond	B	Interest			Redemption	8/15	L		
89. Northstar Bank, Bad Axe, MI - Certificate of Deposit	A	Interest	K	T	Buy	11/20	K		
90. Westmont Hilltop PA Municipal Bond	C	Interest	L	T					
91. [REDACTED] vacant land - Orange County, Florida		None	M	W					

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII - Line 1 - [REDACTED] Trust - Information reported, as in prior years, represents 100% of the trust assets. However, the reporting person [REDACTED] is one of three beneficiaries who share equally in the trust.

Part VII - Line 43 - Discover Bank - Insured cash account - Bank account was opened during 2007; as per instructions, transaction involving less than \$1,000, columns D(2) through D(5) were left blank.

Part VII - Line 62 - Countrywide Bank FBS - Insured cash account - Bank account was opened during 2007; as per instructions, transaction involving less than \$1,000, columns D(2) through D(5) were left blank.

Part VII - Line 67 - Sovereign Bank Insured Cash account- (Y) in column A1 indicated that asset value fell under reporting requirements.

Part VII - Line 97 - Orange County, Florida property - Information reported, as in prior years, represents 100% of the value. However, the reporting person's [REDACTED] is one of three individuals who own the property in equal shares.

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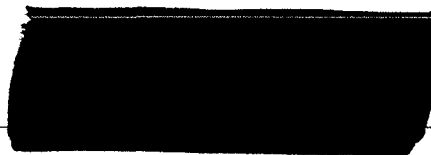
05/01/2008

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

SEE ACCOUNTANTS' COMPILATION REPORT