



UNITED STATES DISTRICT COURT

Eastern District of Tennessee

800 Market Street

Suite 141

Knoxville, Tennessee 37902

CHAMBERS OF
Judge Leon Jordan

April 4, 2007

Committee on Financial Disclosure
Administrative Office of the U.S. Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, DC 20544

Re: Annual Financial Disclosure Report
Supplemental Information
Calendar Year 2006
Judge Robert L. Jordan

RECEIVED
2007 APR 10 1 A 10:50
FINANCIAL
DISCLOSURE OFFICE

Dear Representative:

In response to Judge Smith's letter of March 28, 2007, I am providing the following supplemental information to Part VII of my Financial Disclosure Report for the calendar year 2006.

- Line 26, Column B(2)	"None"
- Line 52, Column B(2)	"None"
- Line 78, Column D(3)	"J"
- Line 79, Column D(3)	"J"
- Line 85, Column D(3)	"J"
- Line 86, Column D(3)	"J"
- Line 87, Column B(2)	"None"
- Line 87, Column D(3)	"K"
- Line 88, Column D(3)	"J"
- Line 89, Column D(3)	"J"
- Line 90, Column B(2)	"None"
- Line 92, Column D(3)	"J"

Yours very truly,


Robert L. Jordan

Enclosures

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

COPY

Report Required by the Ethics
In Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Jordan, Robert L	2. Court or Organization District Court - E.D. Tenn.	3. Date of Report 03/12/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 800 Market Street Suite 141 Knoxville, TN 37902	5b. ☐ Amended Report	
8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____		

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.	
2.	
3.	
4.	
5.	

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2005	Tennessee Consolidated Retirement System Judicial Retirement	\$ 12,571.26
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. AEI / Brookings Joint Institute	March - Washington, D.C. - Judicial Education Program - Insurance Law (Transportation, Lodging, Food, Tuition)
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. AT&T Inc.	A	Dividend	J	T					
2. Agere Sys. (Formerly Agere Sys. A & B)		None	J	T					
3. American Trust Bank of East TN		None	J	T					
4. Avaya		None	J	T					
5. BB&T	D	Dividend	M	T					
6. BP PLC	A	Dividend	J	T					
7. Comcast		None	J	T					
8. Dow Chem.	A	Dividend	J	T					
9. EMC		None	J	T					
10. Exxon Mobil	A	Dividend	K	T					
11. First Horizon	D	Dividend	M	T					
12. General Electric	B	Dividend	K	T					
13. IBM	A	Dividend	J	T					
14. JDS Uniphase Corp		None	J	T					
15. John Hancock		None	J	T					
16. Johnson & Johnson	A	Dividend	J	T					
17. King Phar.		None	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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☐ NONE (No reportable income, assets, or transactions.)

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18. Alcatel-Lucent (Formerly Lucent Tec., Inc.)		None	J	T	Merger	11/30			
19. Medimmune IN		None	J	T					
20. Medtronic	A	Dividend	J	T	Buy	4/26	J		
21. NASDAQ - 100		None	J	T					
22. Pfizer	A	Dividend	K	T					
23. Phoenix Cos.	A	Dividend	J	T					
24. Putnam Global Growth	A	Dividend	J	T					
25. Security Equity	A	Dividend	J	T					
26. SERONO S.A.					Sell	4/12	J	A	
27. Sun Trust Bank	F	Dividend	PI	T					
28. TN Hsg. Dev. Agency 3% Due 7/10	B	Interest	K	T					
29. Twin Cities Financial, Inc.	A	Dividend	K	T					
30. Tyco Intl.	A	Dividend	J	T					
31. Cohen & Steers Select Utility	B	Dividend	K	T					
32. Vanguard Growth Index Fund	B	Dividend	K	T					
33. Vanguard 500 Index Fund	B	Dividend	K	T					
34. Vanguard Mid Cap Index Fund	A	Dividend	K	T					

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35. Vanguard Small Cap Value Fund	B	Dividend	K	T					
36. Vanguard Health Care Fund	C	Dividend	K	T					
37. INTEREST, CD'S, SAVINGS, ETC.									
38. American Fidelity Bank	C	Interest	L	T					
39. AmSouth Bank	D	Interest	N	T					
40. BB&T	D	Interest	M	T					
41. Citizens Bank of Blount County	D	Interest	M	T					
42. First Tennessee	A	Interest	J	T					
43. Home Federal	A	Interest	J	T					
44. Merrill Lynch MIT	A	Interest	J	T					
45. Merrill Lynch Ready Assets	A	Interest	K	T					
46. SunTrust	E	Interest	N	T					
47. United Community Bank	D	Interest	M	T					
48. Y-12 FCU	B	Interest	L	T					
49. American Trust Bank	C	Interest	M	T					
50. First National Bank	D	Interest	M	T					
51.									

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52. Merrill Lynch Co. ARN					Sell	1/30	K	B	
53. Vanguard Int'l Value Fund	C	Dividend	J	T	Buy	1/30	J		
54. Vanguard Prime Cap Core Fund	A	Dividend	J	T	Buy	1/30	J		
55. AmSouth	A	Dividend	J	T	Mrg. Regions	11/06			
56. Atmos Energy	A	Dividend	J	T					
57.									
58. Merrill Lynch Custodian IRA									
59. - AT&T Inc.	A	Dividend	J	T					
60. - American Greeting					Sell	4/17	J	B	
61. - AmSouth	A	Dividend	K	T	Mrg. Regions	11/06			
62. - Avaya		None	J	T					
63. - BMC Software		None	J	T					
64. - Comcast		None	J	T					
65. - General Electric	B	Dividend	K	T					
66. - Home Depot	A	Dividend	J	T					
67. - Johnson & Johnson	A	Dividend	J	T	Buy	4/18	J		
68. - King Phar.		None	J	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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69. - Kroger Co.		None	J	T					
70. - Merrill Lynch Ret. Reserves	A	Interest	J	T					
71. - PerkinElmer	A	Dividend	J	T					
72. - Sun Trust Bank	A	Dividend	J	T					
73. - Tanger Factory Outlet	A	Dividend	K	T					
74. - Texas Inst.	A	Dividend	J	T					
75. - Greene Co. Bankshares	A	Dividend	J	T					
76. - Microsoft Corp.	A	Dividend	J	T					
77. - Pfizer, Inc.	A	Dividend	J	T	Transfer	11/28			Self
78. - Dollar General Corp.	A	Dividend	J	T	Buy	1/18			
79. - Ingersoll-Rand	A	Dividend	J	T	Buy	1/26			
80.									
81. DEFERRED COMPENSATION - STATE OF TENNESSEE									
82. - Plan I - 457	D	Int/Div/Dist	M	T					
83. - Plan II - 401K	D	Int/Div/Dist	M	T					
84.									
85. Ingersoll-Rand	A	Dividend	J	T	Buy	1/26			

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86. Verizon Communications	A	Dividend	J	T	Buy	1/26			
87. Merrill Lynch ARN due 8/24/07			K	T	Buy	2/01			
88. TN Valley Fin. Hldr. Inc.	A	Dividend	J	T	Buy	9/28			
89. Caterpillar Inc.	A	Dividend	J	T	Buy	11/01			
90. Idearc Inc.			J	T	Spinoff VZ	11/17			
91. Pfizer Inc.	A	Dividend	J	T	Transfer	11/28			Merrill Lynch IRA
92. Ingersoll-Rand	A	Dividend	J	T	Buy	1/26			

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

3-15-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544