

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Ginsburg, Douglas H.	2. Court or Organization D.C. Circuit	3. Date of Report 08/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. Courthouse 333 Constitution Avenue, NW Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Lecturer of Law	University of Chicago Law School
2. Visiting Professor of Law	George Mason University School of Law
3. Visiting Scholar (Spring)	New York Law School
4. Lecturer-in-Law (Fall)	Columbia University School of Law
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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DISTRICT OF COLUMBIA

FINANCIAL DISCLOSURE REPORT
Page 2 of 14

Name of Person Reporting
Ginsburg, Douglas H.

Date of Report
08/12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	George Mason University, Teaching	\$9,550.00
2. 2009	University of Chicago, Teaching	\$17,000
3. 2009	New York Law School (See VIII for additional information)	\$7,500.00
4. 2009	AEI (See VIII for additional information)	\$2,000.00

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	American Bar Association	01/09-13/09	Lana'i, HI	Meeting	Travel related expenses
2.	Federalist Society University of Hawaii Chapter	01/16-18/09	Honolulu, HI	Speech	Travel related expenses
3.	Federalist Society University of California - Hastings Student Chapter	01/18-21/09	San Francisco, CA	Speech	Travel related expenses
4.	George Mason University	Jan-Dec/09	Arlington, VA	Teaching	Books, miscellaneous academic expenses
5.	Pacific Academy Advanced Studies	02/12-15/09	Alamos, Sonora, MX	Speech	Travel related expenses
6.	University of Chicago	Feb-Nov/09	Chicago, IL	Teaching	Travel related expenses

FINANCIAL DISCLOSURE REPORT
Page 3 of 14

Name of Person Reporting
Ginsburg, Douglas H.

Date of Report
08/12/2010

7.	New York Law School	Mar/09	New York, NY	Teaching	Travel related expenses
8.	University of Chicago	Feb-July/09	Chicago, IL	Teaching	Books, miscellaneous academic expenses
9.	New York Intellectual Property Law Association	03/27-28/09	New York, NY	Meeting	Travel related expenses
10.	Fordham University, University of Chicago	05/22-30/09	Jerusalem, Israel	Speech	Travel related expenses
11.	University College London	05/30-06/06/09	London, England	Lecture	Travel related expenses
12.	American Bar Association	06/23-26/09	New York, NY	Speech	Travel related expenses
13.	American Bar Association	07/31-08/04/09	Chicago, IL	Meeting	Travel related expenses
14.	American Bar Association	08/04-07/09	Half Moon Bay, CA	Meeting	Travel related expenses
15.	National University of Singapore, George Mason University	08/23-09/07/09	Singapore, Singapore	Speech	Travel related expenses
16.	Columbia University Law School	Sept-Dec/09	New York, NY	Teaching	Travel related expenses
17.					
18.					
19.					

FINANCIAL DISCLOSURE REPORT
Page 4 of 14

Name of Person Reporting
Ginsburg, Douglas H.

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08/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 14

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Ginsburg, Douglas H.

Date of Report

08/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TIAA/CREF retirement account		None	P1	T					
2. ALLP com		None	J	T	SELL part.	12/26/09	J	A	
3. SEP IRA acct (owns additional ALLP com)		None	J	T					
4. Creditriskmonitor.com (CRMZ.com)		None			SELL	12/26/09	J	A	
5. Graham Field Health Prods.com (GFIHQ com)		None			SELL	12/26/09	J	A	
6. TBMH com		None			SELL	12/26/09	J	A	
7. WDMG com		None	J	T	BUY	1/9/09	J		
8. WDMG.com		None	K	T	BUY	05/22/09	J		
9. WBRXX	A	Dividend	L	T					
10. CVX	C	Dividend	L	T					
11. DKS		None	L	T	Sold (part)	02/27/09	J		
12. DKS		None	L	T	Sold (part)	10/21/09	J	D	
13. ECL	A	Dividend	K	T					
14. EPD	B	Dividend	K	T					
15. FAST	B	Dividend	M	T	Sold (part)	02/27/09	K		
16. IDXX		None	K	T					
17. IRM		None			Sold (part)	10/21/09	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 14

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Ginsburg, Douglas H.

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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18. IRM		None			Sold	10/23/09	J		
19. NTRS	A	Dividend	J	T	Sold (part)	2/27/09	J		
20. PAYX	B	Dividend	K	T	Sold (part)	6/25/09	K	B	
21. PEP	B	Dividend	L	T	Buy (add'l)	12/18/09	K		
22. SLH		None			Sold	2/10/09	K	C	
23. SRCL		None	L	T	Sold (part)	2/27/09	K	E	
24. SRCL		None	L	T	Sold (part)	10/23/09	K	D	
25. BHP	A	Dividend	K	T					
26. NVS	A	Dividend	J	T					
27. RBA	A	Dividend	L	T					
28. TSCO		None	K	T	Sold (part)	2/27/09	J		
29. MHS		None	L	T					
30. GILD		None	K	T					
31. DLB		None			Sold	2/27/09	K	D	
32. CHTT		None			Sold	10/21/09	K		
33. TMGX, renamed TURXX	A	Dividend	N	T					
34. C		None	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 7 of 14

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Ginsburg, Douglas H.

Date of Report

08/12/2010

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35. XOM	D	Dividend	N	T					
36. GE	A	Dividend	K	T	Sold (part)	8/19/09	J		
37. GE	A	Dividend	K	T	Sold (part)	10/26/09	K	E	
38. MHS		None	L	T					
39. MRK	B	Dividend	L	T					
40. QSGI, renamed QSGIQ		None	J	T					
41. WYE	A	Dividend			Sold	5/18/09	L	E	
42. RDS A	D	Dividend	M	T					
43. PHK	B	Dividend	L	T	Buy (add'l)	3/04/09	K		
44. PHK	B	Dividend	L	T	Sold (part)	5/19/09	J		
45. PHK	B	Dividend	L	T	Sold (part)	5/29/09	K		
46. AA	A	Dividend	J	T					
47. ALLP		None	J	T					
48. MO	B	Dividend	K	T					
49. AIG		None	J	T					
50. AMGN		None	J	T					
51. BAC	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 8 of 14

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2010

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52. CYBS		None	K	T					
53. JNJ	A	Dividend	K	T					
54. JPM	A	Dividend	J	T					
55. NYX	A	Dividend	J	T					
56. QCOM	A	Dividend	J	T					
57. SHLD		None	J	T					
58. ZOLT		None	J	T					
59. NVS	A	Dividend	J	T					
60. SKT	A	Dividend	K	T					
61. PM	B	Dividend	K	T					
62. BA	A	Dividend	J	T					
63. CSCO		None	J	T					
64. CDE		None	J	T	Buy (add'l)	9/16/09	J		
65. DVN	A	Dividend	K	T					
66. INTC	A	Dividend	J	T					
67. KMP	B	Dividend	K	T					
68. MMP	A	Dividend	J	T					

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FINANCIAL DISCLOSURE REPORT

Page 9 of 14

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2010

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69. MSFT	A	Dividend	K	T					
70. EWJ	A	Dividend	K	T					
71. CHK	A	Dividend			Sold	8/19/09	J		
72. SIAL	A	Dividend	K	T					
73. T	A	Dividend			Sold	11/17/09	J		
74. BP	C	Dividend	L	T					
75. CAT	A	Dividend	J	T					
76. KO	A	Dividend	J	T					
77. ESRX		None	M	T	Buy (add'l)	8/5/09	J		
78. ESRX		None	M	T	Buy (add'l)	8/19/09	J		
79. HON	A	Dividend	J	T					
80. MON	A	Dividend	K	T					
81. SIAL	A	Dividend	K	T	Buy (add'l)	9/02/09	K		
82. URS		None			Sold	5/15/09	J	B	
83. IBM	A	Dividend	K	T					
84. OCFC	A	Dividend			Sold	8/07/09	J		
85. PULB	A	Dividend	J	T	Sold (part)	9/28/09	K	A	

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FINANCIAL DISCLOSURE REPORT

Page 10 of 14

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86. SLB	A	Dividend	L	T					
87. XEL	A	Dividend	J	T					
88. ATK		None			Sold (part)	3/06/09	K	E	
89. ATK		None			Sold	9/22/09	K	E	
90. APFC		None			Sold	9/22/09	K	D	
91. BCS		None			Sold	3/6/09	J		
92. EFSC		None			Sold	8/07/09	J		
93. KIM	A	Dividend			Sold	3/6/09	J		
94. LSI		None			Sold	9/22/09	K		
95. MON	A	Dividend			Sold	11/13/09	K	A	
96. NOBH	A	Dividend			Sold	11/27/09	K	D	
97. ONNN		None			Sold	3/6/09	J		
98. SNY	A	Dividend	K	T					
99. STEC		None			Sold	3/6/09	K		
100. TMCO		None	L	T	Sold (part)	9/30/09	J	D	
101. TMCO		None	L	T	Sold (part)	10/13/09	J	D	
102. TMCO		None	L	T	Sold (part)	11/13/09	K	E	

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FINANCIAL DISCLOSURE REPORT

Page 11 of 14

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103. HYTM		None			Sold	3/6/09	J		
104. WFC	A	Dividend			Sold	3/6/09	J		
105. CMO	C	Dividend			Sold	10/02/09	K		
106. HPQ	A	Dividend	K	T					
107. Stephens Family Holdings, L.C. (X)	C	Int./Div.	K	W					
108. Stephens Family Partnership, L.P. (X)	F	Dividend	O	W					
109. VA St Res Auth Infrastructure Rev Pooled	A	Interest	K	T					
110. Norfolk VA G/O RFDG CAP Impt	B	Interest			Matured	11/25/09	K		
111. Lynchburg VA GO BDS	A	Interest	K	T					
112. ICFI		None	K	T	Buy	12/11/09	K		
113. BIK	A	Dividend	K	T	Buy	10/21/09	K		
114. BIK	A	Dividend	K	T	Buy	10/23/09	J		
115. VRSK		None	K	T	Buy	10/21/09	J		
116. VRSK		None	K	T	Buy	11/04/09	J		
117. HABDX	C	Dividend	L	T	Buy	2/27/09	K		
118. RPIBX	A	Dividend	K	T	Buy	10/14/09	J		
119. US Treasury Note	A	Interest	L	T	Buy	2/27/09	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 Q3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	P3 = \$25,000,001 - \$50,000,000	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)	U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 12 of 14

Name of Person Reporting

Ginsburg, Douglas H.

Date of Report

08/12/2010

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Boeing Cap Corp NTS Bond	B	Interest	K	T	Buy	3/02/09	K		
121. Caterpillar Financial Svc Bond	A	Interest	K	T	Buy	8/21/09	K		
122. CME Group Bond	B	Interest	K	T	Buy	3/02/09	K		
123. Kellogg Co Bond	B	Interest	K	T	Buy	3/02/09	K		
124. Verizon Comm. Bond	B	Interest	K	T	Buy	3/02/09	K		
125. VA State Pub School Bond	B	Interest	L	T	Buy	3/02/09	L		
126. GLD		None	J	T	Buy	2/18/09	J		
127. VFC	A	Dividend	J	T	Buy	11/5/09	J		
128. JCI	A	Dividend	J	T	Buy	11/12/09	J		
129. TGT	A	Dividend	J	T	Buy	11/18/09	J		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT
Page 13 of 14

Name of Person Reporting Ginsburg, Douglas H.	Date of Report 08/12/2010
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part III-A. Non-Investment Income

Re: Payments Made to Charitable Organizations in Lieu of Honoraria in 2009

Please see attached sheets.

For: Douglas H. Ginsburg
Date of Report: 08/12/2010

Part III-A. Non-Investment Income
Payments Made to Charitable Organizations in Lieu of Honoraria in 2009

Donor	Date	Amount
NYLS	2/26/09	\$3,500
AEI	3/17/09	\$2,000
NYLS	5/7/09	\$ 300
NYLS	5/12/09	\$2,700
NYLS	8/11/09	\$1,000
TOTAL		\$9,500

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

**For: Douglas H. Ginsburg
Date of Report: 08/12/2010**

**VIII. ADDITIONAL INFORMATION OR EXPLANATIONS
--CONFIDENTIAL--**

Part III-A. Non-Investment Income

Recipients of Payments Made to Charitable Organizations in Lieu of Honoraria in 2009

Recipient	Donor	Date	Amount
Chateauville Foundation P.O. Box 30 Amissville, VA 20106	NYLS	2/26/09	\$2,500
Foundation for Research in Economics and the Environment 662 Ferguson Road Bozeman, MT 59718	NYLS	2/26/09	\$1,000
George Mason Univ School of Law Law & Economics Center 3301 Fairfax Drive Arlington, VA 22201	AEI	3/17/09	\$1,000
The Fund for the Law School, University of Chicago 111 East 60 th Street Chicago, IL 60637	AEI	3/17/09	\$1,000
The Fund for the Law School, University of Chicago 1111 East 60 th Street Chicago, IL 60637	NYLS	5/12/09	\$1,000
Alliance Francaise de Washington 2142 Wyoming Avenue, NW Washington, DC 20008	NYLS	5/12/09	\$1,000

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

**For: Douglas H. Ginsburg
Date of Report: 08/12/2010
Page 2 - Confidential**

Recipient	Donor	Date	Amount
Rappahannock County Conservation Alliance Post Office Box 116 Sperryville, VA 22740	NYLS	5/7/09	\$300
Neighborhood House 1 Kimball Road Northeast Harbor, ME 04662	NYLS	5/12 /09	\$250
Northeast Harbor Library P.O. Box 279 Northeast Harbor, ME 04662	NYLS	5/12/09	\$250
Ruggles House 146 Main Street Columbia Falls, ME 04623	NYLS	5/12 /09	\$200
The Federalist Society 1015 18 th Street, NW Suite 425 Washington, DC 20036	NYLS	8/11/09	\$500
Foundation for Research in Economics and the Environment 662 Ferguson Road Bozeman, MT 59718	NYLS	8/11/09	\$500
TOTAL			\$9,500

FINANCIAL DISCLOSURE REPORT
Page 14 of 14Name of Person Reporting
Ginsburg, Douglas H.Date of Report
08/12/2010**IX. CERTIFICATION.**

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544