

UNITED STATES DISTRICT COURT

WESTERN DISTRICT OF PENNSYLVANIA

U.S. POST OFFICE & COURTHOUSE

700 GRANT STREET

PITTSBURGH PA 15219-1906

CHAMBERS OF

DONETTA W. AMBROSE, CHIEF JUDGE

TELEPHONE: (412) 208-7350

June 29, 2007

JUDICIAL CONFERENCE OF THE UNITED STATES
Committee on Financial Disclosure
ATTN: Honorable Ortrie D. Smith, Chair
One Columbus Circle NE
Washington DC 20544

Dear Judge Smith,

In response to your letter dated June 12, 2007, I submit the following response:

- (1) In Part IV line 1, the New York Intellectual Property Law Association provided me with transportation, lodging and food in New York, New York.

The Allegheny County Academy of Trial Lawyers provided me with food and lodging in Farmington, Pennsylvania.

- (2) In Part III B., line1, [REDACTED] is a self-employed lawyer.
- (3) In Part VII, page 4, lines 3 and 4, Column C (2)), the property was purchased in 1996 for \$10,000.00. It is located in Pennsylvania.
- (4) In Part VII, page 4, lines 5 and 6, Column D (1), Column D (3), the value code for both is J. On page 5, the value code for both lines 30 and 31 is K.

Sincerely,

[REDACTED]

Chief U.S. District Judge

DWA:adb

RECEIVED
2007 JUL -5 A 10:47
FINANCIAL
DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) AMBROSE, DONETTA W	2. Court or Organization US DIST. CT. WEST. DIST. PA	3. Date of Report 05/01/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 620 U.S. Post Office & Court 700 Grant Street Pittsburgh, PA 15219-1906	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER	BOARD OF DIRECTORS - MAGEE WOMEN'S HOSPITAL
2. MEMBER	BOARD OF DIRECTORS - MAGEE WOMEN'S RESEARCH CORPORATION
3.	
4.	
5.	

RECEIVED
2007 MAY 10 A 9:43
FINANCIAL
DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

FINANCIAL DISCLOSURE REPORT

Page 2 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Pennsylvania State Employees Retirement System	\$ 22,889
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Law Practice
2. 2006	AIGA
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. New York Intellectual Property Law Association	3/2006 - Activity of professional association or civic organization
2. Allegheny County Academy of Trial Lawyers	10/2006 - Activity of professional association or civic organization
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. (S) First National Bank	Business Loan	L
2. (S) First Commonwealth Bank	Business Loan	M
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Allegheny County US Govt. FCU	A	Int./Div.	L	T					
2. Morgan Stanley	A	Int./Div.	K	T					
3. Residential Rental New Kensington, Westmoreland	D	Rent	K	R					
4. Commercial Rental New Kensington, Westmoreland	D	Rent	M	R					
5. Interdigital Communications Corp		None			sell	1/23			expired
6. Interdigital Communications Corp		None			sell	11/20			expired
7. Interdigital Communications Corp		None			sell	1/4	J		loss
8. Interdigital Communications Corp		None			sell	4/13	K	C	
9. Interdigital Communications Corp		None			sell	1/5	J	B	
10. Interdigital Communications Corp		None			sell	7/13	K	D	
11. Interdigital Communications Corp		None			sell	7/13	K	D	
12. Interdigital Communications Corp		None			sell	7/13	L	D	
13. Interdigital Communications Corp		None			sell	8/2	M		loss
14. Interdigital Communications Options		None			buy	8/3	J		expired
15. Interdigital Communications Options		None			buy	8/3	K		expired
16. Interdigital Communications Options		None			buy	8/9	J		expired
17. Interdigital Communications Options		None			buy	8/24	J		expired

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. █████ Interdigital Communications Options		None			buy	10/19	J		expired
19. █████ Interdigital Communications Options		None	J	T	buy	11/1	J		
20. █████ Interdigital Communications Corp		None			buy	1/3	J		
21. █████ Interdigital Communications Corp		None			buy	2/2	K		
22. █████ Interdigital Communications Corp		None			buy	2/22	J		
23. █████ Interdigital Communications Corp		None			buy	3/13	J		
24. █████ Interdigital Communications Corp		None			buy	3/30	J		
25. █████ Interdigital Communications Corp		None			buy	4/28	K		
26. █████ Interdigital Communications Corp		None			buy	5/10	J		
27. █████ Interdigital Communications Corp		None			buy	6/1	J		
28. █████ Interdigital Communications Corp		None			buy	6/2	J		
29. █████ Interdigital Communications Corp		None			buy	6/9	K		
30. █████ Interdigital Communications Corp		None			buy	6/19	K		
31. █████ Interdigital Communications Corp		None			buy	7/6	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	U = Book Value				
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT

Page 6 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

AMBROSE, DONETTA W

Date of Report

05/01/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/4/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544