

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) WOOD, KIMBA M	2. Court or Organization U.S. District Court SDNY	3. Date of Report 05/08/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Chief U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 500 Pearl Street New York, N.Y. 10007	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Board Member	The Institute of Judicial Administration, New York University School of Law
2. Board Member	New York City Ballet, Inc.
3. Board Member	CEELI Institute
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOOD, KIMBA M

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Rockefeller Brothers Fund Finance Committee Fees
2. 2006	Sonic Corp. Board of Directors & various Committee Fees
3. 2006	Vestar, Harding, Lehman Brothers, ENM, Inc., Bear Stearns Merchant Bank & Park Avenue Equity - Fee Income
4.	
5.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Goldman, Sachs (see Note #20 in Section VIII)	Margin Account	P2
2. Vestar	Loans	M
3. ENM, Inc.	Escrow Account	L
4. Citibank	Line of Credit	P1
5. Citibank	Line of Credit	P1
6. Citibank	Line of Credit	P1
7. Citibank	Unsecured Term Note	P1
8. Citibank	Rental Property Mortgage	N
9. American Express	Credit Card	K
10. Citibank	Credit Card	J

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☐ NONE (No reportable income, assets, or transactions.)[illegible]

3. Value Method Codes (See Column C2)

Q = Appraisal
U = Book Value

V=Other

S = Assessment
W = Estimated

T = Cash Market

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
1 EXXON CORP COMMON STOCK	B	DIVIDEND	L	T					
2 CRESTFUND LP (IRA) (SEE NOTES 1 & 16 IN SECTION VII)	G	K-1 DISTR	P1	T					
3 ADOBE SYSTEMS INC COMMON STOCK (SEE NOTE 4 IN SECTION VII)		NONE			SOLD	7/31	J		
4 NEW YORK URB DEV CORP ZERO COUPON BOND (SEE NOTE 2 IN SECTION VII)	A	OID	J	T	PARTIAL BOND CALL	1/3	J	C	
5 SCHWAB MONEY MARKET FUND	A	DIVIDEND			CLOSED ACCOUNT	8/3	J		
6 GOLDMAN SACHS BANK DEPOSIT #1 (FKA GOLDMAN SACHS INST ASSETS FEDL PORTFOLIO #1)	B	DIV/INT	K	T					
7 GOLDMAN SACHS BANK DEPOSIT #2 (FKA GOLDMAN SACHS INST ASSETS FEDL PORTFOLIO #2)	A	DIV/INT	J	T					
8 AUTOMATIC DATA PROCESSING INC COMMON STOCK (SEE NOTE 4 IN SECTION VII)	A	DIVIDEND			SOLD	10/5	K	C	
9 BLACKHOCK INC COMMON STOCK	B	DIVIDEND	M	T	PURCHASE	5/8	J		
10 CONOCO PHILLIPS COMMON STOCK	A	DIVIDEND	K	T					
11 FEDEX CORP COMMON STOCK		NONE	K	T	PURCHASE	10/5	K		
12 GEN PROBE COMMON STOCK (SEE NOTE 4 IN SECTION VII)		NONE			SOLD	9/1	K	A	
13 HEWLETT-PACKARD CO COMMON STOCK		NONE	K	T	PURCHASE	9/29	K		
14 HOME DEPOT COMMON STOCK	A	DIVIDEND	K	T					

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15 JOHNSON & JOHNSON COMMON STOCK	A	DIVIDEND	K	T					
16 SONIC COMMON STOCK		NONE	L	T					
17 STRYKER CORP COMMON STOCK	A	DIVIDEND	K	T					
18 SUNCOR ENERGY INC COMMON STOCK	A	DIVIDEND	K	T					
19 WAL MART STORES INC COMMON STOCK (SEE NOTE 4 IN SECTION VIII)	A	DIVIDEND			SOLD	7/14	K		
20 CMA TAY EXEMPT FUND - ML #1	A	DIVIDEND	J	T					
21 MERRILL LYNCH ACCOUNT - ML #2	A	INTEREST	J	T					
22 FEDERATED CAPITAL RESERVES MONEY MARKET	B	DIVIDEND	K	T					
23 AMERICAN MUTUAL FUND	B	DIV/DISTR	K	T					
24 CAPITAL INCOME BUILDER FUND (MUTUAL FUND)	B	DIV/DISTR	K	T					
25 FUNDAMENTAL INVESTORS INC (MUTUAL FUND)	B	DIV/DISTR	K	T					
26 GROWTH FUND OF AMERICA (MUTUAL FUND)	C	DIV/DISTR	L	T					
27 INVESTMENT COMPANY OF AMERICA (MUTUAL FUND)	C	DIV/DISTR	K	T					
28 WASHINGTON MUTUAL INVESTORS FUND (MUTUAL FUND)	B	DIV/DISTR	L	T					

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29	U.S. GOVERNMENT SECURITIES FUND (MUTUAL FUND)	A	DIVIDEND	J	T					
<u>COMMON STOCKS/MUTUAL FUNDS (LINES 30-256) (SEE NOTE 4 IN SECTION VIII)</u>										
30	ABBOTT LABORATORIES	F	DIVIDEND	P1	T	PARTIAL SALE	10/19	P1	H1	
31	ADVANCED MICRO DEVICES INC		NONE			PURCHASE	6/13	J		
32						SOLD	10/27	J		
33	AES CORP		NONE	J	T	PURCHASE	12/5	J		
34	AETNA INC	A	DIVIDEND	M	T	PURCHASE	8/2	M		
35	AGILENT TECHNOLOGIES		NONE			PURCHASE	6/13	J		
36						SPUN OFF VERIGY	11/1	J		
37						SOLD	12/4	J	A	
38	AKAMAI TECHNOLOGIES		NONE	J	T	PURCHASE	9/18	J		
39	ALCANTARA INC	C	DIVIDEND	N	T					
40	ALCOA INC	A	DIVIDEND			PURCHASE	6/13	J		
41						SOLD	10/16	J		
42	ALCON INC		NONE			PURCHASE	6/13	J		
43						SOLD	11/7	J	A	
44	ALLEGHENY TECHNOLOGIES INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		

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45	ALLESTIAN INC	A	DIVIDEND	J	T	PURCHASE	8/17	J		
46	ALLTEL CORP	C	DIVIDEND	M	T	SPUN OFF WINDSTREAM	7/21	K		
47	AMERIGO MOVIL	E	DIVIDEND	P1	T	PURCHASE	6/13	J		
48	AMERICAN INTERNATIONAL GROUP (AKA AMER INTL GROUP)	A	DIVIDEND			SOLD	5/17	M	D	
49	AMERICAN TOWER CORP		NONE			PURCHASE	6/13	J		
50						SOLD	11/27	J	B	
51	AMR CORP		NONE			PURCHASE	6/13	J		
52						SOLD	10/24	J	A	
53	ANADARKO PETROLEUM CORP	B	DIVIDEND	M	T					
54	ANHEUSER BUSCH CO	E	DIVIDEND	O	T	PARTIAL SALE	10/17	O	G	
55	APPLE COMPUTER INC		NONE	K	T	PURCHASE	6/13	K		
56	APPLELINK CORP	A	DIVIDEND	J	T	PURCHASE	7/17	J		
57	AT&T INC		NONE	J	T	PURCHASE	12/20	J		
58	AXIS CAPITAL HOLDINGS LTD	B	DIVIDEND	M	T	PURCHASE	3/6	M		
59	BAKER HUGHES INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		
60	BANK OF AMERICA	E	DIV/CIL	P1	T	RECEIVED SHARES VIA TENDER OF MBNA SHARES	1/3	O		

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61	BARR PHARMACEUTICALS		NONE			PURCHASE	8/11	J		
62						SOLD	10/6	J	A	
63	BERKLEY WR CORP	C	DIVIDEND	P1	T					
64	BERKSHIRE HATHAWAY		NONE	N	T					
65	BEST BUY CO	A	DIVIDEND			PURCHASE	6/13	J		
66						PURCHASE	7/6	J		
67						SOLD	12/19	J		
68	BJ SERVICES CO	A	DIVIDEND			PURCHASE	6/13	J		
69						SOLD	11/16	J		
70	BLACK & DECKER CORP	A	DIVIDEND			PURCHASE	6/13	J		
71						SOLD	8/14	J		
72	BLACKHOCK INC	E	DIVIDEND	P1	T	PURCHASE	5/8	N		
73	BURLINGTON RESOURCES	B	DIV/CIL			TENDERED SHARES MERGER W/ CONOCOPHILLIPS		4/3	M	
74	CABLEVISION SYSTEMS		NONE			SOLD	2/22	M		
75	CAMERON INTL		NONE	J	T	PURCHASE	11/27	J		
76	CAREMARK RX INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		

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77	CATERPILLAR INC	A	DIVIDEND			PURCHASE	6/13	J		
78						SOLD	11/10	J		
79	CELGENE CORP		NONE	J	T	PURCHASE	12/20	J		
80	CEMENTA	A	DIVIDEND	M	T	PURCHASE	3/31	M		
81	CHARLES SCHWAB CORP	A	DIVIDEND			PURCHASE	6/13	K		
82						SOLD	11/20	K	B	
83	CHICAGO MERCANTILE EXCHANGE	A	DIVIDEND	J	T	PURCHASE	6/13	J		
84	CISCO SYSTEMS		NONE	J	T	PURCHASE	12/12	J		
85						PURCHASE	12/13	J		
86	CIT GROUP	D	DIVIDEND	M	T	PURCHASE	6/13	J		
87						PARTIAL SALE	8/16	J		
88						PARTIAL SALE	9/1	N	E	
89						PURCHASE	12/27	L		
90	CITRIX SYSTEMS INC		NONE			PURCHASE	6/13	J		
91						SOLD	9/11	J		
92	COACH INC		NONE	K	T	PURCHASE	6/13	J		
93						PURCHASE	8/14	J		

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94	COCA-COLA CO	A	DIVIDEND	J	T	PURCHASE	11/28	J		
95	COGNIZANT TECHNOLOGY SOLUTIONS		NONE	J	T	PURCHASE	6/13	J		
96	COMCAST CORP		NONE	J	T	PURCHASE	11/8	J		
97	COMPTONWARE CORP		NONE			PURCHASE	6/13	J		
98						SOLD	8/18	J		
99	CONCO PHILLIPS	E	DIVIDEND	P1	T	RECEIVED THRU MERGER WITH BURLINGTON RESOURCES		4/3	M	
100	CONSTAR ENERGY INC	A	DIVIDEND			PURCHASE	6/13	J		
101						SOLD	9/25	J		
102	CVS CORP	A	DIVIDEND			PURCHASE	6/13	J		
103						SOLD	11/7	J	A	
104	DANABER CORP		NONE	J	T	PURCHASE	11/20	J		
105	DIAMOND OFFSHORE DRILLING INC	A	DIVIDEND			PURCHASE	6/13	J		
106						PURCHASE	7/5	J		
107						SOLD	11/7	J		
108	DIRECTV GROUP		NONE	M	T	PURCHASE	10/19	J		
109	ELECTRONIC DATA SYS CORP	A	DIVIDEND			PURCHASE	6/13	J		
110						SOLD	11/1	J	A	

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111	EMBARCO		NONE			SPUN OFF FROM SPRINT	5/23	J		
112						SOLD	5/23	J	A	
113	EMBRAER-EMPRESA BRASILEIRA DE AERONAUTICA	C	DIVIDEND	M	T	PURCHASE	6/4	K		
114	EXPEDITORS INTERNATIONAL	A	DIVIDEND	J	T	PURCHASE	6/13	J		
115	EXPRESS SCRIPTS INC		NONE	J	T	PURCHASE	6/13	J		
116	E*TRADE FINANCIAL CORP		NONE			PURCHASE	6/13	J		
117						SOLD	12/15	J	A	
118	FEDEX CORP		NONE	O	T	PURCHASE	10/5	O		
119	FOREST LABORATORIES		NONE	J	T	PURCHASE	10/17	J		
120						PURCHASE	10/19	J		
121	FRANKLIN RESOURCES INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		
122	GARMAN LTD	A	DIVIDEND	J	T	PURCHASE	10/11	J		
123	GEN PROBE INC		NONE			PARTIAL SALE	9/1	O	G	
124						SOLD	9/5	M	E	
125	GENERAL DYNAMICS CORP		NONE	J	T	PURCHASE	11/29	J		
126	GILEAD SCIENCES INC		NONE	K	T	PURCHASE	6/13	J		
127	GOLARING LTD		NONE			SOLD	9/1	L		

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128	GOLDMAN SACHS GROUP (INCLUDES CALL OPTIONS)		NONE	L	T	PURCHASE	12/1	J		
129						PURCHASE	12/29	L		
130	GOOGLE INC		NONE	K	T	PURCHASE	6/13	J		
131	HARRIS CORP	A	DIVIDEND			PURCHASE	6/13	J		
132						PARTIAL SALE	10/6	J	A	
133						SOLD	10/10	J	A	
134	HEWLETT-PACKARD CO	A	DIVIDEND	O	T	PURCHASE	6/13	J		
135						PURCHASE	9/1	O		
136	HOME DEPOT INC	E	DIVIDEND	P1	T					
137	HUGOTON ROYALTY TRUST	A	DIVIDEND	J	T	SPUN OFF FROM XTO ENERGY	5/12	J		
138	INTERNATIONAL BUSINESS MACHINES	B	DIVIDEND	L	T	PARTIAL SALE	2/16	M		
139						PURCHASE	5/17	K		
140	INTUITIVE SURGICAL INC		NONE	J	T	PURCHASE	6/13	J		
141	JABIL CIRCUIT	A	DIVIDEND			PURCHASE	6/13	J		
142						SOLD	9/20	J		
143	JACOBS ENGINEERING GROUP		NONE			PURCHASE	9/15	J		
144						SOLD	11/27	J		

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145	JOHNSON & JOHNSON	G	DIVIDEND	P1	T	PARTIAL SALE	11/14	O	G	
146						PURCHASE	12/1	J		
147	JOY GLOBAL INC	A	DIVIDEND			PURCHASE	6/13	J		
148						SOLD	10/19	J		
149	KERR MCGEE CORP		NONE			PURCHASE	6/13	J		
150						SOLD	7/6	J	B	
151	KOHLER		NONE	J	T	PURCHASE	9/5	J		
152	LAM RESEARCH CORP		NONE	J	T	PURCHASE	10/30	J		
153	LAS VEGAS SANDS CORP		NONE	J	T	PURCHASE	10/18	J		
154						PURCHASE	10/23	J		
155	LENNAR CORP	A	DIVIDEND	L	T	PURCHASE	10/13	L		
156	LEXMARK INTERNATIONAL		NONE	J	T	PURCHASE	9/26	J		
157	LINCOLN NATIONAL CORP	B	DIVIDEND	M	T	PURCHASE	3/31	M		
158	LSI LOGIC CORP		NONE	J	T	PURCHASE	6/13	J		
159	LUCENT TECHNOLOGIES CONV PREFD		NONE	J	T					
160	MANITOWOC CO INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		
161	MANOR CARE INC	A	DIVIDEND	J	T	PURCHASE	8/28	J		

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162	MANPOWER INC	A	DIVIDEND	J	T	PURCHASE	6/13	J		
163	MARRIOTT INTERNATIONAL INC	A	DIVIDEND			PURCHASE	6/13	J		
164						SOLD	9/26	J	A	
165	MARTIN MARIETTA MATLS INC	A	DIVIDEND			PURCHASE	6/13	J		
166						SOLD	11/16	J	A	
167	MARVELL TECHNOLOGY GROUP LTD		NONE			PURCHASE	6/13	J		
168						SOLD	8/14	J		
169	MATTEL INC	A	DIVIDEND	J	T	PURCHASE	11/21	J		
170	MBIA	B	DIVIDEND			SOLD	8/2	M	B	
171	MBNA CORP		NONE			TENDERED SHARES MERGER WITH BANK OF AMERICA		1/3	P1	
172	MEDTRONIC INC	C	DIVIDEND	N	T					
173	MEMC ELECTR MATLS INC		NONE	J	T	PURCHASE	6/13	J		
174	MERRILL LYNCH & CO		NONE	J	T	PURCHASE	11/16	J		
175	MICROSOFT		NONE	J	T	PURCHASE	11/20	J		
176	MOLEX INC	A	DIVIDEND			PURCHASE	9/28	J		
177						SOLD	12/1	J		

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178	MONSTER WORLDWIDE INC		NONE			PURCHASE	6/13	J		
179						SOLD	10/23	J		
180	NEWFIELD EXPLORATION		NONE			SOLD	10/5	M		
181	NEWS CORP		NONE	J	T	SOLD	2/16	M		
182						PURCHASE	12/4	J		
183	NIKE		NONE	J	T	PURCHASE	10/30	J		
184	NORFOLK SOUTHERN CORP	A	DIVIDEND			PURCHASE	6/13	J		
185						SOLD	10/18	J	A	
186	NVIDIA CORP		NONE			PURCHASE	6/13	K		
187						PARTIAL SALE	10/6	K	C	
188						SOLD	11/7	J	B	
189	OCCIDENTAL PETE CORP	A	DIVIDEND	L	T					
190	OFFICE DEPOT INC		NONE			PURCHASE	6/13	J		
191						SOLD	12/1	J		
192	OLSTEIN FINANCIAL ALERT FUND (MUTUAL FUND)	D	DIVIDEND	M	T	PURCHASE	12/12	J		
193	OMNICOM GROUP		NONE	J	T	PURCHASE	11/1	J		
194	ORACLE CORP		NONE	M	T	PURCHASE	2/22	M		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
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195					PURCHASE	10/4	J		
196					PURCHASE	10/11	J		
197 PAYCHECK INC	A	DIVIDEND			PURCHASE	6/13	J		
198					SOLD	8/9	J		
199 PEPSICO	D	DIVIDEND	O	T	PARTIAL SALE	10/13	L	D	
200 PETROLEO BRASILEIRO	D	DIVIDEND	M	T	PARTIAL SALE	12/27	K	E	
201 PIONEER NATURAL RESOURCES		NONE	K	T	PURCHASE	12/27	K		
202 ALTRIA GROUP - IRA ACCOUNT	C	DIVIDEND	M	T					
203 POLO RALPH LAUREN CORP	A	DIVIDEND			PURCHASE	6/13	J		
204					SOLD	11/21	J	B	
205 PRECISION CASTPARTS CORP	A	DIVIDEND			PURCHASE	6/13	J		
206					SOLD	9/12	J	A	
207 RED HAT INC		NONE			PURCHASE	6/13	J		
208					SOLD	10/23	J		
209 SANDISK CORP		NONE			PURCHASE	6/13	J		
210					SOLD	12/13	J		
211 SCHERING-PLOUGH	A	DIVIDEND	K	T	PURCHASE	11/1	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
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212					PURCHASE	11/8	J		
213 SEAGATE TECHNOLOGY	A	DIVIDEND			PURCHASE	6/13	J		
214					SOLD	10/2	J	A	
215 SEARS HOLDINGS CORP		NONE	P1	T	PURCHASE	10/12	P1		
216 SEI INVESTMENT CO		NONE	J	T	PURCHASE	11/16	J		
217 SHIP PLANCE INTERNATIONAL LTD	C	DIVIDEND			SOLD	3/31	M		
218 SMITH INTERNATIONAL		NONE	J	T	PURCHASE	11/28	J		
219 SMURFIT-STONE CONTAINER CORP		NONE	L	T	PURCHASE	9/1	L		
220 SONIC CORP		NONE	P3	T					
221 SPRINT NEXTEL CORP (FKA SPRINT CORP)	A	DIVIDEND	M	T	SPUN OFF EMBARQ	5/23	J		
222 STRYKER CORP	A	DIVIDEND	N	T					
223 SUNCOR ENERGY	C	DIVIDEND	P1	T					
224 T ROWE PRICE GROUP	A	DIVIDEND			PURCHASE	6/13	J		
225					SOLD	11/22	J	A	
226 TELLABS INC		NONE			PURCHASE	6/13	J		
227					SOLD	9/19	J		
228 THIRD AVENUE INTL VALUE FUND (MUTUAL FND)	E	DIV/DISTR	M	T	PURCHASE	6/6	M		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
229						PURCHASE	12/20	J		
230	UNITED TECH CORP	E	DIVIDEND	P1	T					
231	UNITEDHEALTH GROUP		NONE	M	T	PURCHASE	5/17	M		
232						PARTIAL SALE	9/1	K	C	
233	UNUMPROVIDENT	C	DIVIDEND	M	T					
234	VALERO ENERGY	C	DIVIDEND	M	T	PURCHASE	6/13	J		
235						PARTIAL SALE	7/19	J	A	
236						PARTIAL SALE	9/11	O	G	
237	VERIGY LTD		NONE	J	T	SPUN OFF FROM AGILENT	11/1	J		
238	VODAFONE GROUP		NONE			SOLD	3/2	M		
239	WAL MART STORES	F	DIVIDEND	P1	T	PARTIAL SALE	10/17	P1	G	
240	WARREN RESOURCES INC		NONE	L	T	PURCHASE	12/27	L		
241	WEATHERFORD INTERNATIONAL LTD		NONE			PURCHASE	6/13	K		
242						SOLD	11/1	J		
243	WELLS FARGO	D	DIVIDEND	M	T					
244	WENDY'S INTERNATIONAL INC	A	DIVIDEND			PURCHASE	6/13	J		
245						SOLD	8/14	J	A	

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246	WEYERHAEUSER CO	C	DIVIDEND	M	T					
247	WINDSTREAM CORP		NONE			PURCHASE	7/21	J		
248						SPUN OFF FROM ALLTEL	7/21	K		
249						PARTIAL SALE	7/21	K	C	
250						SOLD	7/28	J	A	
251	XEROX		NONE	M	T	PURCHASE	5/17	M		
252						PURCHASE	6/7	J		
253	XTO ENERGY CORP	D	DIVIDEND			SPUN OFF HUGOTON ROYALTY	5/12	J		
254						SOLD	10/5	M	E	
255	YRC WORLDWIDE INC		NONE			PURCHASE	2/22	M		
256						SOLD	12/27	M		
<u>CORPORATE BONDS/US GOVT TBILLS (LINES 257-258) :</u>										
257	GENERAL ELECTRIC BOND	G	INTEREST	P2	T					
258	ITT FINANCIAL CORP BOND	G	INTEREST			DONATED TO FOUNDATION	11/13	P1		
<u>CASH/INVESTMENT/MONEY MARKET ACCOUNTS (LINES 259-274) :</u>										
259	BEAR STEARNS TEMPFUND (BEAR STEARNS - IRA CASH)	A	DIVIDEND	J	T					
260	GOLDMAN SACHS BANK DEPOSIT #3 (FKA GOLDMAN SACHS INST ASSETS FEDL PORTFOLIO #3)	D	DIV/INT	P1	T					
261	CITIBANK CHECKING ACCOUNT - FOUNDATION		NONE	K	T					

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
262	CITIBANK INTEREST CHECKING A/C	A	INTEREST	J	T					
263	MSIF MONEY MARKET	A	INTEREST	J	T					
264	CITIBANK CHECKING ACCOUNT		NONE	L	T					
265	CITIBANK ASSET ACCOUNT		NONE	M	T					
266	CITIBANK CASH RESERVE ACCOUNT	G	INTEREST	P1	T					
267	CITIBANK CASH RESERVE ACCOUNT #2	B	INTEREST			OPENED ACCOUNT	5/24	J		
268						CLOSED ACCOUNT	6/7	P1		
269	CITIBANK INTEREST CHECKING ACCOUNT # 2	A	INTEREST	J	T					
270	BEAR, STEARNS ACCOUNT #1 (SEE NOTE 3 IN SECTION VIII)		NONE	J	T					
271	NEUBERGER BERMAN INSTL LIQDITY SER INSTL CASH	C	DIVIDEND	K	T					
272	DEUTSCHE BANK ALEX BROWN MONEY MARKET	B	INTEREST	N	T					
273	LEHMAN BROTHERS CASH ACCOUNT	A	INTEREST	J	T	OPENED NEW ACCOUNT	9/27	N		
274	BLACKROCK MONEY MARKET/PNC CASH	B	DIVIDEND	L	T	OPENED NEW ACCOUNT	6/30	K		
<u>FOUNDATION COMMON STOCKS/BONDS (LINES 275-283) (SEE NOTE 4 IN SECTION VIII)</u>										
275	ABBOTT LABORATORIES	D	DIVIDEND	N	T	PARTIAL SALE	5/8	M		
276	ALTRIA GROUP INC	D	DIVIDEND	N	T					
277	ANHEUSER BUSCH COS INC	A	DIVIDEND			SOLD	3/3	M	F	

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278	BANK OF AMERICA	D	DIVIDEND	N	T					
279	DYERSBURG CORP		NONE	J	T					
280	GENERAL ELECTRIC CO	C	DIVIDEND	M	T					
281	ITT BONDS		NONE			DONATED FROM TRUSTEE	11/13	P1		
282						SOLD	12/18	P1		
283	UNITED TECHNOLOGIES CORP	D	DIVIDEND	N	T					
<u>INVESTMENTS IN NON-PUBLIC COMPANIES (LINES 284-411) (SEE NOTES 4, 5, 6 & 7 IN SECTION VIII)</u>										
284	ARIZONA SNOWBOWL (AKA SUNWEST HOLDINGS) (SEE NOTE 1 IN SECTION VII)		NONE	N	W					
285	ENTERTAINMENT ACQUISITION (SEE NOTES 1 & 19 IN SECTION VIII)	E	K-1 DISTR/ ROYALTIES							
286	CARTON CUTS (SEE NOTES 1 & 16 IN SECTION VIII)		NONE	J	W					
287	COSMOS PARTNERS (FKA EVALUATION ASSOCIATES) (SEE NOTES 1 & 23 IN SECTION VIII)	D	K-1 DISTR	K	V	NOTE PROCEEDS	1/6	K		
288	NEW RIVER/BEAVERTOWN (SEE NOTES 1, 16 & 22 IN SECTION VIII)		NONE							
289	TAMPA BAY DEVIL RAYS (SEE NOTES 1 & 16 IN SECTION VIII)		NONE	N	W					
290	FRESH DIRECT		NONE	O	W	PURCHASE	3/10	K		

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291	OFFICE BULL (SEE NOTE 9 IN SECTION VII)	F	K-1 DISTR	N	W	DISTRIBUTION	4/3	J		
292	OPUS MEDIA PARTNERS (SEE NOTE 9 IN SECTION VII)		NONE	N	W					
293	BEAR STEARNS MERCHANT BANK II (SEE NOTES 9 & 16 IN SECTION VII)	G	K-1 DISTR/ DIVIDEND	O	W	DISTRIBUTION	2/22	M		
294						ADDITIONAL INVESTMENT	2/22	K		
295						ADDITIONAL INVESTMENT	4/17	K		
296						DISTRIBUTION	4/17	M		
297						ADDITIONAL INVESTMENT	5/15	J		
298						DISTRIBUTION	5/15	J		
299						DISTRIBUTION	6/29	K		
300						ADDITIONAL INVESTMENT	12/8	K		
301						DISTRIBUTION	12/8	K		
302	BEAR STEARNS MERCHANT BANK III (SEE NOTE 9 IN SECTION VII)	A	K-1 DISTR	M	W	INITIAL INVESTMENT	11/14	M		
303	BIRDS EYE FOODS (FKA AGRILINK) (SEE NOTE 17 IN SECTION VII)		NONE	P1	W					
304	ADVANCED ORGANICS (SEE NOTES 8 & 17 IN SECTION VII)		NONE			DISTRIBUTION	12/8	J		
305	BORDER MEDIA		NONE	O	W	ADDITIONAL INVESTMENT	9/28	J		

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(SEE NOTE 17 IN SECTION VIII)										
306						ADDITIONAL INVESTMENT	10/6	L		
307	AEAR (FKA CABOT SAFETY CORP) (SEE NOTE 17 IN SECTION VIII)		NONE			SOLD	3/31	N	G	BEAR STEARNS
308						ADDITIONAL PROCEEDS	6/15	J	D	
309	DYNALOX (SEE NOTE 17 IN SECTION VIII)		NONE	L	W	RETURN OF CAPITAL	7/21	K		
310	REDP LERIE CORP (FKA MCHUGH SOFTWARE) (SEE NOTE 17 & 21 IN SECTION VIII)		NONE			ADDITIONAL PROCEEDS	6/15	J	B	
311						ADDITIONAL PROCEEDS	9/22	K	E	
312	SIEGEN GALE (SEE NOTE 17 & 21 IN SECTION VIII)		NONE			DISTRIBUTION	12/8	J		
313	VESTAL CAPITAL PARTNERS (I) (SEE NOTE 10 IN SECTION VIII)		NONE							
314	VESTAL EQUITY PARTNERS/ASSOC. (II) (SEE NOTE 10 IN SECTION VIII)	A	K-1 DISTR			LIQUIDATION DISTRIBUTION	12/8	J		
315						LIQUIDATION DISTRIBUTION	12/11	J		
316	VESTAL CAPITAL PARTNERS/ASSOC. (III) (SEE NOTES 10 & 16 IN SECTION VIII)	D	INTEREST			DISTRIBUTION	12/8	J		
317	VESTAL CAPITAL PARTNERS/ASSOC. (IV & AIV) (SEE NOTES 10 & 16 IN SECTION VIII)	E	K-1 DISTR	L	W	DISTRIBUTION	12/18	J		
318	VESTAL CAPITAL PARTNERS/ASSOC (V) (SEE NOTES 10 & 16 IN SECTION VIII)	A	K-1 DISTR							
319	GOLD COPE (FKA CLUETT AMERICAN)		NONE			SOLD	11/7	O	G	BLACKSTONE

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(SEE NOTE 17 IN SECTION VIII)										
320	CONSOLIDATED CONTAINER (SEE NOTE 17 IN SECTION VIII)	D	INTEREST	P1	W					
321	ST JOHNS KNITS (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					
322	VALOR COMMUNICATIONS (SEE NOTE 17 IN SECTION VIII)	F	DIVIDEND			SOLD	10/17	O	G	
323	ARGO TECH (SEE NOTE 17 IN SECTION VIII)		NONE	N	W					
324	CESARE FIORUCCI (SEE NOTE 17 IN SECTION VIII)		NONE	O	W					
325	DUFF PHELPS (SEE NOTE 17 IN SECTION VIII)		NONE	N	W					
326	ESSENT HEALTHCARE (SEE NOTE 17 IN SECTION VIII)		NONE	N	W					
327	GLEASON CORP (SEE NOTE 17 IN SECTION VIII)		NONE	K	W	PARTIAL SALE	7/21	O	G	MGMT BUY BACK
328	MEDIA MEDIA (SEE NOTE 17 IN SECTION VIII)		NONE	M	W	INITIAL INVESTMENT	9/29	M		
329	NATIONAL MENTOR HOLDINGS (SEE NOTE 17 IN SECTION VIII)		NONE	M	W	INITIAL INVESTMENT	6/23	M		
330						RETURN OF OVERFUNDING	7/19	J		
331	NYBRON FLOORING		NONE	N	W	INITIAL INVESTMENT	1/20	N		

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(SEE NOTE 17 IN SECTION VII)										
332						ADDITIONAL INVESTMENT	1/24	M		
333						RETURN OF OVERFUNDING	7/19	J		
334	OGF GROUP (SEE NOTE 17 IN SECTION VII)		NONE	N	W	RECAPITALIZATION	10/3	M	G	
335	PARIS AE (SEE NOTE 17 IN SECTION VII)		NONE	M	W	INITIAL INVESTMENT	11/6	J		
336						ADDITIONAL INVESTMENT	11/30	M		
337	FL SERVICES (SEE NOTE 17 IN SECTION VII)	D	INTEREST			SOLD	1/31	P1	G	KOHLBERG KRAVITZ
338						ADDITIONAL PROCEEDS	2/1	J	A	
339	SEVEN CPA (SEE NOTE 17 IN SECTION VII)		NONE	L	W	INITIAL INVESTMENT	4/24	L		
340						RETURN OF OVERFUNDING	7/19	J		
341	SOLO OPP COMPANY (SEE NOTE 17 IN SECTION VII)		NONE	P1	W					
342	SUNRISE MEDICAL (SEE NOTE 17 IN SECTION VII)		NONE	O	W	RETURN OF CAPITAL	7/21	K		
343	SYMETRA FINANCIAL (SEE NOTE 17 IN SECTION VII)		NONE	N	W					
344	VALUED HOLDINGS (SEE NOTE 17 IN SECTION VII)		NONE	O	W					
345	WABCO (SEE NOTE 17 & 21 IN SECTION VII)		NONE			ADDITIONAL SALE PROCEEDS	10/3	J	C	

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
346	WILTON RE HOLDINGS (SEE NOTE 17 IN SECTION VII)		NONE	O	W	ADDITIONAL INVESTMENT	5/4	N		
347						ADDITIONAL INVESTMENT	7/5	N		
348	ZANUS METALLURGICA (SEE NOTE 17 IN SECTION VII)		NONE			SOLD	12/8	L		CIVIDALE
349	NEWSPAPER MEDIA/ENTERPRISE NEWSMEDIA (SEE NOTE 1 IN SECTION VII)	H2	DIV/INT			LOAN REPAYMENT	5/31	L		
350						SPUN OFF AVON DISTR LLC	5/11	P1		
351						SPUN OFF CHICOPEE DISTR LLC	5/11	N		
352						SOLD	6/7	P2	H1	GATEHOUSE MEDIA
353						ADDITIONAL SALE PROCEEDS	9/27	M	G	
354	AVON DISTRIBUTION LLC (SEE NOTES 1 & 18 IN SECTION VII)	B	K-1 DISTR	P1	V	SPUN OFF FROM NEWSPAPER MEDIA/ENTERPRISE NEWSMEDIA	5/11	P1		
355	CHICOPEE DISTRIBUTION LLC (SEE NOTES 1 & 12 IN SECTION VII)		NONE			SPUN OFF FROM NEWSPAPER MEDIA/ENTERPRISE NEWSMEDIA	5/11	N		
356						LIQUIDATING DISTRIBUTION	9/6	N	G	
357	FLAG VENTURE PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)	G	K-1DISTR/ DIV/INT	P1	W	ADDITIONAL INVESTMENT	2/6	L		
358						DISTRIBUTION	2/16	K		
359						DISTRIBUTION	3/30	K		
360						ADDITIONAL INVESTMENT	4/4	L		

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361						DISTRIBUTION	5/9	K		
362						ADDITIONAL INVESTMENT	6/27	L		
363						DISTRIBUTION	6/28	K		
364						DISTRIBUTION	8/23	K		
365						ADDITIONAL INVESTMENT	9/21	L		
366						DISTRIBUTION	11/2	L		
367						ADDITIONAL INVESTMENT	12/11	L		
368						DISTRIBUTION	12/21	K		
369	RED ARKEY (SEE NOTE 9 IN SECTION VIII)	D	K-1 DISTR	N	W	ADDITIONAL INVESTMENT	1/17	L		
370						DISTRIBUTION	2/2	K		
371						ADDITIONAL INVESTMENT	4/4	L		
372						DISTRIBUTION	4/18	J		
373						ADDITIONAL INVESTMENT	5/26	K		
374						ADDITIONAL INVESTMENT	10/23	K		
375	SENTINEL CAPITAL PARTNERS/SENTINEL PARTNERS (I) (SEE NOTE 10 IN SECTION VIII)	A	K-1 DISTR							
376	SENTINEL CAPITAL PARTNERS/SENTINEL PARTNERS (I) (SEE NOTE 10 IN SECTION VIII)		NONE							

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377	SENTINEL CAPITAL PARTNERS (II) (SEE NOTE 10 IN SECTION VII)	E	K-1 DISTR							
378	ALEMP HOLDINGS (SEE NOTE 17 IN SECTION VII)		NONE			SOLD	1/26	P1	H1	LINCOLNIND
379						ADDITIONAL SALE PROCEEDS	6/29	K	E	
380	COTTON TRANSMISSION (SEE NOTES 17 & 21 IN SECTION VII)		NONE			ADDITIONAL SALE PROCEEDS	5/5	J	D	
381						ADDITIONAL SALE PROCEEDS	5/8	J	C	
382	FASLO (SEE NOTE 17 IN SECTION VII)	E	DIV/INT	P1	W	RETIRED DEBT & PRFD STOCK	6/26	N		
383	FLOREN PLANT GROWERS (SEE NOTES 17 & 21 IN SECTION VII)		NONE			ESCROW RELEASE	5/5	K	E	
384						ESCROW RELEASE	5/8	K	E	
385	HASCO (SEE NOTE 17 IN SECTION VII)		NONE			SOLD	8/24	M		FALCONHEAD CAPITAL
386	INTERIM HEALTHCARE (SEE NOTE 17 IN SECTION VII)		NONE	O	W	INITIAL INVESTMENT	6/21	O		
387	LTI FLEXIBLE PRODUCTS (SEE NOTE 17 IN SECTION VII)		NONE	N	W	INITIAL INVESTMENT	6/21	N		
388	MADISON CORP (SEE NOTE 17 IN SECTION VII)		NONE	N	W					
389	METROPOLITAN DENTAL (SEE NOTE 17 IN SECTION VII)		NONE	M	W					
390	NIVEL		NONE	P1	W	RECAPITALIZATION	6/29	N		

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		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
	(SEE NOTE 17 IN SECTION VII)									
391	NORSE FOOD GROUP (SEE NOTES 17 & 21 IN SECTION VII)		NONE			ADDITIONAL SALE PROCEEDS	5/5	J	C	
392						ADDITIONAL SALE PROCEEDS	5/8	J	B	
393	ROMA CORP (SEE NOTE 17 IN SECTION VII)		NONE			SOLD	5/5	J		BONDHOLDERS
394						ADDITIONAL SALE PROCEEDS	6/29	J		
395	BUFFET INC (SEE NOTE 17 IN SECTION VII)		NONE	O	W					
396	SPINRAC (SEE NOTE 17 IN SECTION VII)	E	DIVIDEND	O	W					
397	STRATEGIC PARTNERS (SEE NOTE 17 IN SECTION VII)		NONE	O	W	INITIAL INVESTMENT	6/21	O		
398	SCIENT CAPITAL PARTNERS (SEE NOTES 9 & 18 IN SECTION VII)	A	K-1 DISTR	O	V					
399	NORTHERN HELLENIC HOLDINGS LLC (SEE NOTES 9 & 18 IN SECTION VII)		NONE	N	V	NEW INVESTMENT	1/1	M		
400	SCIENT VENTURE PARTNERS (AKA ZILKHA VENTURE) (SEE NOTES 8, 9 & 16 IN SECTION VII)	E	K-1 DISTR			DISTRIBUTION	7/20	K		
401	PARK AVENUE PARTNERS (SEE NOTES 9 & 16 IN SECTION VII)	G	K-1 DISTR/ DIVIDEND	P1	W	DISTRIBUTION	1/3	L		
402						DISTRIBUTION	1/20	J		
403						DISTRIBUTION	3/13	M		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
404					DISTRIBUTION	7/24	O		
405					ADDITIONAL INVESTMENT	9/6	M		
406					DISTRIBUTION	11/30	K		
407 LEHMAN BROTHERS CO-INVESTMENT LP/CAYMAN AIV LP (SEE NOTES 9 & 16 IN SECTION VII)		NONE	O	W	INITIAL INVESTMENT	9/29	N		
408					ADDITIONAL INVESTMENT	11/20	M		
409					DISTRIBUTION	12/13	K		
410					ADDITIONAL INVESTMENT	12/26	M		
411					DISTRIBUTION	12/26	J		
<u>OTHER INVESTMENTS/NOTES RECEIVABLE:</u>									
412 CHESAPEAKE PARTNERS (SEE NOTE 1 IN SECTION VII)	H1	K-1 DISTR	P2	U	PARTIAL WITHDRAWAL	1/3	P1		
413 FARADAY CAPITAL PARTNERS (SEE NOTES 1 & 16 IN SECTION VII)	G	K-1 DISTR	P1	U					
414 AXIOM INTERNATIONAL INVESTORS LLC (SEE NOTE 1 IN SECTION VII)		NONE	P1	U	INITIAL INVESTMENT	7/31	P1		
415 THE BUNNHEIM FUND LLC (SEE NOTE 1 IN SECTION VII)		NONE	P1	U	INITIAL INVESTMENT	12/28	P1		
416 BLUE AND GRAY PARTNERSHIP (SEE NOTES 1 & 18 IN SECTION VII)		NONE	K	V					
417 F.E. RICHARDSON & CO (SEE NOTES 1, 13 & 16 IN SECTION VII)	H1	K-1 DISTR	P1	V	ADDITIONAL INVESTMENT	1/6	K		
418					ADDITIONAL INVESTMENT	1/30	K		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
419					ADDITIONAL INVESTMENT	2/3	K		
420					ADDITIONAL INVESTMENT	3/10	K		
421					ADDITIONAL INVESTMENT	4/10	K		
422					ADDITIONAL INVESTMENT	5/5	K		
423					ADDITIONAL INVESTMENT	5/25	K		
424					DISTRIBUTION	12/28	O		
425 RACE HORSES		NONE	L	W					
426 OP40 LTD (SEE NOTE 5 IN SECTION VIII)		NONE	L	W					
427 OSCAR S SCHAFFER PARTNERS II (SEE NOTE 1 IN SECTION VIII)	G	K-1 DISTR	P1	U					
428 NOTE RECEIVABLE - ELIZABETH REILLY		NONE			LOAN REPAYED	1/3	K		
<u>PROFIT SHARING PLAN FUNDS (LINES 429-432) (SEE NOTE 4 IN SECTION VIII)</u>									
429 --- ING GOLDMAN SACHS CORE EQUITY		NONE			SOLD	1/23	M	C	
430 --- AMERICAN BALANCED FUND		NONE			SOLD	1/23	L	A	
431 --- ING ROWE PRICE EQ INCOME PT		NONE	M	T	PURCHASE	1/23	M		
432 --- ING WARSICO INTERNATIONAL OPP PORT		NONE	L	T	PURCHASE	1/23	K		
433 GOLDMAN SACHS BANK DEPOSIT #4 (FKA GOLDMAN SACHS INST ASSETS FEDL PORTFOLIO #4)	C	DIV/INT	M	T					

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
434	CITIBANK INTEREST CHECKING ACCOUNT #2	A	INTEREST	J	T					
435	ENTERPRISE NEWSMEDIA, INC. (SEE NOTES 1, 4 & 7 IN SECTION VIII)	E	DIVIDEND			SPUN OFF AVON DISTR LLC	5/11	K		
436						SPUN OFF CHICOPEE DISTR LLC	5/11	J		
437						SOLD	6/7	M	F	GATEHOUSE MEDIA
438						ADDITIONAL PROCEEDS	9/27	J	C	
439	AVON DISTRIBUTION LLC (SEE NOTES 1 & 18 IN SECTION VIII)	A	K-1 DISTR	K	V	SPUN OFF FROM ENTERPRISE NEWSMEDIA, INC.	5/11	K		
440	CHICOPEE DISTRIBUTION LLC (SEE NOTES 1, 4 & 12 IN SECTION VIII)		NONE			SPUN OFF FROM ENTERPRISE NEWSMEDIA, INC.	5/11	J		
441						LIQUIDATING DISTRIBUTION	9/6	J	C	
442	BLACK ROCK INC COMMON STOCK	B	DIVIDEND	M	T	PURCHASE	5/8	K		
443	CONGROPHILLIPS COMMON STOCK	B	DIVIDEND	M	T					
444	FEDER CORP COMMON STOCK		NONE	L	T	PURCHASE	10/5	L		
445	GENEROBE INC COMMON STOCK (SEE NOTE 4 IN SECTION VIII)		NONE			SOLD	9/1	K	A	
446	HEWLETT-PACKARD CO COMMON STOCK		NONE	L	T	PURCHASE	9/29	L		
447	HOME DEPOT INC COMMON STOCK	B	DIVIDEND	M	T					
448	JOHNSON & JOHNSON COMMON STOCK	B	DIVIDEND	L	T					

[illegible]

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
464 --- AUTOMATIC DATA PROCESSING COMMON STOCK					SOLD	9/1	L	E	
465 --- BLACKROCK, INC COMMON STOCK					SEE NOTE 15 IN SECTION VIII				
466 --- FEDEX CORP COMMON STOCK					PURCHASE	10/5	K		
467 --- GEN PROBE INC COMMON STOCK					SOLD	9/1	K	A	
468 --- HEWLETT-PACKARD CO COMMON STOCK					PURCHASE	9/29	L		
469 --- HOME DEPOT INC COMMON STOCK									
470 --- JOHNSON & JOHNSON COMMON STOCK									
471 --- LUCENT TECHNOLOGIES CONV PREFD									
472 --- MEDTRONIC INC COMMON STOCK									
473 --- SONIC CORP COMMON STOCK					SEE NOTE 15 IN SECTION VIII				
474 --- SYSCO CORP COMMON STOCK					SOLD	9/1	L	D	
475 --- WHITE MTNS INS GROUP COMMON STOCK									
476 --- PLUM CREEK TIMBER CO INC STOCK									
477 <u>TRUST #2 (FKA TRUST #3)</u> (SEE NOTE 4 IN SECTION VII)	F	INT/DIV/CIL			DISTRIBUTION	2/1	L		
478					DISTRIBUTION	3/1	O		
479					DISTRIBUTION	3/8	N		
480					DISTRIBUTION	3/9	N		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
481					DISTRIBUTION	3/13	N		
482					DISTRIBUTION	6/2	N		
483					DISTRIBUTION	9/27	N		
484					DISTRIBUTION	12/8	L		
485 --- BLACKROCK LIQUIDITY FUNDS					MONEY MARKET CLOSED	12/29	L		
486 --- USA TREASURY BILL					PARTIAL SALE	1/27	K		
487					SOLD	2/21	N		
<u>COMMON STOCKS:</u>									
488 --- GENERAL ELECTRIC CO					SOLD	2/21	L	B	
489 --- TYCO INTL LTD					SOLD	2/21	L		
490 --- ALTRIA GROUP INC					SOLD	2/21	L	E	
491 --- COSTCO WHOLESALE CORP					SOLD	2/21	K	D	
492 --- PROCTER & GAMBLE CO					PARTIAL SALE	2/21	N	F	
493					SOLD	6/1	N	C	
494 --- PROCTER & GAMBLE OPTIONS (PREPAID FORWARD CONTRACT)					DELIVERED SHARES (FORWARD CONTRACT EXPIRED)	5/24	H1		
495 --- WAL-MART STORES INC					SOLD	2/21	L		
496 --- CONOCOPHILLIPS					SOLD	2/21	L	E	

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
497 --- EXXON MOBIL CORP					SOLD	2/21	L	D	
498 --- AMERICAN INTERNATIONAL GROUP INC					SOLD	2/21	L		
499 --- MBNA CORP					TENDERED SHARES - MERGER WITH BANK OF AMERICA	1/3	M		
500 --- BANK OF AMERICA CORP					RECEIVED SHARES MERGER WITH MBNA CORP	1/3	M		
501					SOLD	2/21	M		
502 --- APPLIED MATERIALS INC					SOLD	2/21	K	D	
503 --- AUTOMATIC DATA PROCESSING INC					SOLD	2/21	L	D	
504 --- FIRST DATA CORP					SOLD	2/21	K	B	
505 --- MICROSOFT CORP					SOLD	2/21	M		
506 --- FPL GROUP					SOLD	2/21	L	D	
507 --- BRISTOL MYERS SQUIBB CO					SOLD	2/21	L		
508 --- JOHNSON & JOHNSON					SOLD	2/21	L	D	
509 --- MEDCO HEALTH SOLUTIONS INC					SOLD	2/21	K	D	
510 --- MERCK & CO INC					SOLD	2/21	M		
511 --- MERCK & CO/MEDCO OPTIONS (PREPAID FORWARD CONTRACT)					DELIVERED SHARES (FORWARD CONTRACT EXPIRED)	5/24	O		
512 --- ISHARES TR - S&P SMALLCAP 600 INDEX FUND					SOLD	2/21	L	E	

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
513	<u>TRUST #3 (FKA TRUST #4)</u>	E	INT/DIV/ SETTLMNT	J	T	DISTRIBUTION	3/1	O		
	(SEE NOTES 4 & 11 IN SECTION VIII)									
514			PROCEEDS			DISTRIBUTION	3/8	N		
515						DISTRIBUTION	3/9	N		
516						DISTRIBUTION	3/13	N		
517						DISTRIBUTION	6/2	N		
518						DISTRIBUTION	12/8	K		
519	--- BLACKROCK LIQUIDITY FUNDS					MONEY MARKET CLOSED	12/29	K		
	<u>COMMON STOCKS:</u>									
520	--- GENERAL ELECTRIC CO					SOLD	2/21	M	B	
521	--- UNITED TECHNOLOGIES CORP					SOLD	2/21	K	D	
522	--- HOME DEPOT INC					SOLD	2/21	K	D	
523	--- SYSCO CORP					SOLD	2/21	J		
524	--- WALMART STORES INC					SOLD	2/21	J		
525	--- CHEVRONTEXACO CORP					SOLD	2/21	L	E	
526	--- PNC FINANCIAL SERVICES GROUP INC					SOLD	2/21	M	E	
527	--- AUTOMATIC DATA PROCESSING INC					SOLD	2/21	K	B	
528	--- CISCO SYSTEMS INC					SOLD	2/21	L		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
529 --- FPL GROUP INC					SOLD	2/21	K	D	
530 --- USA TREASURY BILL					SOLD	2/27	P1		
531 <u>ESTATE ACCOUNT (SEE NOTE 4 IN SECTION VII)</u>	A	DIV/STTMNT PROCEEDS			DISTRIBUTED	7/6	J		
532 --- BLACKROCK LIQUIDITY FUNDS					SOLD	6/30	J		
533 --- OTHER PERSONALTY					DISTRIBUTED	6/27	L		
534 <u>TRUST #4 (FKA TRUST #7)</u> (SEE NOTE 4 IN SECTION VII)	E	DIVIDEND	M	T					
535 --- BLACKROCK LIQUIDITY FUNDS									
<u>COMMON STOCKS:</u>									
536 --- GENERAL ELECTRIC CO					SOLD	6/1	J		
537 --- UNITED TECHNOLOGIES CORP					SOLD	6/1	J	B	
538 --- HOME DEPOT					SOLD	6/1	J		
539 --- OMNICOM GROUP INC					SOLD	6/1	J	A	
540 --- CO-OP WHOLESALE CORP					SOLD	6/1	J	A	
541 --- SYSCO CORP					SOLD	6/1	J		
542 --- WAL-MART STORES INC					SOLD	6/1	J		
543 --- COGNACOPHILLIPS					SOLD	6/1	J	C	
544 --- AMERICAN INTERNATIONAL GROUP INC					SOLD	5/22	J		
545 --- FEDERAL HOME LOAN MTG CORP					SOLD	6/1	J		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
546 --- CISCO SYSTEMS INC					SOLD	6/1	J	A	
547 --- DELL INC					SOLD	6/1	J	A	
548 --- EMC CORP					SOLD	6/1	J		
549 --- MICROSOFT CORP					SOLD	6/1	J		
550 --- ORACLE CORP					SOLD	6/1	J		
551 --- TEMS INSTRUMENTS INC					SOLD	6/1	J		
552 --- FPL GROUP INC					SOLD	6/1	J	B	
553 --- JOHNSON & JOHNSON					SOLD	6/1	J	C	
554 --- LILLY ELI & CO					SOLD	6/1	J		
555 --- MEDTRONIC INC					SOLD	6/1	J		
556 --- ZIMMER HOLDINGS					SOLD	6/1	J	B	
557 --- AT&T INC (FKA SBC COMMUNICATIONS)					SOLD	6/1	J		
MUTUAL FUNDS:									
558 --- ISHARES TR - S&P SMALLCAP 600 INDEX FUND					SOLD	6/1	J	B	
559 --- MIDCAP SPDR TR STANDARD & POORS					SOLD	6/1	J	B	
560 --- JENKINSON 20/20 FOCUS FUND					PURCHASE	6/1	K		
561 --- THIRD AVENUE INTERNATIONAL VALUE					PURCHASE	6/1	K		
562					PURCHASE	6/5	L		
563 <u>TRUST #5 (FKA TRUST #8)</u> (SEE NOTE 4 IN SECTION VII(f)	D	DIVIDEND	L	T					

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	AMT CODE (A - H)	TYPE	VALUE CODE (J - P)	VALUE METHOD (Q - W)	TYPE	DATE	VALUE CODE (J - P)	GAIN CODE (A - H)	IDENTITY (IF PRIVATE)
564 --- BLACKROCK LIQUIDITY FUNDS									
<u>COMMON STOCKS:</u>									
565 --- GENERAL ELECTRIC CO					SOLD	6/1	J		
566 --- HOME DEPOT INC					SOLD	6/1	J		
567 --- OMNICOM GROUP INC					SOLD	6/1	J	A	
568 --- VIACOM INC					DELIVERED IN EXCHANGE FOR CBS SHARES	1/3	J		
569 --- CBS CORP					RECEIVED IN EXCHANGE FOR VIACOM SHARES	1/3	J		
570					SOLD	6/1	J		
571 --- VIACOM INC CLASS A WI					RECEIVED IN EXCHANGE FOR VIACOM SHARES	1/3	J		
572					SOLD	6/1	J		
573 --- COCA COLA CO					SOLD	6/1	J		
574 --- SYSCO CORP					SOLD	6/1	J	A	
575 --- WALMART STORES INC					SOLD	6/1	J		
576 --- SCHLUMBERGER LTD					SOLD	6/1	K	D	
577 --- AMERICAN INTERNATIONAL GROUP INC					SOLD	5/22	J		
578 --- BERNLEY W R CORP					SOLD	6/1	J	B	
579 --- FANNIE MAE					SOLD	6/1	J		

A.		B.		C.		D.				
DESCRIPTION OF ASSETS		INCOME DURING REPORTING PERIOD		GROSS VALUE AT END OF REPORTING PERIOD		TRANSACTIONS DURING REPORTING PERIOD				
		(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
580	--- MORGAN STANLEY					SOLD	6/1	J	C	
581	--- WELLS FARGO & COMPANY					SOLD	6/1	J	D	
582	--- AUTOMATIC DATA PROCESSING INC					SOLD	6/1	J		
583	--- CISCO SYSTEMS INC					SOLD	6/1	J	C	
584	--- FIRST DATA CORP					SOLD	6/1	J	A	
585	--- INTEL CORP					SOLD	6/1	J		
586	--- MICROSOFT CORP					SOLD	6/1	J	C	
587	--- ORACLE CORP					SOLD	6/1	J	B	
588	--- FPL GROUP INC					SOLD	6/1	J	B	
589	--- AMGEN INC					SOLD	6/1	K	E	
590	--- BECTON DICKINSON & CO					SOLD	6/1	J	A	
591	--- JOHNSON & JOHNSON					SOLD	6/1	J	D	
592	--- WYETH					SOLD	6/1	K	D	
593	--- ZIMMER HOLDINGS					SOLD	6/1	J	B	
594	--- VERIZON COMMUNICATIONS INC					SOLD	6/1	J	A	
<u>MUTUAL FUNDS:</u>										
595	--- ISHARES TR S&P SMALLCAP 600 INDEX FUND					SOLD	6/1	J	C	
596	--- MIDCAP SPDR TR STANDARD & POORS					SOLD	6/1	K	D	
597	--- JENNISON 20/20 FOCUS FUND					PURCHASE	6/1	L		

A. DESCRIPTION OF ASSETS	B. INCOME DURING REPORTING PERIOD		C. GROSS VALUE AT END OF REPORTING PERIOD		D. TRANSACTIONS DURING REPORTING PERIOD				
	(1) AMT CODE (A - H)	(2) TYPE	(1) VALUE CODE (J - P)	(2) VALUE METHOD (Q - W)	(1) TYPE	(2) DATE	(3) VALUE CODE (J - P)	(4) GAIN CODE (A - H)	(5) IDENTITY (IF PRIVATE)
598 RENTAL PROPERTY - NEW YORK, NY (FKA RENTAL PROPERTY #3 - NEW YORK, NY) (6/15/2005 \$850,000)	E	RENT	O	R					
599 DYERSBURG CORP (SEE NOTE 14 IN SECTION VIII)	D	DIVIDEND							
600 MOORINGS/NAUTICAL (X) (SEE NOTES 1 & 19 IN SECTION VIII)	A	INTEREST							

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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting**WOOD, KIMBA M****Date of Report****05/08/2007****VIII. ADDITIONAL INFORMATION OR EXPLANATIONS.** *(Indicate part of Report.)*

See attached.

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

NOTE 1

If there was either a partial withdrawal or no withdrawal from this S corporation or partnership during the reporting year, all K-1 activity (interest, dividends, capital gains, ordinary income, etc.) was netted together, and, if that net figure was larger than the required income threshold, it was included in Column B.(1) with Column B.(2) noting "K-1 DISTR" (K-1 Distribution). If the K-1 activity netted to a loss, then Column B.(1) was left blank and "NONE" was included in Column B.(2).

If the S Corporation or partnership interest was either sold or fully withdrawn from during the reporting year, the capital gain amount is included in Column D along with other transaction details. The remaining K-1 figures were netted together, and if larger than the required income threshold, included in Column B.(1) with Column B.(2) noting "K-1 DISTR".

Both of the above approaches were obtained from Mr. George Reynolds, Financial Disclosure Examiner.

NOTE 2

This bond is a zero coupon bond. Therefore, there is no interest paid to the holder. However, the financial institution does report Original Issue Discount (OID) to the holder and that is the amount that is included on this report. The cost of this bond was not available. Thus, all proceeds were considered gain when determining the gain code.

NOTE 3

This is an investment account with a core cash account attached. All of the stock/mutual fund investments are listed separately on this report. Neither the income nor the cash balance at year-end met the threshold for reporting. However, since there are other reportable accounts at this financial institution, this account is deemed reportable.

NOTE 4

The gain/loss on investments is calculated on a book basis not a tax basis.

NOTE 5

There are many investments in non-public companies. The estimated market values used for all such investments, unless otherwise noted, are based upon the current knowledge of the worth of such companies, discussions with the companies' management and company issued reports.

NOTE 6

Some of the investments in non-public companies include an equity piece and a debt piece (note receivable). The two pieces are not reported separately in Section VII because the valuations of the companies already include both pieces.

NOTE 7

For those entities which issued K-1s, if the K-1 netted to a loss but there was another source of income from the entity, the source of the other income is listed instead of "NONE". If there was K-1 income and an additional source of income, both amounts were summed to determine the code for Column B.(1) and both types of income were noted in Column B.(2).

NOTE 8

Although there is an investment in this company, it is considered worthless. Therefore, there is no year-end value code reported in Column C.(1).

NOTE 9

There is a limited partnership interest in this entity. Since the filer cannot "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity", *Financial Disclosure Filing Instructions, page 35*, the assets held by the partnership are not reportable.

If the partnership had a capital call for an investment in a specific company, "ADDITIONAL INVESTMENT" is noted in Column D.(1). The name of the underlying company is not listed. Further, if the partnership either returned capital or sold one of its investments and returned funds to the reporting family, "DISTRIBUTION" is listed in Column D.(1). Neither the name of the underlying company nor the gain amount is listed.

The entity's K-1 is considered the source document for all income from this investment (including capital gains/losses). All K-1 activity was netted together and if greater than the required income threshold, included in Column B.(1) with Column B.(2) noting "K-1 DISTR". If the K-1 activity netted to a loss, then Column B.(1) was left blank and "NONE" was included in Column B.(2).

NOTE 10

There are several Vestar and Sentinel Partnerships (See Lines 207-210 and 255-257 in Section VII). The companies they own are all listed separately on this report because the filer "can direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity," *Financial Disclosure Filing Instructions, page 35*.

In each of the Vestar and Sentinel Funds, there is both a limited partner (LP) and general partner (GP) interest (each interest is housed in a different partnership). For purposes of this report, the K-1 information for the LP/GP interests of each Fund is combined and included on one line. This approach matches the way the Funds' portfolio companies are included on this report (the GP/LP interests are both included when determining the value code for Column C.(1)).

Each year, there is a K-1 from each of the partnerships. The K-1s summarize all of the ordinary income (loss), portfolio income, capital gains/losses, etc., for all of the underlying companies. To avoid double counting, if an underlying company was sold, the capital gain/loss is included on the line where the actual company is listed and is excluded from the K-1 income reported in Column B.(1) for each of the partnerships.

The remaining K-1 items were netted together. If the net amount was larger than the required income threshold, that amount was included in Column B.(1) with Column B.(2) noting "K-1 DISTR". If the K-1 activity netted to a loss, then "NONE" is included in Column B.(2). As a result, since all K-1 activity is accounted for at the partnership level, the underlying companies which are listed separately do not show any income in Column B.(1) (unless there is an item that is not included on the K-1).

Vestar I issued its final K-1 in 1999; therefore, there is no income to report. Also, as of the end of the reporting period, all of Vestar I's portfolio companies were divested. Therefore, going forward, it is no longer reportable.

Vestar V made its first investment in 2006 and hence it is now included on this report.

Of the Vestar Funds and the Sentinel Funds, only Vestar IV had a value on the books as of the end of 2006. Therefore, there is no market value reported in Column C.(1) for any of the other funds. Because the Partnerships' portfolio companies are listed separately on this report, the partnerships themselves oftentimes show no market value.

NOTE 11

The only item remaining in this Trust is a small amount of uninvested cash.

NOTE 12

This Partnership distributed all of its assets on 9/6/06. It filed its final K-1 in 2006. Therefore, there is no market value to report as of the end of 2006.

NOTE 13

The filer is the sole shareholder of this S corporation. The company was funded throughout the year in order to cover expenses. According to Dotty Abell, Financial Disclosure Examiner, such amounts should be included as reportable transactions if they meet the value threshold. As a result, any such amounts are included on this report.

The company's assets are limited to office furniture, office equipment, a checking account, a money market account and a limited partnership interest which is listed separately on this report. The value used to determine the year-end valuation code was the checking/money market account balances plus the office furniture/equipment less accumulated depreciation balance from the 2006 tax return prepared by PriceWaterhouseCoopers.

NOTE 14

Dyersburg Corporation did not pay a dividend in 2006. However, the filer received a replacement check for dividends paid in a prior year.

NOTE 15

This stock was purchased in 2005 but was inadvertently omitted from the 2005 Financial Disclosure Report (FDR). Since the same stock was included elsewhere on the 2005 FDR, the 2005 FDR was not amended to include the purchase.

NOTE 16

This company's 2006 K-1 was not received by the May 15, 2007 Disclosure Report filing date. Therefore, the company's income has been estimated. Once the actual K-1 is received, an amended report will be filed if the income code based on the actual K-1 is different than the code reported in this report. This approach was deemed appropriate by Mr. John Staley, Financial Disclosure Office.

NOTE 17

This investment is made through either a Vestar or a Sentinel partnership (See Lines 313-318 and 375-377 in Section VII). Since the filer can "direct, influence or in any other manner affect the purchase, exchange, sale or disposition of the entity or property owned by the entity", *Financial Disclosure Filing Instructions, page 35*, the partnership's assets must be listed separately on this report. As a result, this company is reportable.

All transactions (investments, sales and related gain/(loss), distributions, etc.) are reported for the company. Any K-1 income (excluding gain/(loss) on sales) attributable to the company, however, is included in the partnership's line. If there was income received separate from the K-1 it is recorded in Column B. See Note 10 for more information.

NOTE 18

The Ending Capital Account value from the entity's 2006 K-1 was used to determine its year-end value code for Column C.(1).

NOTE 19

This asset had no value on the books as of the end of the year. Therefore, there is no value code reported in Column C.(1). However, the K-1 reported income over the threshold level so the asset is reportable.

NOTE 20

This cash sub-account is attached to margin debt. For monthly statement purposes, the investment bank nets the cash balance against the margin debt. For purposes of this report, the same approach is used. Therefore, we have not shown the cash account in Section VII. Instead, we have netted the cash balance against the total debt amount in order to determine the value code for the liability shown in Section VI.

NOTE 21

This company was sold in a prior year. Therefore, there is no year end value reported in Column C.(1).

NOTE 22

There is no asset value remaining on the books; hence, there is no year end value reported in Column C.(1). There was no K-1 income during 2006. Therefore, this investment is no longer deemed reportable.

NOTE 23

This partnership is winding down. In 2004, its consulting business and its fund of funds business were sold. Those businesses made up the bulk of the partnership. Subsequent to the sales, the partnership changed its name to Cosmos Partners.

Milliman purchased the consulting business in a cash/note payable deal. Milliman will continue to make payments against the note in 2007 and 2008.

The amount used to determine the code for Column C.(1) was the estimated value of the outstanding Milliman note payments.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

WOOD, KIMBA M

Date of Report

05/08/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/15/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544