

FINANCIAL DISCLOSURE REPORT

Calendar Year 2004

in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial)

ROSS, ALLYNE R

4. Title (Article III Judges indicate active or senior status;
magistrate judges indicate full- or part-time)

U.S. DISTRICT JUDGE (ACTIVE)

7. Chambers or Office Address

U.S. DISTRICT COURT, EDNY

225 CADMAN PLAZA EAST

BROOKLYN, NY 11201

2. Court or Organization

EASTERN DISTRICT OF NEW YORK

3. Date of Report

5/17/2005

5. Report Type (check appropriate type)

☐ Nomination,

Date

1/1/2004

☐ Initial

☒ Annual

☐ Final

to

12/31/2004

8. On the basis of the information contained in this Report and any
modifications pertaining thereto, it is, in my opinion, in compliance
with applicable laws and regulations.

Reviewing Officer

Date

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☒ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1.

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

FINANCIAL
DISCLOSURE OFFICE

2005 JUN 13 A 10:40

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ROSS, ALLYNE R

5/17/2005

VII. INVESTMENTS and TRUSTS

☐ **NONE** (No reportable income, assets, or transactions)

1. Income/Gain Codes:	A = \$1,000 or less	B = \$1,001-\$2,500	C = \$2,501-\$5,000	D = \$5,001-\$15,000	E = \$15,001-\$50,000
(See Columns B1 and D4)	F = \$50,001-\$100,000	G = \$100,001-\$1,000,000	H1 = \$1,000,001-\$5,000,000	H2 = More than \$5,000,000	
2. Value Codes:	J = \$15,000 or less	K = \$15,001-\$50,000	L = \$50,001-\$100,000	M = \$100,001-\$250,000	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A -H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
19. -JDS Uniphase					sell	7-26	J	None	
20. -Microsoft									
21. -Semitool Inc.									
22. -Wal-mart									
23. -John Hancock Bank Fund					buy	2-27	J		
24. -EIX Trust					Issuer call	K	D		
25. -WMX Tech. Notes									
26. -Baxter Intl.					buy	7-11	J		
27. -Baxter Intl. Preferred					sell	10-26	J	None	
28. -Viacom									
29. -Nasdaq 100 Series Trust					sell	6-25	J	A	
30. -American General Preferred									
31. -ING Preferred									
32. -AT & T Wireless					sell	2-18	K	D	
33. -Bank of America Corp.									
34. -DuPont					sell	1-23	J	A	
35. -Enterprise Prods Partners									
36. -Fifth Third Bancorp					sell	7-16	J	None	

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37. -G Tech Holdings									
38. -Johnson & Johnson									
39. -Kaneb Pipeline Ptnrs Preferred					sell	1-30	K	C	
40. -Lockheed Martin					sell	10-26	J	A	
41. -Rite Aid					sell	12-7	J	None	
42. -Texas Instruments					sell	3-22	J	None	
43. -Wells Fargo					sell	6-30	J	B	
44. -Putnam Premier Income Trust									
45. -Andrx Group					sell	10-21	J	None	
46. -Emeritus Corp.					buy	1-26	J		
47. -Emeritus Corp.					buy	2-20	J		
48. -Encana Corp.					buy	12-31	J		
49. -Enerplus Resources					buy	6-25	K		
50. -Fording Canadian Coal					buy	12-23	K		
51. -General Electric					buy	7-16	J		
52. -J C Penney					buy	3-18	K		
53. -Provident Energy Trust					buy	11-16	J		
54. -Safeway					buy	2-23	K		

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55. — United Natural Foods					buy	1-20	J		
56. -Young Broadcasting					buy	2-3	J		
57. -Young Broadcasting					buy	6-30	J		
58. IRA #2	A	div & int.	K						
59. -Saving Acct. at Citibank									
60. -Smith Barney Money Fund					redeem	12-29	J		None
61. -Kaiser Group Intl.									
62. -Provident Energy Trust					buy	12-23	J		
63. -John Hancock Bank Fund					buy	12-23	J		
64. -Alliance Bernstein Technology Fund					redeem	12-14	J		None
65. -Smith Barney Premier Selections Fund					redeem	12-14	J		None
66. -Smith Barney Large Cap Growth Fund					redeem	12-14	J	B	
67. -Tennessee Valley Authority Strips									
68. Trust #2	A	div.	J	U					
69. -Dodge & Cox Stock Fund					buy	1-20	J		
70. Trust #3	C	div.	M	U					
71. -T. Rowe Price Short-Term Bond Fund					redeem	3-31	J	A	Partial Redemption
72. -T. Rowe Price Short-Term BOND FUND					redeem	4-1	J	A	Partial Redemption

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73. -T. Rowe Price Small-Cap Value Fund									
74. -T. Rowe Price Developing Technologies Fund									
75. -T. Rowe Price Value Fund					redemption	12-4	M	D	
76. -T. Rowe Price Capital Opportunity Fund					buy	12-4	M		
77. -Citibank Checking Account									
78. Vanguard Limited-Term Tax Exempt Fund	D	div.	M	U	buy	1-22	M		
79. Vanguard Limited-Term Tax Exempt Fund					buy	3-3	K		
80. Vanguard Limited-Term Tax Exempt Fund					redeem	4-14	K	None	Partial Redemption
81. Vanguard Limited-Term Tax Exempt Fund					redeem	6-28	K	None	Partial Redemption
82. Vanguard Limited-Term Tax Exempt Fund					redeem	7-19	K	A	Partial Redemption
83. Vanguard Limited-Term Tax Exempt Fund					redeem	7-26	J	A	Partial Redemption
84. Walt Disney Co.	A	div.	J	T					
85. Vanguard Tax Exempt Money-Market Fund	A	div.	J	U	buy	8-9	M		
86. Vanguard Tax Exempt Money-Market Fund					redeem	9-20	J	None	Partial Redemption
87. Vanguard Tax-Exempt Money Market Fund					redeem	10-8	J	None	Partial Redemption
88. Vanguard Tax-Exempt Money-Market Fund		div.			redeem	10-15	K	None	Partial Redemption
89. Vanguard Tax-Exempt Money-Market Fund					redeem	12-15	L	None	Partial Redemption
90. Smith Barney Adjustable Rate Income Fund	A	div.			redeem	7-21	J	A	Partial Redemption

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91. Smith Barney Adjustable Rate Income Fund					redeem	10-20		A	
92. Smith Barney Municipal N.Y. Money Market Fund	A	div.	J	U	buy	7-21			
93. T. Rowe Price Uniform Gift to Minor Account	C	div.	M	U					
94. -T. Rowe Price Growth Stock Fund									
95. -T. Rowe Price International Discovery Fund					buy	9-24	J		
96. -T. Rowe Price Japan Fund									
97. T. Rowe Price Small Cap Stock Fund					buy	1-29	J		
98. Trust #3									
99. -Aggressive Growth Portfolio	A	div.							
100. Citibank Checking Account			J	T					
101. Citibank Checking Account	A	int.	L	T					
102. Citibank Checking Account	A	int.	J	T					
103. Vanguard Municipal Money Market Fund	A	div.	L	U					
104. Bernstein Emerging Markets Portfolio	B	div.	M	U	buy	12-10	J		
105. Bernstein New York Municipal Portfolio	D	div.	M	U					
106. 1% Ownership in Building in Detroit, Mich.	A	rent	J	W					
107. Supervalu Inc.	A	div.	J	T					
108. Altria Group	A	div.	J	T					

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109.									
110. Cash Balance at Sanford Bernstein & Co.	A	int.	K	T					
111. Thomas & Betts	NONE				sell	2-18	J	B	
112. Anheuser Busch	A	div.			sell	3-30	J	A	
113. Fleet Boston Financial	A	div.			sell	3-17	J	B	
114. Grand Central Holdings			J	W					
115. General American Investors	A	div.	J	T					
116. Sears Roebuck & Co.	A	div.			sell	3-12	J	C	
117. Arrow Electronics			J	T					
118. Genuine Parts Co.	A	div.			sell	1-26	J	B	
119. Whirlpool Corp.	A	div.	J	T	sell	7-27	J	B	Partial sale
120. VF Corp.	A	div.	J	T					
121. Occidental Petroleum	A	div.	J	T	sell	12-16	J	D	Partial sale
122. Tech Data Corp.			J	T					
123. Conoco Philips	A	div.	K	T	sell	12-2	J	C	Partial sale
124. Burlington Northern Santa Fe	A	div.	J	T					
125. Bank of America	A	div.	K	T	sell	3-17	J	B	Partial sale - also see Part VIII
126. Hewlett Packard	A	div.	K	T					

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127. Lear Corp.	A	div.	J	T					
128. Lehman Bros. Holdings	A	div.	J	T	buy	4-23	J		
129. Lehman Bros. Holdings					sell	7-7	J	None	Partial sale
130. National City Corp.	A	div.	J	T	buy	11-1	J		
131. Norfolk Southern	A	div.	K	T	sell	2-06	J	A	Partial sale; see Part VIII
132. May Department Stores	A	div.	J		sell	4-12	J	None	
133. Wachovia	A	div.	J	T	sell	2-27	J	B	Partial sale
134. Washington Mutual	A	div.	J	T	sell	2-13	J	C	
135. American International Group	A	div.	K	T	sell	10-5	J	None	Partial sale
136. Amgen Inc.	None		K	T	buy	5-12	J		
137. Citigroup	B	div.	K	T					
138. Comcast	None		J	T	sell	3-30	J	None	Partial sale
139. Comcast					sell	6-3	J	A	Partial sale
140. Dell Computer	None		K	T	sell	3-30	J		
141. Goldman Sachs	A	div.	J	T	sell	3-24	J	B	Partial sale
142. General Electric	B	div.	K	T	buy	2-12	K		
143. Intel Corp	A	div.	J	T	sell	11-11	J	None	Partial sale
144. Johnson & Johnson	A	div.			sell	11-5	J	A	

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145. Kohls	None				sell	1-13	J	None	
146. Lowes Companies	A	div.	K	T					
147. MBNA Corp.	A	div.	K	T	sell	2-2	J	B	Partial sale
148. MBNA Corp.					sell	11-11	J	C	Partial sale
149. Medtronic	A	div.	J	T	sell	7-19	J	A	
150. Microsoft	A	div.	K	T	buy	4-19	J		
151. Microsoft					buy	12-9	J		
152. Microsoft					sell	10-5	J	None	Partial sale
153. Nokia	None				sell	4-26	J	A	
154. Proctor & Gamble	A	div.	J	T	buy	3-18	J		
155. United Health Group	A	div.	K	T	sell	9-14	J	C	
156. Viacom	A	div.			sell	12-15	K	None	
157. Torchmark	A	div.	K	T					
158. Wal-mart	A	div.	J	T	sell	10-5	J	None	Partial sale
159. Wal-mart					sell	12-15	J		Partial sale
160. Walgreen	A	div.			sell	3-30	J	A	
161. Cooper Industries	A	div.	J	T					
162. Chubb	A	div.	K	T					

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Name of Person Reporting

ROSS, ALLYNE R

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A -H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
163. Corning	None		J	T	buy	7-12	J		
164. Corning					sell	10-1	K	D	Partial sale
165. Nortel Networks	None				sell	2-2	J	D	
166. Ingram Micro	None		J	T					
167. Metlife	A	div.	J	T					
168. PPL Corp.	A	div.			sell	8-31	K	D	
169. SBC Communciations	None				sell	1-23	J	None	
170. Valero Energy	None				sell	1-7	J	B	
171. Pfizer Inc.	A	div.	K	T	sell	1-2	J	None	Partial sale
172. Tellabs Inc.	None		J	T					
173. Sprint	A	div.	J	T					
174. Freddie Mac	A	div.	J	T	buy	6-1	J		
175. Glaxo Smith Kline	A	div.	J	T					
176. Bernstein Tax-Managed International Portfolio	C	div.	O	U	buy	12-16	J		See Part VIII
177. Fannie Mae	A	div.	J	T	sell	4-26	J	None	Partial sale
178. Ebay	None		K	T	buy	1-26	J		
179. Electronic Arts Inc.	None		K	T	buy	9-24	J		
180. Maxim					sell	12-6	J	None	

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ROSS, ALLYNE R

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VII. INVESTMENTS and TRUSTS — income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
181. Merrill Lynch	A	div.			buy	2-20	J		
182. Merrill Lynch					sell	2-7	J	None	
183. Progressive	A	div.			sell	8-26	J	B	
184. Veritas Software	None				sell	7-7	J	None	
185. CSX Corp	A	div.	J	T					
186. Flextronics	None		J	T	buy	8-6	J		
187. Guidant Corp.	A	div.			sell	6-18	J	A	
188. Magna International	A	div.	J	T	buy	12-10	J		
189. American Electric Power	A	div.	J	T	sell	8-17	J	None	
190. Partnerre Ltd.	A	div.	J	T					
191. Pepsic	A	div.	J	T					
192. Renaissancere Holdings	A	div.			sell	10-15	J	A	
193. Safeway	None		J	T					
194. Verizon Communications	A	div.			sell	1-23	J	A	
195. Verizon Communications					sell	4-2	J	A	
196. Wyeth	A	div.			sell	5-5	J	None	
197. Cisco Systems	None		J	T	buy	1-13	J		
198. Cisco Systems					sell	9-28	J	None	

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ROSS, ALLYNE R

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- H)	(5) Identity of buyer/seller (if private transaction)
199. Boeing Co.	A	div.	J	T	buy	6-14	J		
200. Boeing Co.					buy	11-11	J		
201. Borg Warner	None				buy	2-12	J		
202. Chevron Texaco Corp.	A	div.	J	T	buy	9-30	J		
203. Jones Apparel	A	div.	J	T	buy	10-19	J		
204. J.P. Morgan Chase					buy	3-17	J		
205. J.P. Morgan Chase					buy	4-27	J		
206. J.P. Morgan Chase	A	div.	K	T	buy	7-9	J		
207. Martin Marietta Mateials	None		J	T	buy	12-27	J		
208. McDonalds	None		J	T	buy	12-15	J		
209. Medco Health Solutions	None		J	T	buy	9-30	J		
210. Soletron	None		J	T	buy	11-11	J		
211. Sun Trust Banks	A	div.	J	T	buy	9-9	J		
212. Target Corp.	A	div.	J	T	buy	11-1	J		
213. Target Corp.					buy	12-9	J		
214. Unilever	A	div.	J	T	buy	4-14	J		
215. Time Warner	None		J	T	buy	4-6	J		
216. Time Warner					buy	6-22	J		

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Name of Person Reporting

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217. XL Capital	A	div.	J	T	buy	10-5	J		
218. Alcon	A	div.	J	T	buy	4-23	J		
219. Avon Products	A	div.	J	T	buy	6-8	J		
220. Avon Products					buy	12-22	J		
221. Bed Bath & Beyond	None		J	T	buy	4-23	J		
222. Boston Scientific	None		J	T	buy	5-12	J		
223. Broadcom	None		J	T	buy	6-9	J		
224. Broadcom					buy	12-22	J		
225. Caremark RX	None		J	T	buy	7-22	J		
226. Carnival Corp.	None		J	T	buy	12-10	J		
227. E.W. Scripps	A	div.	J	T	buy	10-6	J		
228. Google	None		J	T	buy	12-8	J		
229. Juniper Networks					buy	3-10	J		
230. Juniper Networks	None		K	T	buy	10-12	J		
231. Nabor Industries	None		J	T	buy	10-12	J		
232. Qualcomm	A	div.			buy	7-12	J		
233. Qualcomm			K	T	buy	12-22	J		
234. Research in Motion	None	div.	J	T	buy	12-8	J		

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Name of Person Reporting

ROSS, ALLYNE R

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						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A- F)	(5) Identity of buyer/seller (if private transaction)
235. St. Jude Medical	None		J	T	buy	7-22	J		
236. Starbucks	None		J	T	buy	12-8	J		
237. Symantec	None		J	T	buy	10-6	J		
238. Teva Pharmaceutical					buy	12-16	J		
239. Teva Pharmaceutical			J	T	buy	12-22	J		
240. Yahoo	None		K	T	buy	1-26	J		
241. Yahoo					buy	2-20	J		
242. Yahoo					buy	12-22	J		
243. Zimmer Holdings	None		J	T	buy	9-14	J		
244. Amazon Com					buy	10-6	J		
245. Amazon Com	None				sell	12-6	J	None	
246. Applied Materials					buy	1-13	J		
247. Applied Materials	None				sell	12-6	J	None	
248. BP Amoco	A	div.	K	T	buy	1-9	J		
249. BP Amoco					buy	9-9	J		

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FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

ROSS, ALLYNE R

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

Part VII - Last year's form listed L-3 Communications as having been bought. It actually was sold. The other information reported last year with respect to that security was correct.

Part VII - Bank of America, Norfolk Southern and Bernstein Tax-Managed International Portfolio were reported last year as sold. They should have been listed as partial sales.

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

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5/17/2005

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

June 5, 2005

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544