

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Pratter, Gene E.	2. Court or Organization U.S. District Court, E.D. Pa.	3. Date of Report 04/27/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 7614 United States Courthouse Sixth & Market Streets Philadelphia, PA 19106-1752	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Co-Trustee	Trust #1
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	University of Pennsylvania Law School (Adjunct Professor)	\$10,000.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income – *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	Commonwealth of Pennsylvania (Executive Deputy General Counsel)
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Bank of America	Credit Card	J
2. East River Bank	Mortgage on Rental Property #1, Philadelphia, PA (Pt VII, Line 233)	N
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Adams Harkness Small Growth - Retirement #5		None	J	T					
2. DFA US Small Cap Value - Retirement #5	A	Dividend	J	T					
3. DFA US Large Cap Value - Retirement #5	A	Dividend	J	T					
4. Federated US Gov't 1 - 3 - Retirement #5	A	Dividend			Sold	10/29/10	K	A	
5. Federated Gov't Ultrashort - Retirement #5	A	Dividend	K	T	Buy	11/01/10	K		
6. First Eagle Overseas - Retirement #5	A	Dividend	J	T					
7. Janus Fund - Retirement #5	A	Dividend	J	T					
8. Janus Overseas - Retirement #5	A	Dividend	J	T					
9. Schwab Money Market - Retirement #5	A	Dividend	J	T					
10. Westcore MIDCO Growth - Retirement #5		None	J	T					
11. Janus Mid Cap Value - Retirement #5	A	Dividend	J	T					
12. William Blair Int'l Small Growth - Retirement #5	A	Dividend	J	T					
13. Federated US Gov't 1 - 3 - Retirement #6	A	Dividend			Sold	10/29/10	J	A	
14. Federated Gov't Ultrashort - Retirement #6	A	Dividend	J	T	Buy	11/01/10	J		
15. Baron Growth - Retirement #6		None	J	T					
16. First Eagle Overseas - Retirement #6	A	Dividend	J	T					
17. Royce Opportunity - Retirement #6		None	J	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

PI = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Schwab Money Market - Retirement #6	A	Dividend	J	T					
19. Thornburg Value - Retirement #6	A	Dividend	J	T					
20. Janus Overseas - Retirement #6	A	Dividend	J	T					
21. Janus Fund - Retirement #6	A	Dividend	J	T					
22. Sound Shore - Retirement #6	A	Dividend	J	T					
23. Third Avenue Int'l Value - Retirement #6	A	Dividend	J	T					
24. Baron Growth - Retirement #7		None	L	T					
25. Brandywine - Retirement #7		None	M	T					
26. DFA US Micro Cap - Retirement #7	A	Dividend	K	T					
27. DFA Emerging Markets Core Equity - Retirement #7	A	Dividend	L	T					
28. DFA International Small Value - Retirement #7	B	Dividend	K	T					
29. DFA International Value - Retirement #7	C	Dividend	M	T					
30. DFA One Year Fixed - Retirement #7	B	Dividend	N	T					
31. DFA US Small Cap Value - Retirement #7	A	Dividend	L	T					
32. DFA US Large Cap Value - Retirement #7	B	Dividend	M	T					
33. DFA Real Estate Securities - Retirement #7	B	Dividend	L	T					
34. Dodge & Cox Stock - Retirement #7	A	Dividend	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. Federated US Gov't 1 - 3 - Retirement #7	B	Dividend			Sold	10/29/10	N	C	
36. Federated Gov't Ultrashort - Retirement #7	A	Dividend	N	T	Buy	11/01/10	N		
37. First Eagle Overseas - Retirement #7	B	Dividend	L	T					
38. Hotchkis & Wiley Mid Cap Value - Retirement #7	A	Dividend	M	T					
39. Artio International Equity - Retirement #7		None			Sold	08/11/10	L	A	
40. Morgan Stanley International Equity - Retirement #7	B	Dividend	M	T					
41. Morgan Stanley Emerging Markets - Retirement #7	A	Dividend	L	T					
42. Royce Micro Cap - Retirement #	A	Dividend	J	T					
43. Royce Opportunity - Retirement #7		None	K	T					
44. Schwab Money Market - Retirement #7	A	Dividend	K	T					
45. Westcore International Frontier - Retirement #7	A	Dividend	L	T					
46. Westcore Mid Cap Value - Retirement #7	A	Dividend	M	T					
47. Westcore MIDCO Growth - Retirement #7		None	M	T					
48. William Blair International Growth - Retirement #7	B	Dividend	L	T					
49. Janus Fund - Retirement #7	A	Dividend	M	T					
50. Janus Overseas - Retirement #7	A	Dividend	L	T					
51. Hotchkis & Wiley Large Cap Value - Retirement #7	A	Dividend	L	T					

1. Income Gain Codes: A=\$1,000 or less B=\$1,001 - \$2,500 C=\$2,501 - \$5,000 D=\$5,001 - \$15,000 E=\$15,001 - \$50,000
(See Columns B1 and D4) F=\$50,001 - \$100,000 G=\$100,001 - \$1,000,000 H1=\$1,000,001 - \$5,000,000 H2=More than \$5,000,000
2. Value Codes J=\$15,000 or less K=\$15,001 - \$50,000 L=\$50,001 - \$100,000 M=\$100,001 - \$250,000
(See Columns C1 and D3) N=\$250,001 - \$500,000 O=\$500,001 - \$1,000,000 P1=\$1,000,001 - \$5,000,000 P2=\$5,000,001 - \$25,000,000
P3=\$25,000,001 - \$50,000,000
3. Value Method Codes Q=Appraisal R=Cost (Real Estate Only) S=Assessment T=Cash Market
(See Column C2) U=Book Value V=Other W=Estimated

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. Royce Value Plus - Retirement #7	A	Dividend	K	T					
53. Third Avenue International Value - Retirement #7	B	Dividend	L	T					
54. Turner Core Growth - Retirement #7	A	Dividend	M	T					
55. William Blair Large Growth - Retirement #7	A	Dividend	L	T					
56. Adams Harkness Small Growth - Retirement #7		None	L	T					
57. William Blair Int'l Small Growth - Retirement #7	A	Dividend	K	T					
58. Metropolitan West Total Return - Retirement #7	E	Dividend	N	T					
59. BlackRock Low Duration - Retirement #7	D	Dividend	N	T	Buy (add'l)	01/28/10	J		
60. Thornburg International Value - Retirement #7	A	Dividend	L	T	Buy	08/12/10	L		
61. Schwab Money Market - Trust #1	A	Dividend	J	T					
62. Dodge & Cox Stock - Trust #1	A	Dividend	K	T					
63. Baron Growth - Trust #1		None	K	T					
64. Federated Short Term Muni - Trust #1	A	Dividend	K	T	Buy (add'l)	01/28/10	J		
65. First Eagle Overseas - Trust #1	A	Dividend	K	T					
66. Hotchkis & Wiley Large Cap Value - Trust #1	A	Dividend	K	T					
67. Hotchkis & Wiley Mid Cap Value - Trust #1	A	Dividend	K	T					
68. Janus Fund - Trust #1	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. Janus Overseas - Trust #1	A	Dividend	K	T					
70. Artio International Equity - Trust #1		None			Sold	08/11/10	K	A	
71. Morgan Stanley International Equity - Trust #1	A	Dividend	K	T					
72. Morgan Stanley Emerging Markets - Trust #1	A	Dividend	K	T					
73. Royce Opportunity - Trust #1		None	K	T					
74. Turner Core Growth - Trust #1	A	Dividend	K	T					
75. Westcore Mid Cap Value - Trust #1	A	Dividend	K	T					
76. Westcore MIDCO Growth - Trust #1		None	K	T					
77. DFA Tax Managed Marketwide Value - Trust #1	A	Dividend	K	T					
78. DFA US Micro Cap - Trust #1	A	Dividend	K	T					
79. Third Avenue International Value - Trust #1	A	Dividend	K	T					
80. Westcore International Frontier - Trust #1	A	Dividend	K	T					
81. DFA Int'l Value - Trust #1	A	Dividend	K	T					
82. DFA US Small Value - Trust #1	A	Dividend	K	T					
83. Turner Small Cap Equity - Trust #1		None			Sold	09/16/10	J	B	
84. William Blair Mid Growth - Trust #1		None	K	T					
85. DFA Short Term Muni - Trust #1	A	Dividend	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
86. Metropolitan West Total Return - Trust #1	B	Dividend	K	T					
87. Thornburg International Value - Trust #1	A	Dividend	K	T	Buy	08/12/10	K		
88. William Blair Small Cap Growth - Trust #1		None	J	T	Buy	09/17/10	J		
89. Baron Growth - Brokerage #1		None	K	T	Sold (part)	06/10/10	J	C	
90. Baron Growth - Brokerage #1		None			Buy (add'l)	12/09/10	J		
91. DFA Tax Mgd US Marketwide Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
92. DFA Tax Mgd US Marketwide Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
93. DFA Tax Mgd US Marketwide Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
94. DFA US Small Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	K	D	
95. DFA US Small Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
96. DFA Emerging Markets Core Equity - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	C	
97. DFA Emerging Markets Core Equity - Brokerage #1		None			Buy (add'l)	11/08/10	J		
98. DFA Emerging Markets Core Equity - Brokerage #1		None			Buy (add'l)	12/09/10	J		
99. DFA Tax Mgd International Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
100. DFA Tax Mgd International Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
101. DFA Tax Mgd International Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
102. East River Bank Stock - Brokerage #1		None	K	U					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. Federated Short Term Muni - Brokerage #1	B	Dividend	L	T	Sold (part)	06/24/10	K	A	
104. Federated Short Term Muni - Brokerage #1		None			Buy (add'l)	11/08/10	K		
105. First Eagle Overseas - Brokerage #1	A	Dividend	J	T	Sold (part)	06/10/10	J	B	
106. First Eagle Overseas - Brokerage #1		None			Buy (add'l)	11/08/10	J		
107. Janus Fund - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	C	
108. Janus Fund - Brokerage #1		None			Buy (add'l)	11/08/10	J		
109. Janus Fund - Brokerage #1		None			Buy (add'l)	12/09/10	J		
110. Janus Overseas - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	C	
111. Janus Mid Cap Value - Brokerage #1	A	Dividend	J	T	Sold (part)	05/19/10	J	A	
112. Janus Mid Cap Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
113. Janus Mid Cap Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
114. Artio Internatioanl Equity - Brokerage #1		None			Sold	05/19/10	J	A	
115. Morgan Stanley International Equity - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
116. Morgan Stanley International Equity - Brokerage #1		None			Buy (add'l)	11/08/10	J		
117. Morgan Stanley International Equity - Brokerage #1		None			Buy (add'l)	12/09/10	J		
118. Schwab Money Market - Brokerage #1	A	Dividend	L	T					
119. Sound Shore - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. Sound Shore - Brokerage #1		None			Buy (add'l)	11/08/10	J		
121. Sound Shore - Brokerage #1		None			Buy (add'l)	12/09/10	J		
122. Westcore MIDCO Growth - Brokerage #1		None	K	T	Sold (part)	06/10/10	K	A	
123. Westcore MIDCO Growth - Brokerage #1		None			Buy (add'l)	11/08/10	J		
124. Westcore MIDCO Growth - Brokerage #1		None			Buy (add'l)	12/09/10	J		
125. Hotchkis & Wiley Large Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
126. Hotchkis & Wiley Large Cap Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
127. Hotchkis & Wiley Large Cap Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
128. Hotchkis & Wiley Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
129. Hotchkis & Wiley Mid Cap Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
130. Hotchkis & Wiley Mid Cap Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
131. Royce Value Plus - Brokerage #1	A	Dividend	K	T	Buy (add'l)	11/08/10	J		
132. Royce Value Plus - Brokerage #1		None			Buy (add'l)	12/09/10	J		
133. Third Avenue International Value - Brokerage #1	A	Dividend	J	T	Sold (part)	06/10/10	J	A	
134. Thornburg Int'l Value - Brokerage #1	A	Dividend	J	T					
135. William Blair Int'l Small Growth - Brokerage #1	A	Dividend	K	T	Buy (add'l)	11/08/10	J		
136. Westcore International Frontier - Brokerage #1		None			Sold	05/19/10	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
137. William Blair Large Growth - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	A	
138. William Blair Large Growth - Brokerage #1		None			Buy (add'l)	11/08/10	J		
139. William Blair Large Growth - Brokerage #1		None			Buy (add'l)	12/09/10	J		
140. William Blair Mid Growth - Brokerage #1		None	K	T	Sold (part)	06/10/10	J	B	
141. William Blair Mid Growth - Brokerage #1		None			Buy (add'l)	11/08/10	J		
142. William Blair Small Growth - Brokerage #1		None	J	T	Buy	11/08/10	J		
143. Westcore Mid Cap Value - Brokerage #1	A	Dividend	K	T	Sold (part)	06/10/10	J	B	
144. Westcore Mid Cap Value - Brokerage #1		None			Buy (add'l)	11/08/10	J		
145. Westcore Mid Cap Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
146. DFA Int'l Small Value - Brokerage #1	A	Dividend	K	T	Buy (add'l)	11/08/10	J		
147. DFA Int'l Small Value - Brokerage #1		None			Buy (add'l)	12/09/10	J		
148. DFA Short Term Muni - Brokerage #1	B	Dividend	L	T	Sold (part)	06/10/10	L	A	
149. DFA Short Term Muni - Brokerage #1		None			Buy (add'l)	11/08/10	K		
150. Metropolitan West Total Return - Brokerage #1	C	Dividend	L	T	Sold (part)	08/16/10	J	B	
151. Metropolitan West Total Return - Brokerage #1		None			Buy (add'l)	11/08/10	K		
152. Royce Micro Cap - Brokerage #1	A	Dividend	J	T	Buy	11/08/10	J		
153. William Blair Int'l Growth - Brokerage #1	A	Dividend	J	T	Buy	11/08/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. Thornburg Limited Term Municipal - Brokerage #1	A	Dividend	L	T	Buy	11/08/10	L		
155. Baron Growth - Brokerage #2		None	K	T	Sold (part)	06/15/10	J	A	
156. Baron Growth - Brokerage #2		None			Buy (add'l)	09/17/10	J		
157. DFA US Micro Cap - Brokerage #2	A	Dividend	J	T	Sold (part)	06/15/10	J	A	
158. DFA Tax Mgd Marketwide Value - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	B	
159. DFA US Small Value - Brokerage #2	A	Dividend	J	T	Sold (part)	06/15/10	J	B	
160. DFA Tax Mgd Int'l Value - Brokerage #2	A	Dividend	K	T					
161. Dodge & Cox Stock - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
162. Federated Short Term Muni - Brokerage #2	B	Dividend	L	T	Sold (part)	06/08/10	K	A	
163. First Eagle Overseas - Brokerage #2	A	Dividend	J	T	Sold (part)	06/15/10	J	A	
164. Hotchkis & Wiley Large Cap Value - Brokerage #2	A	Dividend	J	T					
165. Hotchkis & Wiley Mid Cap Value - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
166. Janus Fund - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
167. Janus Overseas - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	C	
168. Artio International Equity - Brokerage #2		None			Sold	08/11/10	K	A	
169. Morgan Stanley International Equity - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
170. Morgan Stanley Emerging Markets - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	

1. Income Gain Codes:	A = \$1,000 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000
(See Columns B1 and D4)	F = \$50,001 - \$100,000	G = \$100,001 - \$1,000,000	H1 = \$1,000,001 - \$5,000,000	H2 = More than \$5,000,000	
2. Value Codes	J = \$15,000 or less	K = \$15,001 - \$50,000	L = \$50,001 - \$100,000	M = \$100,001 - \$250,000	
(See Columns C1 and D3)	N = \$250,001 - \$500,000	O = \$500,001 - \$1,000,000	P1 = \$1,000,001 - \$5,000,000	P2 = \$5,000,001 - \$25,000,000	
	P3 = \$25,000,001 - \$50,000,000		P4 = More than \$50,000,000		
3. Value Method Codes	Q = Appraisal	R = Cost (Real Estate Only)	S = Assessment	T = Cash Market	
(See Column C2)	U = Book Value	V = Other	W = Estimated		

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
171. Royce Opportunity - Brokerage #2		None	J	T	Sold (part)	06/15/10	J	A	
172. Schwab Money Market - Brokerage #2	A	Dividend	J	T					
173. Third Avenue International Value - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
174. Turner Core Growth - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
175. Turner Small Cap Equity - Brokerage #2		None			Sold	09/16/10	J	B	
176. Westcore Mid Cap Value - Brokerage #2	A	Dividend	K	T	Sold (part)	06/15/10	J	A	
177. Westcore MIDCO Growth - Brokerage #2		None	K	T	Sold (part)	06/15/10	J	A	
178. Westcore International Frontier - Brokerage #2	A	Dividend	J	T	Sold (part)	06/15/10	J	A	
179. Metropolitan West Total Return - Brokerage #2	B	Dividend	K	T					
180. Thornburg Int'l Value - Brokerage #2	A	Dividend	J	T	Buy	08/12/10	J		
181. DFA Short Term Muni - Brokerage #2	A	Dividend	L	T					
182. DFA One Year Fixed - Retirement #8	B	Dividend	M	T					
183. Federated US Gov't 1 - 3 - Retirement #8	B	Dividend			Sold	10/29/10	M	D	
184. Federated Gov't Ultrahort - Retirement #8	A	Dividend	M	T	Buy	11/01/10	M		
185. Adams Harkness Small Growth - Retirement #8		None	K	T					
186. Baron Growth - Retirement #8		None	K	T					
187. Brandywine Fund - Retirement #8		None	L	T					

1. Income Gain Codes:

A=\$1,000 or less

B=\$1,001 - \$2,500

C=\$2,501 - \$5,000

D=\$5,001 - \$15,000

E=\$15,001 - \$50,000

(See Columns B1 and D4)

F=\$50,001 - \$100,000

G=\$100,001 - \$1,000,000

H1=\$1,000,001 - \$5,000,000

H2=More than \$5,000,000

2. Value Codes

J=\$15,000 or less

K=\$15,001 - \$50,000

L=\$50,001 - \$100,000

M=\$100,001 - \$250,000

(See Columns C1 and D3)

N=\$250,001 - \$500,000

O=\$500,001 - \$1,000,000

P1=\$1,000,001 - \$5,000,000

P2=\$5,000,001 - \$25,000,000

P3=\$25,000,001 - \$50,000,000

P4=More than \$50,000,000

3. Value Method Codes

Q=Appraisal

R=Cost (Real Estate Only)

S=Assessment

T=Cash Market

(See Column C2)

U=Book Value

V=Other

W=Estimated

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
188. DFA Emerging Markets Core Equity - Retirement #8	A	Dividend	K	T					
189. DFA International Value - Retirement #8	B	Dividend	L	T					
190. DFA US Large Value - Retirement #8	B	Dividend	L	T					
191. DFA US Small Cap Value - Retirement #8	A	Dividend	L	T					
192. DFA US Micro Cap - Retirement #8	A	Dividend	K	T					
193. Dodge & Cox Stock - Retirement #8	A	Dividend	L	T					
194. DFA Int'l Small Cap Value - Retirement #8	A	Dividend	K	T					
195. First Eagle Overseas - Retirement #8	A	Dividend	K	T					
196. Hotchkis & Wiley Large Cap Value - Retirement #8	B	Dividend	L	T					
197. Hotchkis & Wiley Mid Cap Value - Retirement #8	A	Dividend	L	T					
198. Janus Fund - Retirement #8	A	Dividend	L	T					
199. Janus Mid Cap Value - Retirement #8	A	Dividend	K	T					
200. Janus Overseas - Retirement #8	A	Dividend	L	T					
201. Artio International Equity - Retirement #8		None			Sold	08/11/10	K	A	
202. Morgan Stanley Emerging Markets - Retirement #8	A	Dividend	K	T					
203. Morgan Stanley International Equity - Retirement #8	A	Dividend	L	T					
204. Royce Opportunity - Retirement #8		None	K	T					

- | | | | | | |
|--|---|--|--|--|-------------------------|
| 1. Income Gain Codes:
(See Columns B1 and D4) | A = \$1,000 or less
F = \$50,001 - \$100,000 | B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000 | C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000 | D = \$5,001 - \$15,000
H2 = More than \$5,000,000 | E = \$15,001 - \$50,000 |
| 2. Value Codes
(See Columns C1 and D3) | J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000 | K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000 | L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$5,000,000 | M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000 | |
| 3. Value Method Codes
(See Column C2) | Q = Appraisal
U = Book Value | R = Cost (Real Estate Only)
V = Other | S = Assessment
W = Estimated | T = Cash Market | |

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Name of Person Reporting

Pratter, Gene E.

Date of Report

04/27/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)

205. Royce Value Plus - Retirement #8	A	Dividend	K	T					
206. Schwab Money Market - Retirement #8	A	Dividend	K	T					
207. Third Avenue International Value - Retirement #8	A	Dividend	L	T					
208. Turner Core Growth - Retirement #8	A	Dividend	K	T					
209. Turner Small Cap Equity - Retirement #8		None			Sold	09/16/10	K	C	
210. Westcore International Frontier - Retirement #8	A	Dividend	K	T					
211. Westcore Mid Cap Value - Retirement #8	A	Dividend	L	T					
212. Westcore MIDCO Growth - Retirement #8		None	M	T					
213. William Blair International Growth - Retirement #8	A	Dividend	L	T					
214. William Blair Large Cap Growth - Retirement #8	A	Dividend	L	T					
215. William Blair Intl Small Growth - Retirement #8	A	Dividend	K	T					
216. BlackRock Low Duration - Retirement #8	D	Dividend	M	T					
217. Metropolitan West Total Return - Retirement #8	D	Dividend	M	T	Buy (add'l)	01/28/10	J		
218. Thornburg Int'l Value - Retirement #8	A	Dividend	K	T	Buy	08/12/10	K		
219. William Blair Small Growth - Retirement #8		None	K	T	Buy	09/17/10	K		
220. Equitable Variable Life - Alliance Common Stock		None	L	T	Buy (add'l)	02/08/10	J		
221. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	04/19/10	J		

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

V = Other

W = Estimated

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
222. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	07/21/10	J		
223. Equitable Variable Life - Alliance Common Stock		None			Buy (add'l)	10/22/10	J		
224. Equitable Variable Life - Alliance International		None	K	T	Buy (add'l)	02/08/10	J		
225. Equitable Variable Life - Alliance International		None			Buy (add'l)	04/19/10	J		
226. Equitable Variable Life - Alliance International		None			Buy (add'l)	07/21/10	J		
227. Equitable Variable Life - Alliance International		None			Buy (add'l)	10/22/10	J		
228. Carlyle Real Estate LLP	A	Dividend	J	T					
229. Wheeler Terrace LTD	A	Dividend	J	T					
230. PNC Bank Accounts	A	Dividend	J	T					
231. Nantucket Bank Account	A	Dividend	J	T					
232. Wescott Nova LP		None	J	T	Distributed (part)	08/20/10	J	A	
233. Rental Property #1, Philadelphia, PA (2010 \$760,000)	D	Rent	O	R	Buy	06/21/10	O		

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Gene E. Pratter**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544