

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2010Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) KING, CAROLYN D.	2. Court or Organization U.S. COURT OF APPEALS 5TH CIR.	3. Date of Report 03/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. CIRCUIT JUDGE (ACTIVE)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address U.S. COURTHOUSE, ROOM 11020 515 RUSK AVENUE HOUSTON, TX 77002-2694	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. MEMBER - BOARD OF DIRECTORS AND BOARD'S EXECUTIVE COMMITTEE (UNTIL 12/9/10)	SOUTH TEXAS COLLEGE OF LAW
2. RESEARCH FELLOW	THE CENTER FOR AMERICAN AND INTERNATIONAL LAW
3. MEMBER OF COUNCIL AND OF COUNCIL'S EXECUTIVE COMMITTEE; TREASURER	AMERICAN LAW INSTITUTE
4. MEMBER OF ADVISORY BOARD	THE CENTER FOR THOMISTIC STUDIES, UNIVERSITY OF SAINT THOMAS
5. MEMBER OF PRESIDENT'S BOARD OF ADVISORS	UNIVERSITY OF SAINT THOMAS
6. MEMBER OF ADVISORY BOARD OF THE JUDICIAL OUTREACH PROGRAM	AMERICAN SOCIETY OF INTERNATIONAL LAW
7. MEMBER OF BOARD OF TRUSTEES AND OF THE BOARD'S EXECUTIVE COMMITTEE	BAYLOR COLLEGE OF MEDICINE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

2011 MAR 14 PM 3:54
FINANCIAL
DISCLOSURE OFFICE

King, Carolyn D.

FINANCIAL DISCLOSURE REPORT

Page 2 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	See attached report of Thomas M. Reavley
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN SOCIETY OF INTERNATIONAL LAW	02/16-17, 2010	WASHINGTON, DC	ATTEND ASIL MEETING	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
2.	AMERICAN LAW INSTITUTE	04/19-20, 2010	PHILADELPHIA, PA	ATTEND MEETINGS AT ALI HEADQUARTERS	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
3.	AMERICAN LAW INSTITUTE	05/15-20, 2010	WASHINGTON, DC	ATTEND ALI ANNUAL MEETING	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
4.	AMERICAN LAW INSTITUTE	06/29, 2010	PHILADELPHIA, PA	ATTEND MEETINGS AT ALI HEADQUARTERS	REIMBURSEMENT OF TRANSPORTATION

FINANCIAL DISCLOSURE REPORT

Page 3 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

5.	AMERICAN LAW INSTITUTE	10/20-24, 2010	NEW YORK, NY	ATTEND ALI COUNCIL MEETING	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE
6.	AMERICAN LAW INSTITUTE	12/2-4, 2010	SANTA FE, NM	ATTEND ALI RETREAT	REIMBURSEMENT OF LODGING, MEALS, TRANSPORTATION AND AIRFARE

FINANCIAL DISCLOSURE REPORT
Page 4 of 9

Name of Person Reporting
KING, CAROLYN D.

Date of Report
03/10/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ **NONE** *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ **NONE** *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. 2010	See attached report of Thomas M. Reavley	
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank Houston, TX (Money Market)	A	Interest	K	T					
2. J.P. Morgan Chase Common Stock (formerly J.P. Morgan & Co.)	A	Dividend	K	T					
3. General Electric Co. Common Stock	B	Dividend	L	T					
4. 4.85% University of North Carolina-Chapel Hill Rev. RFDG	B	Interest			Redeemed	11/01/10	K		ISSUER
5. Bonds Due 11/1/2010									
6. IBM Common Stock	A	Dividend	K	T					
7. Exxon Mobil Corp. Common Stock	B	Dividend	L	T					
8. Compass Bank Checking Account in the		None	J	T					
9.									
10. T. Rowe Price Small Cap Value Fund	C	Distribution	M	T					
11.		Dividend							
12. T. Rowe Price New Era Fund	B	Distribution	M	T					
13.		Dividend							
14. Northwestern Mutual Whole Life Policies - See Part VIII	E	Dividend	N	V					
15. Abbott Labs Common Stock	B	Dividend	L	T					
16. Illinois Tool Works Inc Common Stock	D	Dividend	N	T					
17. 5.25% Indiana St. Office Bldg. Comm. Bonds Due 7/1/10	C	Interest			Redeemed	7/01/10	K		ISSUER

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. 3.25% Danbury, Connecticut Bonds Due 8/1/2010	C	Interest			Redeemed	8/02/10	L		ISSUER
19. 5% California Economic Recovery Bonds Due 7/1/2015	C	Interest	M	T					
20. Procter & Gamble Common Stock	C	Dividend	L	T					
21. 3.8% NY State Thruway Aut. Gen. Rev. Bonds Due 1/1/2015	C	Interest	M	T					
22. 5% Denver Colo City & Cnty Rev. Bonds Due 9/1/2015	C	Interest	M	T					
23. Akron, OH CTFS PARTN 5% Bonds Due 12/01/2017	D	Interest	M	T					
24. Corpus Christi, TX Util Sys Rev 4% Bonds Due 7/15/2012	C	Interest	M	T					
25. Northwestern Mutual Variable Annuity Policy		None	M	T					
26. [REDACTED] annuitant)									
27. Tarrant Cnty Tex Hea B LTH FACS Dev Corp 5% Bonds	C	Interest	M	T					
28. Due 12/1/2019									
29. Texas Transn Commn ST Hwy FD Rev 5% Bonds Due 4/1/2019	C	Interest	M	T					
30. Northwestern Mutual Insurance Service Account	A	Interest	J	T					
31. Glaxosmithkline PLC	B	Dividend	K	T					
32. Goldman Sachs Bank	A	Interest	M	T					
33. King CNTY WA SCH GO 5% Bonds Due 12/1/2013	C	Interest	M	T					
34. Texas GO 5% Bonds Due 4/1/2019	D	Interest	M	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 9

Name of Person Reporting KING, CAROLYN D.	Date of Report 03/10/2011
---	-------------------------------------

VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Phoenix AZ Rev. 5% Bonds Due 7/1/2018	D	Interest	M	T					
36. Chevron Corp.	B	Dividend	L	T					
37. Royal Dutch Shell	C	Dividend	L	T					
38. Dallas Cnty TX Cmnty College GO 5% Bonds Due 2/15/21		None	M	T	Buy	12/09/10	M		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 8 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In response to Part VII C, I have reported the aggregate cash surrender value for the whole life policies. In response to Part VII B, I have shown the aggregate amount of the dividends on those policies, which are used to pay the premiums and increase the cash surrender value.

I am married to Circuit Judge Thomas M. Reavley. I have attached a copy of his Financial Disclosure Report for 2010 for the information about [REDACTED] required by the Instructions.

FINANCIAL DISCLOSURE REPORT

Page 9 of 9

Name of Person Reporting

KING, CAROLYN D.

Date of Report

03/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Reavley, Thomas M.	2. Court or Organization Fifth Circuit Court of Appeals	3. Date of Report 03/14/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Circuit Judge	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address Bob Casey Federal Courthouse 515 Rusk Street, Room 11009 Houston, Texas 77002	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

2011 APR 14 2 05 PM
FEDERAL COURTS
CLERK OF COURT

FINANCIAL DISCLOSURE REPORT
Page 2 of 7

Name of Person Reporting
Reavley, Thomas M.

Date of Report
03/14/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2010	State of Texas, Judicial Retirement	\$68,400.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.
(Dollar amount not required except for honoraria.)*

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2010	See attached report of Carolyn D. King
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.
(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*

☒ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.					
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT
Page 3 of 7

Name of Person Reporting
Reavley, Thomas M.

Date of Report
03/14/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Prudential Insurance Co.	Policy Loan	K
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/14/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Compass Bank (Houston)	A	Interest	M	T					
2. Royalty (Hendrix) Pecos County, Texas	A	Royalty	J	W					
3. Minerals (Samson) Nacogdoches County, Texas	F	Royalty	N	W					
4. Minerals (Apache) Nacogdoches County, Texas	B	Royalty	K	W					
5. Minerals (Basa) Nacogdoches County, Texas	A	Royalty			Sold	3/24/10	J	A	Basa
6. Chase Bank (Houston)	A	Interest	M	T					
7. Minerals (Chesapeake) Nacogdoches County, Texas	B	Royalty	J	W					
8. Fee Land, Lano County, Texas		None	K	W					
9. Prudential Insurance Company	A	Int./Div.	J	T					
10. Indigo	E	Royalty	M	W					
11. Minerals (BP) Panola County, Texas	D	Royalty	K	W					
12. Minerals (EOG) Nacogdoches County, Texas	A	Royalty	J	W					
13. Minerals (AC Exp now Patara) Shelby County, Texas	C	Royalty	K	W					
14. Minerals (Noble) Nacogdoches County, Texas	C	Royalty	L	W					
15. Minerals (XTO) Nacogdoches County, Texas	A	Royalty	J	W					
16. Minerals (Delta Petroleum) Newton County, Texas		None	J	W					
17. General Municipal Money Market Fund	A	Interest	M	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

03/14/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Texas State Bond	C	Interest	M	T					
19. University of Texas Permanent Bond 5% Due 7/01/13	C	Interest	M	T					
20. Corpus Christi Bond 4% Due 7/15/11	C	Interest	M	T					
21. Greater Clark County, Colorado Bond 5% Due 7/15/11	C	Interest	M	T					
22. Akron, Ohio Bond 5% Due 1/12/17	D	Interest	M	T					
23. Houston, Texas Bond (SER, E)	C	Interest	M	T					
24. Goldman Sachs Bank	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other			
3. Value Method Codes (See Column C2)					

FINANCIAL DISCLOSURE REPORT
Page 6 of 7

Name of Person Reporting	Date of Report
Reavley, Thomas M.	03/14/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

My spouse is Carolyn D. King. I attach a copy of her financial disclosure report for my report of her finances.

VII Item 13 - AC transferred to Patara Company.

VII Custody and reporting for all bonds transferred from Northwestern Mutual to Goldman Sachs in December 2010. Because bond value and interest would not be affected, the only effect on the report is from item 17 (Northwestern's bank holding cash) and the new item 24 (Goldman Sachs Bank).

FINANCIAL DISCLOSURE REPORT

Page 7 of 7

Name of Person Reporting

Reavley, Thomas M.

Date of Report

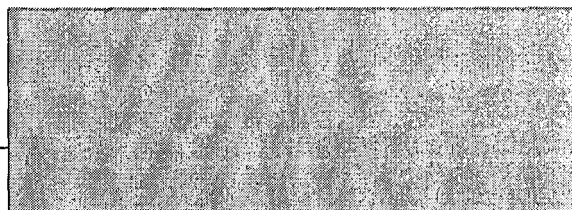
03/14/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544