

AO-10

Rev. 1/2004

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) HEYBURN II, JOHN G	2. Court or Organization U.S.D.C.KENTUCKY WESTERN	3. Date of Report 5/21/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge (Active)	5. ReportType (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final	6. Reporting Period 1/1/2003 to 12/31/2003
7. Chambers or Office Address 239 U.S. Courthouse Louisville, KY 40202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)☐ **NONE** - (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Trustee

Charitable Foundation - H

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 FINANCIAL
 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)☒ **NONE** - (No reportable agreements.)DATEPARTIES AND TERMS

1.

5/21/2004

1.

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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VII. INVESTMENTS and TRUSTS - income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. SBC Partners Ltd	A	Dividend	J	U					
2. HILLIARD LYONS TRUSTEE(IRA)	D	Dividend	N	T					
3. Cash Equiv. Fd.									
4. Lord Abbett Af. Fd.									
5. Berkshire Hathaway-B									
6. Bristol Myers Squibb									
7. Chevron									
8. Cincinnati Fin.									
9. Exxon Mobil									
10. Hilliard Lyons Growth Fund									
11. MBIA									
12. Microsoft									
13. Zimmer Hldgs									
14. HILLIARD LYONS TRUSTEE (IRA)	D	Dividend	N	T					
15. Invest Co.of Am.									
16. New Economy Fd.									
17. Smallcap Wld.Fd.									
18. OTHER INVESTMENTS									

1. Income/Gain Codes: A = \$1,000 or less B = \$1,001-\$2,500 C = \$2,501-\$5,000 D = \$5,001-\$15,000 E = \$15,001-\$50,000
(See Columns B1 and D4) F = \$50,001-\$100,000 G = \$100,001-\$1,000,000 H1 = \$1,000,001-\$5,000,000 H2 = More than \$5,000,000
2. Value Codes: J = \$15,000 or less K = \$15,001-\$50,000 L = \$50,001-\$100,000 M = \$100,001-\$250,000
(See Columns C1 and D3) N = \$250,000-\$500,000 O = \$500,001-\$1,000,000 P1 = \$1,000,001-\$5,000,000 P2 = \$5,000,001-\$25,000,000
P3 = \$25,000,001-\$50,000,000 P4 = \$More than \$50,000,000
3. Value Method Codes Q = Appraisal R = Cost (Real Estate Only) S = Assessment T = Cash/Market
(See Column C2) U = Book Value V = Other W = Estimated

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent. or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Hilliards Cash Equiv.Fds.	B	Dividend	M	T					
20. 3M Co.					gift	5/1	J		
21. 3M Co.					sale	11/4	J	A	
22. Wyeth, formerly Amer. Home Prod.	C	Dividend	M	T	sale	7/29	J	A	(partial)
23. Anheuser Busch	A	Dividend	L	T	sale	1/14	J	A	(partial)
24. Altria					gift	5/1	J		
25. Altria					sale	7/29	J	A	
26. Applied Materials	A	Dividend			sale	4/22	L	A	
27. Berkshire Hathaway	A	Dividend	L	T					
28. Boston Celtics		None			sale	4/22	L	A	
29. Bristol Myers Squibb	C	Dividend	N	T					
30. Brown Forman Inc.	A	Dividend	K	T					
31. Chevron Corp.	B	Dividend	L	T					
32. Cincinnati Fin.Corp.	A	Dividend	L	T					
33. Clorox Co.	A	Dividend	K	T					
34. Colgate Palmolive	A	Dividend	L	T	sale	11/6	J	A	(partial)
35. Walt Disney Co.	A	Dividend	K	T	sale	4/22	K	D	
36. Exxon Mobil	B	Dividend	M	T					

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	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, merger, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
37. General Electric Co.	B	Dividend	M	T	sale	4/22	K	E	(partial)
38. General Electric Co.	B	Dividend	M	T	sale	7/29	K	E	(partial)
39. General Electric Co.	B	Dividend	M	T	sale	11/4	K	E	(partial)
40. Hilliard Lyons Growth	B	Dividend	K	T					
41. Home Depot Inc.	A	Dividend	M	T					
42. IBM	A	Dividend			sale	7/29	J	A	
43. Johnson and Johnson	A	Dividend	L	T					
44. MBIA Inc.	A	Dividend	L	T					
45. Merck and Co.	B	Dividend	L	T		11/4	J	A	(partial)
46. Microsoft	A	Dividend	M	T					
47. Moodys	A	Dividend	K	T					
48. Nike	A	Dividend	J	T	sale	4/22	J	A	
49. Proctor & Gambill	B	Dividend	M	T	sale	11/4	K	E	(partial)
50. RH Burnhey Inv. LLC	C	Dividend	N	T					
51. Safeguard Scientifics	A	Dividend	J	T	sale	7/29	J	A	(partial)
52. Sara Lee Corp.	A	Dividend	K	T					
53. Solectron	A	Dividend	J	T					
54. US Wireless Online, Inc.		Dividend	J	T					

- | | | | | | |
|--|---|--|--|--|-------------------------------|
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(See Columns C1 and D3) | K = \$15,001-\$50,000
O = \$500,001-\$1,000,000 | L = \$50,001-\$100,000
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55. Walgreens		Dividend	K	T	Gifts	5/1	J	A	(partial)
56. Walgreens		Dividend	K	T	sale	11/4	J	A	(partial)
57. Zimmer Hldgs	A	Dividend	K	T					
58. TRUST - A	D	Dividend	N	T					
59. PNC Mon.Mar.and Bond Fd.									
60. Banc One									
61. Gen.Elect.									
62. National City									
63. PNC Bank									
64. SY Bank									
65. PNC Bond Fd.									
66. TRUST - S	D	Dividend	N	T					
67. Ky. Tax Exempt Money Fd									
68. BP PLC ADR									
69. Coca Cola Co									
70. Gannett Co.									
71. Glaxo Wellcome PLC									
72. Johnson & Johnson									

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73. Marsh & McLennan									
74. National City Corp.									
75. Proctor & Gamble									
76. Schlumberger Ltd.									
77. Verizon Communications									
78. CHARITABLE FOUNDATION - H	C	Dividend	M	T					
79. Hilliard Lyons Growth									

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS

(Indicate part of Report.)

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

5/21/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544