

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Sharpe, Gary L	2. Court or Organization U.S. District Court, NDNY	3. Date of Report 05/10/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) District Court Judge, Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address James T. Foley Courthouse 445 Broadway Albany, New York 12207	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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 DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

FINANCIAL DISCLOSURE REPORT

Page 2 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Realty USA - Independent Contractor - commissions
2. 2006	Southern Living - Independent Contractor - commissions
3.	
4.	
5.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

FINANCIAL DISCLOSURE REPORT

Page 3 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 4 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Merrill Lynch (IRA #1)	D	Dividend	L	T					See note Part VIII
2. ---Oppenheimer Internatl Bond Fd Cl A									
3. ---Scudder High Return Fd Cl A									
4. ---Dreyfus Premier Intl Vlaue Cl A									
5. ---Lord Abbett Research Sm cap Cl A									
6. ---Oppenheimer Developing Mkts Cl A									
7. ---Thornburg International Value Fund A									
8. ---Vankampen Comstock Fund Cl A									
9. ---Cohen & Steers Special Equity Fund									
10. ---Matthews Asian Funds Japan Funds									
11. ---Aim Energy Fd Cl A									
12. ---Gartmore Global Natural Resources Fd Cl A									
13. ---Oppenheimer Gold & Special Metals Fund									
14. ---Alger Health Sciences A									
15. ---Blackrock Nat Resource I					Buy	10-04	J		
16. Merrill Lynch (IRA #2)	D	Dividend	N	T					
17. ---Oppenheimer Internatl Bond Fd Cl A									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 5 of 10

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Sharpe, Gary L

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. ---Scudder High Return Fd CI A									
19. ---Dreyfus Premier Intl Vlaue CI A									
20. ---Lord Abbett Research Sm cap CI A									
21. ---Oppenheimer Developing Mkts CI A									
22. ---Thornburg International Value Fund A									
23. ---Vankampen Comstock Fund CI A									
24. ---Cohen & Steers Special Equity Fund									
25. ---Matthews Asian Funds Japan Funds									
26. ---Aim Energy Fd CI A									
27. --Gartmore Global Natural Resources Fd CI A									
28. ---Oppenheimer Gold & Special Metals Fund									See note Part VIII
29. ---Alger Health Sciences A									
30. ---Blackrock Nat Resource I					Buy	10-04	J		
31. New York College Tuition Savings (529)	A	Dividend	J	T	Buy	1-1	J		See note in Part VIII
32. American Express IRA	A	Dividend			Roll Over	2-10	N		See note in Part VIII
33. Merrill Lynch (IRA # 3)	E	Dividend	M	T	Roll Over	2-16	M		See note in Part VIII
34. ---Peabody Common Stock					Buy	2-23	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35.					Buy	12-20	J		
36. ---Boeing Common Stock					Buy	2-27	J		
37. ---Caterpillar Common Stock					Buy	2-27	J		
38. ---Ingersoll Rand Common Stock					Buy	2-27	J		
39.					Total Sale	12-8	J	A	
40. ---Valero Energy Common Stock					Buy	2-27	J		
41.					Buy	9-14	J		
42. ---Celgene Common Stock					Buy	2-28	J		
43. ---Sandisk Common Stock					Buy	2-28	J		
44.					Total Sale	5-17	J	A	
45. ---NYSE Group Common Stock					Buy	3-8	J		
46. ---China Life Ins Co Sp ADR Common Stock					Buy	5-18	J		
47.					Total Sale	9-14	J	B	
48. ---China Nat Res Inc Common Stock					Buy	5-18	J		
49.					Total Sale	12-11	J	A	
50. ---Ultra Petroleum Corp Common Stock					Buy	9-14	J		
51.					Buy	9-18	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 10

Name of Person Reporting

Sharpe, Gary L

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05/10/2007

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52.					Partial Sale	12-13	J	A	
53. ---McDermott Intl Inc Common Stock					Buy	9-14	J		
54. ---Fuel Tech NV Common Stock					Buy	9-18	J		
55. ---Aegean Marine Petroleum Netwk Inc Common Stock					Buy	12-8	J		
56.					Buy	12-21	K		
57. ---Guidance Software Inc Common Stock					Buy	12-13	J		
58. Merrill Lynch (IRA # 4)	E	Dividend	N	T	Roll Over	2-16	N		See note in Part VIII
59. ---Matthews Asian Funds Pacific Tigers Fd					Buy	2-16	K		
60. ---AXA Enterprise Mergers & Acquisitions CI A					Buy	2-16	J		
61. ---Alger Health Sciences A					Buy	2-16	J		
62. ---Gartmore Small Cap Fund CI A					Buy	2-16	K		
63. ---Baron Partners Fund NLD					Buy	2-16	K		
64. ---Gartmore Global Natural Resources Fund CI A					Buy	2-16	K		
65. ---Blackrock All Cap Global Resources Portfolio CI I					Buy	2-16	K		
66. ---ML Latin America Fd CI I					Buy	2-16	K		
67. ---Oppenheimer Internatl Bond Fd CI A					Buy	2-16	J		
68. ---Oppenheimer Developing Markets Fd CI					Buy	2-16	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

Page 8 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

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A									
69.					Partial Sale	7-11	J	A	
70.					Partial Sale	10-10	J	A	
71. ---Loomis Sayles Bond Fd Instl Cl					Buy	2-16	J		
72. ---Hartford Capital Appreciation Cl A					Buy	2-16	K		
73. ---Columbia Marsico Focused Equity Cl A					Buy	2-16	K		
74. ---Thornburg International Value Fd I					Buy	2-16	K		
75. ---American Cap Inc BLDR FD SBI Cl A					Buy	2-16	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 9 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

1. VII, Line 1, Merrill Lynch (IRA#1): Last year's report at VII, Page 2, Line 3 listed a "buy of ML Natural Resources Trust CI I" on September 22, 2005. The report should then have shown a total sale on September 28, 2005, a value code of "J" and a gain code of "A." The asset was totally disposed of during the last filing year, and does not appear on this year's report.
2. VII, Line 28, Merrill Lynch (IRA#2): Oppenheimer Gold & Special Metals Fund is listed on this year's form, but was inadvertently not listed on last year's form. There is no transactional data because it was included in the initial assets created by IRA #2.
3. VII, Line 31, New York College Tuition Savings (529): The computerized software would not permit me to make a multiple entry in the date of transaction block, and twelve separate entries seemed ludicrous to me. There is an automatic monthly deposit (buy) in this account on the 1st of each month.
4. VII, Line 32, American Express IRA, VII, Line 33, Merrill Lynch (IRA #3), and VII, Line 58, Merrill Lynch (IRA #4): The American Express account was rolled over as indicated, and the total value was divided to create two separate new Merrill Lynch IRA's which are now designated as "Merrill Lynch (IRA#3)" and "Merrill Lynch (IRA#4).

FINANCIAL DISCLOSURE REPORT

Page 10 of 10

Name of Person Reporting

Sharpe, Gary L

Date of Report

05/10/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5-10-07

NOTE: ANY PERSON WHOSE NAME APPEARS ON THIS REPORT WHO OBJECTS TO OR FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544