

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., active,	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Rya W. Zobel Irrevocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

Zobel, Rya W.

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Name of Person Reporting

Zobel, Rya W.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association, Annual Dinner	March 26, 2010	New York, NY	Meeting	transportation, lodging, food
2. Aspen Institute	November 5-7, 2010	Wye, MD	Seminar	transportation, lodging, food
3.				
4.				
5.				

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Name of Person Reporting

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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Name of Person Reporting

Zobel, Rya W.

Date of Report

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Assets of Rya W. Zobel									
2. Fidelity Select Retailing FSRPX	A	Dividend	J	T					
3. Fidelity Select Construction & Housing ISHOX	A	Dividend			Sold	03/19/10	J		
4. T.Rowe Price Blue Chip Growth, Inc TRBCX.	A	Dividend	J	T					
5. Calamos Growth Class A	A	Dividend	J	T					
6. Hodges Fund CVGRX	A	Dividend			Sold	11/11/10	J		
7. Ishares TR NASDACBiotechnology Indes FD IBB	A	Dividend			Sold	03/19/10	J		
8. Ishares Comex Gold Trust IAU	A	Dividend	J	T					
9. Market Vectors ETF TR Coal KOL	A	Dividend	J	T					
10. Powershare Exchange Traded FD TR Wilder PBW	A	Dividend			Sold	03/16/10	J		
11. SPDR SER TR S&P Homebuilders XHB	A	Dividend	J	T	Buy	03/18/10	J		
12. Ishares TR MSCI Emerging Markets Index EEM (X)	A	Dividend	J	T					
13. Ishares TR Dow Jones US Real Estate Index IYR (X)	A	Dividend	J	T					
14. Ishares TR Dow Jones US Broker Dealers Index IAI (X)	A	Dividend	J	T					
15. Sector SPDR TR SHS Ben Int Financial XLF (X) (Y)	A	Dividend	J	T	Buy	03/18/10	J		
16. United STS Nat Gas FD LP Unit UNG (X)	A	Dividend			Sold	03/16/10	J		
17. Powershares QQQ TR Unit Ser 1 QQQ (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Vanguard Index FDS Vanguard REIT ETF, VNQ	A	Dividend	J	T	Buy	03/18/10	J		
19. Barclays Bank PLC IPath S&P 500, VIX	A	Dividend	J	T	Buy	05/18/10	J		
20.					Sold (part)	12/28/10	J		
21. iShares Silver TR iShares SLV	A	Dividend	J	T	Buy	05/18/10	J		
22. iShares Barclays Treas Inflation Protected SECS FD, TIP	A	Dividend	J	T	Buy	05/18/10	J		
23. Sector SPDR TR SHS BEN Int Financial XLF	A	Dividend	J	T	Buy	11/08/10	J		
24. iShares TR Russell 2000 Index FD IWM	A	Dividend	J	T	Buy	11/11/10	J		
25. Citizens Bank, checking	A	Interest	K	T					
26. Hanscom Federal Credit Union, savings	A	Interest	K	T					
27. Assets of Rya W. Zobel Irrevocable Trust									
28. Fedl Home Ln Banks Conc. Bd 6.100%, 12/3/10	A	Interest			Sold	10/18/10	J		
29. Fidelity Total Bond	B	Interest	K	T					
30. American High Income Class F AHTFX	A	Interest	J	T					
31. US Treasury NTS 4.0%	A	Interest	K	T					
32. US Treasury NTS 4.5%	A	Interest	K	T					
33. Forward Select Income KIFAX (X)	A	Interest	K	T			J		
34. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T	Buy	11/15/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Zobel, Rya W.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T	Buy	11/15/10	J		
36. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T	Buy	11/15/10	J		
37. Goldman Sachs Local Emrg Mkts Debt CL I, GIMDX	A	Dividend	J	T	Buy	11/15/10	J		
38. Payden Short ond, PYSBX	A	Dividend	J	T	Buy	11/15/10	J		
39.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 15 of Part VII reports the purchase in 2010 of this security which had been bought and sold in its entirety in 2009.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ Rya W. Zobel

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Zobel, Rya W.	2. Court or Organization DC MA,	3. Date of Report 05/10/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. D.J., active,	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address 1 Courthouse Way Boston, MA 02210	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Trustee	Rya W. Zobel Irrevocable Trust
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Law Association, Annual Dinner	March 26, 2010	New York, NY	Meeting	transportation, lodging, food
2.	Aspen Institute	November 5-7, 2010	Wye, MD	Seminar	transportation, lodging, food
3.					
4.					
5.					

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. Assets of Rya W. Zobel									
2. Fidelity Select Retailing FSRPX	A	Dividend	J	T					
3. Fidelity Select Construction & Housing ISHOX	A	Dividend			Sold	03/19/10	J		
4. T.Rowe Price Blue Chip Growth, Inc TRBCX.	A	Dividend	J	T					
5. Calamos Growth Class A	A	Dividend	J	T					
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10. Powershare Exchange Traded FD TR Wilder PBW	A	Dividend			Sold	03/16/10	J		
11. SPDR SER TR S&P Homebuilders XHB	A	Dividend	J	T	Buy	03/18/10	J		
12. Ishares TR MSCI Emerging Markets Index EEM (X)	A	Dividend	J	T					
13. Ishares TR Dow Jones US Real Estate Index IYR (X)	A	Dividend	J	T					
14. Ishares TR Dow Jones US Broker Dealers Index IAI (X)	A	Dividend	J	T					
15. Sector SPDR TR SHS Ben Int Financial XLF (X) (Y)	A	Dividend	J	T	Buy	03/18/10	J		
16. United STS Nat Gas FD LP Unit UNG (X)	A	Dividend			Sold	03/16/10	J		
17. Powershares QQQ TR Unit Ser 1 QQQ (X)	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A=\$1,000 or less F=\$50,001 - \$100,000	B=\$1,001 - \$2,500 G=\$100,001 - \$1,000,000	C=\$2,501 - \$5,000 H1=\$1,000,001 - \$5,000,000	D=\$5,001 - \$15,000 H2=More than \$5,000,000	E=\$15,001 - \$50,000
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18. Vanguard Index FDS Vanguard REIT ETF, VNQ	A	Dividend	J	T	Buy	03/18/10	J		
19. Barclays Bank PLC IPath S&P 500, VIX	A	Dividend	J	T	Buy	05/18/10	J		
20.					Sold (part)	12/28/10	J		
21. ISharesz Silve TR IShares SLV	A	Dividend	J	T	Buy	05/18/10	J		
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25. Citizens Bank, checking	A	Interest	K	T					
26. Hanscom Federal Credit Union, savings	A	Interest	K	T					
27. Assets of Rya W. Zobel Irrevocable Trust									
28. Fedl Home Ln Banks Conc. Bd 6.100%, 12/3/10	A	Interest			Sold	10/18/10	J		
29. Fidelity Total Bond	B	Interest	K	T					
30. American High Income Class F AHTFX	A	Interest	J	T					
31. US Treasury NTS 4.0%	A	Interest	K	T					
32. US Treasury NTS 4.5%	A	Interest	K	T					
33. Forward Select Income KIFAX (X)	A	Interest	K	T			J		
34. Putnam Diversified Income FD CL Y, PDVYX	A	Dividend	J	T	Buy	11/15/10	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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35. Putnam Income FD CL Y, PNCYX	A	Dividend	J	T	Buy	11/15/10	J		
36. Goldman Sachs Global Income Institutional, GSGLX	A	Dividend	J	T	Buy	11/15/10	J		
37. Goldman Sachs Local Emrg Mrkts Debt CL I, GIMDX	A	Dividend	J	T	Buy	11/15/10	J		
38. Payden Short ond, PYSBX	A	Dividend	J	T	Buy	11/15/10	J		
39.									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Zobel, Rya W.

Date of Report

05/10/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Line 15 of Part VII reports the purchase in 2010 of this security which had been bought and sold in its entirety in 2009.

In my 2009 report I listed Spartan US Equity Index. This asset has fallen below the reporting threshold and will no longer be reported.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

Zobel, Rya W.

Date of Report

05/10/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: **s/ Rya W. Zobel**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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Washington, D.C. 20544