

FINANCIAL DISCLOSURE REPORT

FOR CALENDAR YEAR 2003

Report Required by the Ethics
in Government Act of 1978,
(5 U.S.C. App. §§101-111)

1. Person Reporting (Last name, first, middle initial) PREGERSON, HARRY		2. Court or Organization United States Court of Appeals for the Ninth Circuit		3. Date of Report May 14, 2004
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) United States Circuit Judge		5. Report Type (check appropriate type) ____ Nomination, Date _____ ____ Initial ☒ Annual _____ Final _____		6. Reporting Period January 1, 2003-- December 31, 2003
7. Chambers or Office Address 21800 Oxnard Street Suite 1140 Woodland Hills, CA 91367		8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.		
		Reviewing Officer _____		Date _____

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

POSITION

NAME OF ORGANIZATION/ENTITY

☐

NONE (No reportable positions.)

1	Member	Marine Corps Reserve Officers Assoc.
2	Member	Advisory Board, Childhelp USA
2	Member	Community Relations Committee, Jewish Federation Council of Greater Los Angeles
3	Member	Board of Directors, Metropolitan Div., The Salvation Army

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

DATE

PARTIES AND TERMS

☒

NONE (No reportable agreements.)

III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

DATE

SOURCE AND TYPE

GROSS INCOME

A. Filer's Non-Investment Income

☐

NONE (No reportable non-investment income.)

1	2003	State of California Judge's Retirement System	\$ 13,635.
2	2003	GGWH LLC	\$ 18,000.
3			\$

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

☐

NONE (No reportable non-investment income.)

1	2003	Los Angeles Community College District
2		

RECEIVED
 MAY 21 11 11 AM '04
 FINANCIAL
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/04

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment. (Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

	SOURCE	DESCRIPTION
☒	NONE (No such reportable reimbursements.)	
1		
2		
3		
4		
5		
6		
7		

V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

	SOURCE	DESCRIPTION	VALUE
☒	NONE (No such reportable gifts.)		
1			\$
2			\$
3			\$
4			\$

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

	CREDITOR	DESCRIPTION	VALUE CODE*
☐	NONE (No reportable liabilities.)		
1	G. Glazer, Los Angeles, CA	Loan in connection with	K
2		acquisition of Limited Partnership	
3		interest in Penn Cal Investors, a	
4		California Limited Partnership	
5			

*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000
 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/9

VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(2)" after each asset except from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't Code1 (A-H)	Type (e.g., div., rent, int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date Month Day	(3) Value Code2 (J-P)	(4) Am't Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 U-Haul International Inc.	B	Rent	J	T					
2 Condominium in Palm Desert, CA (1979)	C	Rent	M	T					
3 Lot [REDACTED] Oxnard Shores CA (1964)		None	O	T					
4 Lot [REDACTED] Oxnard Shores CA (1964)		None	O	T					
5 Part of Lot [REDACTED] Woodland Hills, CA (1963)		None	N	T					
7 Part of Lot [REDACTED] Woodland Hills, CA (1979)		None	N	T					
9 Part of Lot [REDACTED] Woodland Hills, CA (1984)		None	N	T					
11 Limited Partnership Interest, Penn Cal Investors, a Calif. Limited Partnership	G	Rent	Pl	W					
13 MFS/Sun Life (U.S.) Annuity Fund	D	Int	O	T					
14 Minnesota Life Ins. Single Payment Annuity (Non-Qualified)	C	Int	L	T					
16									
17									

1	Income/Gain Codes: (See Col. E1, D4)	A=\$1,000 or less F=\$50,001-\$100,000	B=\$1,001-\$2,500 G=\$100,001-\$1,000,000	C=\$2,501-\$5,000 H=\$1,000,001-\$5,000,000	D=\$5,001-\$15,000 I=\$5,000,001-\$25,000,000	E=\$15,001-\$50,000 J=\$25,000,001-\$50,000,000
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less P=\$250,001-\$500,000 R=\$25,000,001-\$50,000,000	K=\$15,001-\$50,000 Q=\$500,001-\$1,000,000	L=\$50,001-\$100,000 R=\$1,000,001-\$5,000,000 T=\$5,000,001-\$25,000,000	M=\$100,001-\$250,000 P=\$5,000,001-\$25,000,000	N=\$250,001-\$500,000 Q=\$5,000,001-\$25,000,000
3	Value Method Codes: (See Col. C2)	Q=Appraisal R=Cost (real estate only) S=Agreement T=Cash/Market U=Other V=Estimated	R=Cost (real estate only) S=Agreement T=Cash/Market U=Other V=Estimated	S=Agreement T=Cash/Market U=Other V=Estimated	T=Cash/Market U=Other V=Estimated	U=Other V=Estimated

Date of Report

5/14/04

	A. Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
		Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month-Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
	NONE (No reportable income,									
1	IRA ACCT.--Wachovia Securities									
2	Wachovia Command Money Fund	A	Div	K	T					
3	Microsoft Corp. Wash	A	Div	K	T					
4	Money Mart Assets Class A (formerly Command Money A Class)	A	Int	J	T					
5	IRA ACCT.--Wachovia Securities									
6	Money Mart Assets Class A (formerly Command Money Fund)	A	Int	J	T					
7	Microsoft Corp. Wash	A	Div	K	T					
8	Washington Mutual Bank	C	Int	M	T					
9	Newhall Ld. & Farming Co. Calif. Dept. RCPT for LP Units Stock	A	Div	K	T					
10	Bonds--Alliance Real Estate Investment Fund	A	Int	K	T					
11	Money Mart Assets Class A (formerly Command Money Fund)	A	Int	K	T					
12	Bonds--Blackrock Municipal Target Term	B	Int	K	T					
13	Trust PSI Makes Market									

Value Method Codes: (=Appraisal) (=Cost (real estate only)) (=Measurement) (=Other) (=Cash/Market)
 (=Cost) (=Book value) (=Other) (=Measurement)

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/04

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Bonds--Palomar Pomerad									
Health Sys Calif. Rev.	A	Int	J	T					
2 Janus Twenty									
Fund	A	Div	K	T					
3 Janus Special Equity									
Fund (Janus Special		None	L	T	Merger	2/27			
4 Situation Fund merged									
into Janus Special									
5 Equity Fund)									
6 Janus Mercury									
Fund		None	L	T					
7 Janus Olympus									
Fund		None	L	T					
8 Centennial Money Mkt									Agent changed
TR (formerly Great	A	Div	J	T					brokerage on
9 Hall Prime (GHP)									1/17/01-thereby
									name change
10 Cisco SYS Inc.									
Stock		None	J	T					
11 Worldcom Inc. GA									
new		None	J	T					
12 Schlumberger LTD									
Stock	A	Div	J	T					
13 Wyeth									
	A	Div	J	T					
14 Yahoo Inc.									
Stock		None	J	T					
15 Time Warner, Inc.									
(formerly AOL Time		None	J	T					
16 Warner Inc.)									
17 Sun Microsystems									
Inc.		None	J	T					

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 R=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H1=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 H2=More than \$5,000,000
2 Value Codes: I=\$15,000 or less (See Col. C1, D3)	J=\$15,001-\$50,000 N=\$250,001-\$500,000	K=\$50,001-\$100,000 O=\$500,001-\$1,000,000	L=\$100,001-\$250,000 P1=\$1,000,001-\$5,000,000	M=\$100,001-\$250,000 P2=\$5,000,001-\$25,000,000
3 Value Method Codes: (C=Appraisal (See Col. C2)	U=Book value	R=Cos. (real estate only) V=Other	S=Assessment W=Estimated	T=Cash/Market

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/04

VII. Page 1 INVESTMENTS and TRUSTS — income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) <i>Place "(X)" after each asset exempt from prior disclosure.</i>	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Am't. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, mzger, redemption)	(2) Date Month- Day	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Transocean Inc. Ordinary Shares	A	Int	J	T					
2 Franklin Strategic Ser Franklin Biotechnology		None	J	T					
3 Discovery Fund Class A									
4 Smith Barney Mu i FDS CA Money Mkt	A	Div	J	T					
5 Nuveen INSD Calif. Select Tax Free		None	J	T	Partial Sell	12/15	J		
6 Income Portfolio SBI									
7 Alliance Real Estate Investment Fund Cl B	B	Div	K	T					
8 Smith Barney Aggressive Growth Fund Cl B	A	Div	K	T					
9 Smith Barney Appreciation Fund Cl B	A	Div	K	T					
10 Citigroup, Inc.	A	Div	K	T					
11 Microsoft Corp. Lucent Technologies		None	K	T					
12 Inc.	A	Div	J	T					
13 Avaya Inc.					Sell	2/17	J		
14 Microsoft Corp. Wash PSI Makes Mkt	A	Div	K	T	Buy	3/6	J		
15 Centennial Money Mkt TR	A	Div	J	T	Buy	3/6	J		
16 H.B. Mesa Trust	D	Dist.	L	W					
17 Hewlett Packard Co.	A	Int	J	T	Buy	8/21	J		
					Buy	11/25	J		

1 Income/Gain Codes: A=\$1,000 or less (See Col. B1, D4)	B=\$1,001-\$2,500 F=\$50,001-\$100,000	C=\$2,501-\$5,000 G=\$100,001-\$1,000,000	D=\$5,001-\$15,000 H=\$1,000,001-\$5,000,000	E=\$15,001-\$50,000 I=More than \$5,000,000
2 Value Codes: J=\$15,000 or less (See Col. C1, D3)	K=\$15,001-\$50,000 L=\$50,001-\$100,000	M=\$100,001-\$250,000 N=\$250,001-\$500,000	O=\$500,001-\$1,000,000 P=\$1,000,001-\$3,000,000	Q=\$3,000,001-\$50,000,000 R=More than \$50,000,000
3 Value Method Codes: Q=Appraisal (See Col. C2)	R=Cost (real estate only) S=Other	S=Assessment T=Estimated	T=Cash/Market	

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/04

VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-57 of Instructions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amt. Code1 (A-H)	Type (e.g., div., rent or int.)	Value Code2 (J-P)	Value Method Code3 (Q-W)	Type (e.g., buy, sell, merger, redemption)	(2) Date: Month- Day-	(3) Value Code2 (J-P)	(4) Gain Code1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income,									
1 Evergreen U.S. Govt. Money Mkt Fund Cl B		None			Sell	9/25	J		
2 Bond-Gulf Power Co. 6% 1-30-2002 Sr NOTES- AMBAC Insured	A	Int			Redeem	6/28	J		
3 Alliance Bernstein 4 Value Fund		None	K	T	Partial Sell	8/18	K		
Janus Strategical 5 Value Fund		None	J	T					
6 World Savings Bank Nuveen Preferred & 7 Conv Income Fund 2	B	Int	L	T	Open	1/13	L		
Travelers PPTY 8 Cas Corp Class A		None	J	T	Spin Off	8/26/02			Spin off-- Citigroup, Inc.
9									Oversight--not reported in 2002
10									
11 Travelers PPTY Cas Corp Class B		None	J	T	Spin Off	8/26/02			Spin off-- Citigroup, Inc.
12									Oversight--not reported in 2002
13									
14									
15									
16									
17									

1	Income/Gain Codes: (See Col. B1, D4)	A=\$1,000 or less B=\$1,001-\$2,500 C=\$2,501-\$5,000 D=\$5,001-\$15,000 E=\$15,001-\$50,000 F=\$50,001-\$100,000 G=\$100,001-\$1,000,000 H=\$1,000,001-\$5,000,000 I=\$5,000,001-\$25,000,000 J=\$25,000,001-\$50,000,000 K=More than \$50,000,000							
2	Value Codes: (See Col. C1, D3)	J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P=\$1,000,001-\$5,000,000 Q=\$5,000,001-\$25,000,000 R=More than \$25,000,000							
3	Value Method Codes: (See Col. C2)	C=Appraisal D=Broker's value E=Cost (real estate only) F=Other G=Assessment H=Estimated I=Cash/withdrawal							

FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

PREGERSON, HARRY

Date of Report

5/14/04

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

NOTE: We have tried to keep all items in Section VII. listed in the same order as previous report with new items being placed at the end of the list. The exception is the IRA accounts--buy and sell in the IRA accounts has remained within the IRA account setup.

Section I. POSITIONS (cont.)

Board of Trustees, Devil Pups, Inc.

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app., § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature

Date May 14, 2004

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. App., § 104.)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the
United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544