

AO 10
Rev. 1-2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. § 5 101-111)*

1. Person Reporting (last name, first, middle initial) Arcara, Richard J.	2. Court or Organization U.S. District Court W.D.N.Y.	3. Date of Report 5/12/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge	5a. Report Type (check appropriate type) ☐ Nomination Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01-01-2009 to 12-31-2009
7. Chambers or Office Address 68 Court Street, Room 600 Buffalo, New York 14202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Arcara, Richard J.

FINANCIAL DISCLOSURE REPORT
Page 2 of 11

Name of Person Reporting
Arcara, Richard J.

Date of Report
5-12/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	AAA Western and Central New York Board Member - Stipend
2. 2009	Executive Dimensions - Salary
3. 2009	Health Now Board Member - Stipend
4.	

IV. REIMBURSEMENTS *-- transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☐ **NONE** *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	New York Intellectual Property Law Association	3/27 - 3/28/2009	New York, NY	Attendance at Dinner	Reimbursement for lodging, meals, transportation for attendance at Annual Dinner in Honor of Federal Judiciary, N.Y. March 27 and 28, 2008
2.					
3.					
4.					
5.					

FINANCIAL DISCLOSURE REPORT

Page 3 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

FINANCIAL DISCLOSURE REPORT

Page 4 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-11)	Type (e.g., div., rent, or int.)	Value Code 2 (I-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date	Value Code 2 (I-P)	Gain Code 1 (A-11)	Identity of buy or seller (if private transaction)
1. RBC Wealth Management Acct. Cash (X)		None	J	T					
2. Tamarack Invst. Fds. Prime	A	Dividend	J	T					
3. Aim Basic Value Fund C		None	J	T					
4. AllianceBern Large Cap Growth A		None	K	T					
5. Allianz NEI Dividend Val. Cl. C	A	Dividend	K	T					
6. Davis NY Venture Fund	A	Dividend	K	T					
7. DWS Dreman High Rtn Cl. C	A	Dividend	J	T					
8. Fidelity Adv. New Insights Cl. A	A	Dividend	K	T					
9. Fidelity Adv. New Insight C		None	K	T					
10. GS Core U.S. Equity-C	A	Dividend	J	T					
11. Jemison-Growth Cl. A (Prudential Inv)	A	Dividend	K	T					
12. Kinetics Paradigm Fund Cl. C		None	J	T					
13. Royce Fund - PA		None	K	T					
14. Royce Fund - Total Return Con	A	Dividend	J	T					
15. Europacific Growth Cl. A	A	Dividend	K	T					
16. Putnam Intl Equity C	A	Dividend	J	T					
17. Templeton Foreign A	A	Dividend	K	T					

1. Income Code:

(See Columns B and D4)

2. Value Codes

(See Columns C, E, and G3)

3. Value Method Codes

(See Column C 2)

A = \$1,000 or less

B = \$1,000.01 - \$100,000

C = \$100,001 or less

D = \$100,001 - \$500,000

E = \$500,001 - \$1,000,000

F = \$1,000,001 - \$5,000,000

G = Book Value

H = \$1,001 - \$1,200

I = \$1,200.01 - \$1,400,000

J = \$1,400.01 - \$50,000

K = \$50,001 - \$1,000,000

L = Cost (Real Estate Only)

M = Other

N = \$2,501 - \$5,000

O = \$5,000.01 - \$2,000,000

P = \$2,000.01 - \$100,000

Q = \$100,001 - \$1,000,000

R = Assessment

S = Estimated

T = \$5,001 - \$15,000

U = \$15,000.01 - \$2,000,000

V = \$2,000.01 - \$250,000

W = \$250,001 - \$750,000

X = Cash Market

Y = \$15,001 - \$50,000

Z = \$50,001 - \$1,000,000

FINANCIAL DISCLOSURE REPORT

Page 5 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "N/A" after each asset exempt from prior disclosure.	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date month/day	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. Templeton Growth Cl. A	A	Dividend	K	T					
19. Templeton Growth Cl. C	A	Dividend	J	T					
20. Thornburg Intl Value Cl. A	A	Dividend	K	T					
21. Eaton Vance High Income	B	Dividend	K	T					
22. Goldman Sachs HY Cl. A	C	Dividend	K	T					
23. Nuveen Multi-Strategy I & G	A	Dividend	J	T					
24. Pimco High Income Fd	B	Dividend	J	T					
25. Ivy Asset Strat A	A	Dividend	K	T					
26. Tamarack Inst. Fds Prime	A	Dividend	J	T					
27. AIM Charter - A	A	Dividend	J	T					
28. AllianceBern Large Cap Growth A		None	J	T					
29. DWS Drennan High Rtn. Fnd. A	A	Dividend	J	T					
30. Fidelity Adv. New Insight A	A	Dividend	J	T					
31. Royce Fund - Total Return Con	A	Dividend	J	T					
32. Royce Fund - PA		None	J	T					
33. New Perspective Cl. A	A	Dividend	J	T					
34. Templeton Growth A	A	Dividend	J	T					

1. Income Code	A = \$0.00 or less	B = \$1,001 - \$2,500	C = \$2,501 - \$5,000	D = \$5,001 - \$15,000	E = \$15,001 - \$50,000	F = \$50,001 - \$100,000
2. Value Code	G = \$0.00 - \$100,000	H = \$100,001 - \$1,000,000	I = \$1,000,001 - \$5,000,000	J = \$5,000,001 - \$10,000,000	K = \$10,000,001 - \$25,000,000	L = \$25,000,001 - \$50,000,000
3. Value Method Code	M = \$0.00 or less	N = \$15,001 - \$50,000	O = \$50,001 - \$100,000	P = \$100,001 - \$250,000	Q = \$250,001 - \$500,000	R = \$500,001 - \$1,000,000
4. Value Method Code	S = \$500,001 - \$1,000,000	T = \$1,000,001 - \$5,000,000	U = \$5,000,001 - \$10,000,000	V = \$10,000,001 - \$50,000,000	W = \$50,000,001 - \$100,000,000	X = \$100,000,001 - \$500,000,000
5. Value Method Code	Y = \$250,001 - \$500,000	Z = \$500,001 - \$1,000,000	AA = \$1,000,001 - \$5,000,000	AB = \$5,000,001 - \$10,000,000	AC = \$10,000,001 - \$50,000,000	AD = \$50,000,001 - \$100,000,000
6. Value Method Code	AE = \$100,001 - \$250,000	AF = \$250,001 - \$500,000	AG = \$500,001 - \$1,000,000	AH = \$1,000,001 - \$5,000,000	AI = \$5,000,001 - \$10,000,000	AJ = \$10,000,001 - \$50,000,000
7. Value Method Code	AK = \$50,000,001 - \$100,000,000	AL = \$100,000,001 - \$500,000,000	AM = \$500,000,001 - \$1,000,000,000	AN = \$1,000,000,001 - \$5,000,000,000	AO = \$5,000,000,001 - \$10,000,000,000	AP = \$10,000,000,001 - \$50,000,000,000
8. Value Method Code	AP = \$500,001 - \$1,000,000	AQ = \$1,000,001 - \$5,000,000	AR = \$5,000,001 - \$10,000,000	AS = \$10,000,001 - \$50,000,000	AT = \$50,000,001 - \$100,000,000	AU = \$100,000,001 - \$500,000,000
9. Value Method Code	AV = \$500,001 - \$1,000,000	AW = \$1,000,001 - \$5,000,000	AX = \$5,000,001 - \$10,000,000	AY = \$10,000,001 - \$50,000,000	AZ = \$50,000,001 - \$100,000,000	BA = \$100,000,001 - \$500,000,000
10. Value Method Code	BB = \$500,001 - \$1,000,000	BC = \$1,000,001 - \$5,000,000	BD = \$5,000,001 - \$10,000,000	BE = \$10,000,001 - \$50,000,000	BF = \$50,000,001 - \$100,000,000	BG = \$100,000,001 - \$500,000,000
11. Value Method Code	BH = \$500,001 - \$1,000,000	BI = \$1,000,001 - \$5,000,000	BJ = \$5,000,001 - \$10,000,000	BK = \$10,000,001 - \$50,000,000	BL = \$50,000,001 - \$100,000,000	BM = \$100,000,001 - \$500,000,000
12. Value Method Code	BN = \$500,001 - \$1,000,000	BO = \$1,000,001 - \$5,000,000	BP = \$5,000,001 - \$10,000,000	BQ = \$10,000,001 - \$50,000,000	BR = \$50,000,001 - \$100,000,000	BS = \$100,000,001 - \$500,000,000
13. Value Method Code	BT = \$500,001 - \$1,000,000	BU = \$1,000,001 - \$5,000,000	BV = \$5,000,001 - \$10,000,000	BW = \$10,000,001 - \$50,000,000	BX = \$50,000,001 - \$100,000,000	BY = \$100,000,001 - \$500,000,000
14. Value Method Code	BZ = \$500,001 - \$1,000,000	CA = \$1,000,001 - \$5,000,000	CB = \$5,000,001 - \$10,000,000	CC = \$10,000,001 - \$50,000,000	CD = \$50,000,001 - \$100,000,000	CE = \$100,000,001 - \$500,000,000
15. Value Method Code	CF = \$500,001 - \$1,000,000	CG = \$1,000,001 - \$5,000,000	CH = \$5,000,001 - \$10,000,000	CI = \$10,000,001 - \$50,000,000	CJ = \$50,000,001 - \$100,000,000	CK = \$100,000,001 - \$500,000,000
16. Value Method Code	CL = \$500,001 - \$1,000,000	CM = \$1,000,001 - \$5,000,000	CN = \$5,000,001 - \$10,000,000	CO = \$10,000,001 - \$50,000,000	CP = \$50,000,001 - \$100,000,000	CQ = \$100,000,001 - \$500,000,000
17. Value Method Code	CR = \$500,001 - \$1,000,000	CS = \$1,000,001 - \$5,000,000	CT = \$5,000,001 - \$10,000,000	CU = \$10,000,001 - \$50,000,000	CV = \$50,000,001 - \$100,000,000	CW = \$100,000,001 - \$500,000,000
18. Value Method Code	CX = \$500,001 - \$1,000,000	CY = \$1,000,001 - \$5,000,000	CZ = \$5,000,001 - \$10,000,000	DA = \$10,000,001 - \$50,000,000	DB = \$50,000,001 - \$100,000,000	DC = \$100,000,001 - \$500,000,000
19. Value Method Code	DD = \$500,001 - \$1,000,000	DE = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DG = \$10,000,001 - \$50,000,000	DH = \$50,000,001 - \$100,000,000	DI = \$100,000,001 - \$500,000,000
20. Value Method Code	DJ = \$500,001 - \$1,000,000	DK = \$1,000,001 - \$5,000,000	DL = \$5,000,001 - \$10,000,000	DM = \$10,000,001 - \$50,000,000	DN = \$50,000,001 - \$100,000,000	DO = \$100,000,001 - \$500,000,000
21. Value Method Code	DP = \$500,001 - \$1,000,000	DQ = \$1,000,001 - \$5,000,000	DR = \$5,000,001 - \$10,000,000	DS = \$10,000,001 - \$50,000,000	DT = \$50,000,001 - \$100,000,000	DU = \$100,000,001 - \$500,000,000
22. Value Method Code	DV = \$500,001 - \$1,000,000	DD = \$1,000,001 - \$5,000,000	DE = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
23. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
24. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
25. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
26. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
27. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
28. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
29. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
30. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
31. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
32. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
33. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
34. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
35. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
36. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
37. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
38. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
39. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
40. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
41. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
42. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
43. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
44. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
45. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
46. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
47. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
48. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
49. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
50. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
51. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
52. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
53. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
54. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
55. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
56. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
57. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
58. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
59. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
60. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
61. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
62. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
63. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
64. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
65. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$10,000,001 - \$50,000,000	DF = \$50,000,001 - \$100,000,000	DF = \$100,000,001 - \$500,000,000
66. Value Method Code	DF = \$500,001 - \$1,000,000	DF = \$1,000,001 - \$5,000,000	DF = \$5,000,001 - \$10,000,000	DF = \$1		

FINANCIAL DISCLOSURE REPORT

Page 6 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or other)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redempting)	Date (mm/dd/yy)	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of Investor(s) (if private transaction)
35. Tamarack Inv. Fds Prime	A	Dividend	N	T					
36. Abbott Laboratories	A	Dividend	J	T					
37. Cisco Systems		None	J	T					
38. Citigroup, Inc.	A	Dividend	J	T					
39. Computer Task Group Inc.		None	K	T					
40. DuPont E I Nemours & Co	A	Dividend	J	T					
41. DWS Value Ser Inc. Drexler	A	Dividend			Sold	1/21/09	K	A	
42. Eastman Kodak		None	J	T					
43. Ebay Inc		None	K	T					
44. Fidelity Adv New Insight C		None	K	T	Buy	1/21/09	K		
45. Fidelity Adv Growth & Inc Fund C		None			Sold	1/21/09	K	A	
46. Home Depot Inc.	A	Dividend	J	T					
47. HSBC Holdings PLC	B	Dividend	J	T					
48. JP Morgan US Large Cap Core Plus C	A	Dividend	J	T					
49. KeyCorp New	A	Dividend	J	T					
50. Kinetics Mut Fnds Inc.	A	Dividend	J	T					
51. Liberty Global Inc. A		None	J	T					

1. Income Gain Codes

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C3)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$21,000,001 - \$50,000,000

Q = Appraised

T = Trust, Value

B = \$1,001 - \$2,500

G = \$120,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assignment

W = Estimated

D = \$5,001 - \$15,000

D2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 7 of 11

Name of Person Reporting

Areara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children, see pp. 44-46 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "N/A" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or mt.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date month/day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-E)	(5) Identity of investor, seller, or private transaction
52. Liberty Global Inc. C		None	J	T					
53. Liberty Media Interactive A		None	J	T					
54. Liberty Media Hold - Cap Ser A		None	J	T					
55. M & T Bank Corp	B	Dividend	K	T					
56. Paychex Inc	A	Dividend	J	T					
57. Procter & Gamble	A	Dividend	J	T					
58. Prudential Finl Inc	B	Interest	K	T					
59. Royce Fund - PA		None	K	T					
60. Tenet Healthcare Corp		None	J	T					
61. Time Warner Inc.	A	Dividend	J	T					
62. Valero Energy Corp.	A	Dividend			Sold	12/24/09	J	A	
63. AipineTotal Dynamic Div Fund	B	Dividend	K	T					
64. Blackrock Intl Growth & Income Fund	B	Dividend	K	T					
65. Camero Corp	A	Dividend	J	T					
66. Eaton Vance Tax-Managed Global	C	Dividend	K	T					
67. Templeton Growth C Franklin	A	Dividend	K	T	Buy	1/23/09	K		
68. Blackrock Enhanced Govt	A	Dividend	J	T					

1. Income Code Codes (See Column B1 and D4)	A = \$0.000 or less B = \$50,001 - \$100,000 C = \$100,001 - \$150,000 D = \$150,001 - \$200,000 E = \$200,001 - \$250,000 F = \$250,001 - \$300,000 G = \$300,001 - \$350,000 H = \$350,001 - \$400,000 I = \$400,001 - \$450,000 J = \$450,001 - \$500,000 K = \$500,001 - \$550,000 L = \$550,001 - \$600,000 M = \$600,001 - \$650,000 N = \$650,001 - \$700,000 O = \$700,001 - \$750,000 P = \$750,001 - \$800,000 Q = \$800,001 - \$850,000 R = \$850,001 - \$900,000 S = \$900,001 - \$950,000 T = \$950,001 - \$1,000,000 U = \$1,000,001 - \$1,050,000 V = \$1,050,001 - \$1,100,000 W = \$1,100,001 - \$1,150,000 X = \$1,150,001 - \$1,200,000 Y = \$1,200,001 - \$1,250,000 Z = \$1,250,001 - \$1,300,000 AA = \$1,300,001 - \$1,350,000 AB = \$1,350,001 - \$1,400,000 AC = \$1,400,001 - \$1,450,000 AD = \$1,450,001 - \$1,500,000 AE = \$1,500,001 - \$1,550,000 AF = \$1,550,001 - \$1,600,000 AG = \$1,600,001 - \$1,650,000 AH = \$1,650,001 - \$1,700,000 AI = \$1,700,001 - \$1,750,000 AJ = \$1,750,001 - \$1,800,000 AK = \$1,800,001 - \$1,850,000 AL = \$1,850,001 - \$1,900,000 AM = \$1,900,001 - \$1,950,000 AN = \$1,950,001 - \$2,000,000 AO = \$2,000,001 - \$2,050,000 AP = \$2,050,001 - \$2,100,000 AQ = \$2,100,001 - \$2,150,000 AR = \$2,150,001 - \$2,200,000 AS = \$2,200,001 - \$2,250,000 AT = \$2,250,001 - \$2,300,000 AU = \$2,300,001 - \$2,350,000 AV = \$2,350,001 - \$2,400,000 AW = \$2,400,001 - \$2,450,000 AX = \$2,450,001 - \$2,500,000 AY = \$2,500,001 - \$2,550,000 AZ = \$2,550,001 - \$2,600,000 BA = \$2,600,001 - \$2,650,000 BB = \$2,650,001 - \$2,700,000 BC = \$2,700,001 - \$2,750,000 BD = \$2,750,001 - \$2,800,000 BE = \$2,800,001 - \$2,850,000 BF = \$2,850,001 - \$2,900,000 BG = \$2,900,001 - \$2,950,000 BH = \$2,950,001 - \$3,000,000 BI = \$3,000,001 - \$3,050,000 BJ = \$3,050,001 - \$3,100,000 BK = \$3,100,001 - \$3,150,000 BL = \$3,150,001 - \$3,200,000 BM = \$3,200,001 - \$3,250,000 BN = \$3,250,001 - \$3,300,000 BO = \$3,300,001 - \$3,350,000 BP = \$3,350,001 - \$3,400,000 BQ = \$3,400,001 - \$3,450,000 BR = \$3,450,001 - \$3,500,000 BS = \$3,500,001 - \$3,550,000 BT = \$3,550,001 - \$3,600,000 BU = \$3,600,001 - \$3,650,000 BV = \$3,650,001 - \$3,700,000 BW = \$3,700,001 - \$3,750,000 BX = \$3,750,001 - \$3,800,000 BY = \$3,800,001 - \$3,850,000 BZ = \$3,850,001 - \$3,900,000 CA = \$3,900,001 - \$3,950,000 CB = \$3,950,001 - \$4,000,000 CC = \$4,000,001 - \$4,050,000 CD = \$4,050,001 - \$4,100,000 CE = \$4,100,001 - \$4,150,000 CF = \$4,150,001 - \$4,200,000 CG = \$4,200,001 - \$4,250,000 CH = \$4,250,001 - \$4,300,000 CI = \$4,300,001 - \$4,350,000 CJ = \$4,350,001 - \$4,400,000 CK = \$4,400,001 - \$4,450,000 CL = \$4,450,001 - \$4,500,000 CM = \$4,500,001 - \$4,550,000 CN = \$4,550,001 - \$4,600,000 CO = \$4,600,001 - \$4,650,000 CP = \$4,650,001 - \$4,700,000 CQ = \$4,700,001 - \$4,750,000 CR = \$4,750,001 - \$4,800,000 CS = \$4,800,001 - \$4,850,000 CT = \$4,850,001 - \$4,900,000 CU = \$4,900,001 - \$4,950,000 CV = \$4,950,001 - \$5,000,000 CW = \$5,000,001 - \$5,050,000 CX = \$5,050,001 - \$5,100,000 CY = \$5,100,001 - \$5,150,000 CZ = \$5,150,001 - \$5,200,000 DA = \$5,200,001 - \$5,250,000 DB = \$5,250,001 - \$5,300,000 DC = \$5,300,001 - \$5,350,000 DD = \$5,350,001 - \$5,400,000 DE = \$5,400,001 - \$5,450,000 DF = \$5,450,001 - \$5,500,000 DG = \$5,500,001 - \$5,550,000 DH = \$5,550,001 - \$5,600,000 DI = \$5,600,001 - \$5,650,000 DJ = \$5,650,001 - \$5,700,000 DK = \$5,700,001 - \$5,750,000 DL = \$5,750,001 - \$5,800,000 DM = \$5,800,001 - \$5,850,000 DN = \$5,850,001 - \$5,900,000 DO = \$5,900,001 - \$5,950,000 DP = \$5,950,001 - \$6,000,000 DQ = \$6,000,001 - \$6,050,000 DR = \$6,050,001 - \$6,100,000 DS = \$6,100,001 - \$6,150,000 DT = \$6,150,001 - \$6,200,000 DU = \$6,200,001 - \$6,250,000 DV = \$6,250,001 - \$6,300,000 DW = \$6,300,001 - \$6,350,000 DX = \$6,350,001 - \$6,400,000 DY = \$6,400,001 - \$6,450,000 DZ = \$6,450,001 - \$6,500,000 EA = \$6,500,001 - \$6,550,000 EB = \$6,550,001 - \$6,600,000 EC = \$6,600,001 - \$6,650,000 ED = \$6,650,001 - \$6,700,000 EE = \$6,700,001 - \$6,750,000 EF = \$6,750,001 - \$6,800,000 EG = \$6,800,001 - \$6,850,000 EH = \$6,850,001 - \$6,900,000 EI = \$6,900,001 - \$6,950,000 EJ = \$6,950,001 - \$7,000,000 EK = \$7,000,001 - \$7,050,000 EL = \$7,050,001 - \$7,100,000 EM = \$7,100,001 - \$7,150,000 EN = \$7,150,001 - \$7,200,000 EO = \$7,200,001 - \$7,250,000 EP = \$7,250,001 - \$7,300,000 EQ = \$7,300,001 - \$7,350,000 ER = \$7,350,001 - \$7,400,000 ES = \$7,400,001 - \$7,450,000 ET = \$7,450,001 - \$7,500,000 EU = \$7,500,001 - \$7,550,000 EV = \$7,550,001 - \$7,600,000 EW = \$7,600,001 - \$7,650,000 EX = \$7,650,001 - \$7,700,000 EY = \$7,700,001 - \$7,750,000 EZ = \$7,750,001 - \$7,800,000 FA = \$7,800,001 - \$7,850,000 FB = \$7,850,001 - \$7,900,000 FC = \$7,900,001 - \$7,950,000 FD = \$7,950,001 - \$8,000,000 FE = \$8,000,001 - \$8,050,000 FF = \$8,050,001 - \$8,100,000 FG = \$8,100,001 - \$8,150,000 FH = \$8,150,001 - \$8,200,000 FI = \$8,200,001 - \$8,250,000 FJ = \$8,250,001 - \$8,300,000 FK = \$8,300,001 - \$8,350,000 FL = \$8,350,001 - \$8,400,000 FM = \$8,400,001 - \$8,450,000 FN = \$8,450,001 - \$8,500,000 FO = \$8,500,001 - \$8,550,000 FP = \$8,550,001 - \$8,600,000 FQ = \$8,600,001 - \$8,650,000 FR = \$8,650,001 - \$8,700,000 FS = \$8,700,001 - \$8,750,000 FT = \$8,750,001 - \$8,800,000 FU = \$8,800,001 - \$8,850,000 FV = \$8,850,001 - \$8,900,000 FW = \$8,900,001 - \$8,950,000 FX = \$8,950,001 - \$9,000,000 FY = \$9,000,001 - \$9,050,000 FZ = \$9,050,001 - \$9,100,000 GA = \$9,100,001 - \$9,150,000 GB = \$9,150,001 - \$9,200,000 GC = \$9,200,001 - \$9,250,000 GD = \$9,250,001 - \$9,300,000 GE = \$9,300,001 - \$9,350,000 GF = \$9,350,001 - \$9,400,000 GG = \$9,400,001 - \$9,450,000 GH = \$9,450,001 - \$9,500,000 GI = \$9,500,001 - \$9,550,000 GJ = \$9,550,001 - \$9,600,000 GK = \$9,600,001 - \$9,650,000 GL = \$9,650,001 - \$9,700,000 GM = \$9,700,001 - \$9,750,000 GN = \$9,750,001 - \$9,800,000 GO = \$9,800,001 - \$9,850,000 GP = \$9,850,001 - \$9,900,000 GQ = \$9,900,001 - \$9,950,000 GR = \$9,950,001 - \$10,000,000 GS = \$10,000,001 - \$10,050,000 GT = \$10,050,001 - \$10,100,000 GU = \$10,100,001 - \$10,150,000 GV = \$10,150,001 - \$10,200,000 GW = \$10,200,001 - \$10,250,000 GX = \$10,250,001 - \$10,300,000 GY = \$10,300,001 - \$10,350,000 GZ = \$10,350,001 - \$10,400,000 HA = \$10,400,001 - \$10,450,000 HB = \$10,450,001 - \$10,500,000 HC = \$10,500,001 - \$10,550,000 HD = \$10,550,001 - \$10,600,000 HE = \$10,600,001 - \$10,650,000 HF = \$10,650,001 - \$10,700,000 HG = \$10,700,001 - \$10,750,000 HH = \$10,750,001 - \$10,800,000 HI = \$10,800,001 - \$10,850,000 HJ = \$10,850,001 - \$10,900,000 HK = \$10,900,001 - \$10,950,000 HL = \$10,950,001 - \$11,000,000 HM = \$11,000,001 - \$11,050,000 HN = \$11,050,001 - \$11,100,000 HO = \$11,100,001 - \$11,150,000 HP = \$11,150,001 - \$11,200,000 HQ = \$11,200,001 - \$11,250,000 HR = \$11,250,001 - \$11,300,000 HS = \$11,300,001 - \$11,350,000 HT = \$11,350,001 - \$11,400,000 HU = \$11,400,001 - \$11,450,000 HV = \$11,450,001 - \$11,500,000 HW = \$11,500,001 - \$11,550,000 HX = \$11,550,001 - \$11,600,000 HY = \$11,600,001 - \$11,650,000 HZ = \$11,650,001 - \$11,700,000 IA = \$11,700,001 - \$11,750,000 IB = \$11,750,001 - \$11,800,000 IC = \$11,800,001 - \$11,850,000 ID = \$11,850,001 - \$11,900,000 IE = \$11,900,001 - \$11,950,000 IF = \$11,950,001 - \$12,000,000 IG = \$12,000,001 - \$12,050,000 IH = \$12,050,001 - \$12,100,000 II = \$12,100,001 - \$12,150,000 IJ = \$12,150,001 - \$12,200,000 IK = \$12,200,001 - \$12,250,000 IL = \$12,250,001 - \$12,300,000 IM = \$12,300,001 - \$12,350,000 IN = \$12,350,001 - \$12,400,000 IO = \$12,400,001 - \$12,450,000 IP = \$12,450,001 - \$12,500,000 IQ = \$12,500,001 - \$12,550,000 IR = \$12,550,001 - \$12,600,000 IS = \$12,600,001 - \$12,650,000 IT = \$12,650,001 - \$12,700,000 IU = \$12,700,001 - \$12,750,000 IV = \$12,750,001 - \$12,800,000 IW = \$12,800,001 - \$12,850,000 IX = \$12,850,001 - \$12,900,000 IY = \$12,900,001 - \$12,950,000 IZ = \$12,950,001 - \$13,000,000 JA = \$13,000,001 - \$13,050,000 JB = \$13,050,001 - \$13,100,000 JC = \$13,100,001 - \$13,150,000 JD = \$13,150,001 - \$13,200,000 JE = \$13,200,001 - \$13,250,000 JF = \$13,250,001 - \$13,300,000 JG = \$13,300,001 - \$13,350,000 JH = \$13,350,001 - \$13,400,000 JI = \$13,400,001 - \$13,450,000 JJ = \$13,450,001 - \$13,500,000 JK = \$13,500,001 - \$13,550,000 JL = \$13,550,001 - \$13,600,000 JM = \$13,600,001 - \$13,650,000 JN = \$13,650,001 - \$13,700,000 JO = \$13,700,001 - \$13,750,000 JP = \$13,750,001 - \$13,800,000 JQ = \$13,800,001 - \$13,850,000 JR = \$13,850,001 - \$13,900,000 JS = \$13,900,001 - \$13,950,000 JT = \$13,950,001 - \$14,000,000 JU = \$14,000,001 - \$14,050,000 JV = \$14,050,001 - \$14,100,000 JW = \$14,100,001 - \$14,150,000 JX = \$14,150,001 - \$14,200,000 JY = \$14,200,001 - \$14,250,000 JZ = \$14,250,001 - \$14,300,000 KA = \$14,300,001 - \$14,350,000 KB = \$14,350,001 - \$14,400,000 KC = \$14,400,001 - \$14,450,000 KD = \$14,450,001 - \$14,500,000 KE = \$14,500,001 - \$14,550,000 KF = \$14,550,001 - \$14,600,000 KG = \$14,600,001 - \$14,650,000 KH = \$14,650,001 - \$14,700,000 KI = \$14,700,001 - \$14,750,000 KJ = \$14,750,001 - \$14,800,000 KK = \$14,800,001 - \$14,850,000 KL = \$14,850,001 - \$14,900,000 KM = \$14,900,001 - \$14,950,000 KN = \$14,950,001 - \$15,000,000 KO = \$15,000,001 - \$15,050,000 KP = \$15,050,001 - \$15,100,000 KQ = \$15,100,001 - \$15,150,000 KR = \$15,150,001 - \$15,200,000 KS = \$15,200,001 - \$15,250,000 KT = \$15,250,001 - \$15,300,000 KU = \$15,300,001 - \$15,350,000 KV = \$15,350,001 - \$15,400,000 KW = \$15,400,001 - \$15,450,000 KX = \$15,450,001 - \$15,500,000 KY = \$15,500,001 - \$15,550,000 KZ = \$15,550,001 - \$15,600,000 LA = \$15,600,001 - \$15,650,000 LB = \$15,650,001 - \$15,700,000 LC = \$15,700,001 - \$15,750,000 LD = \$15,750,001 - \$15,800,000 LE = \$15,800,001 - \$15,850,000 LF = \$15,850,001 - \$15,900,000 LG = \$15,900,001 - \$15,950,000 LH = \$15,950,001 - \$16,000,000 LI = \$16,000,001 - \$16,050,000 LJ = \$16,050,001 - \$16,100,000 LK = \$16,100,001 - \$16,150,000 LL = \$16,150,001 - \$16,200,000 LM = \$16,200,001 - \$16,250,000 LN = \$16,250,001 - \$16,300,000 LO = \$16,300,001 - \$16,350,000 LP = \$16,350,001 - \$16,400,000 LQ = \$16,400,001 - \$16,450,000 LR = \$16,450,001 - \$16,500,000 LS = \$16,500,001 - \$16,550,000 LT = \$16,550,001 - \$16,600,000 LU = \$16,600,001 - \$16,650,000 LV = \$16,650,001 - \$16,700,000 LW = \$16,700,001 - \$16,750,000 LX = \$16,750,001 - \$16,800,000 LY = \$16,800,001 - \$16,850,000 LZ = \$16,850,001 - \$16,900,000 MA = \$16,900,001 - \$16,950,000 MB = \$16,950,001 - \$17,000,000 MC = \$17,000,001 - \$17,050,000 MD = \$17,050,001 - \$17,100,000 ME = \$17,100,001 - \$17,150,000 MF = \$17,150,001 - \$17,200,000 MG = \$17,200,001 - \$17,250,000 MH = \$17,250,001 - \$17,300,000 MI = \$17,300,001 - \$17,350,000 MJ = \$17,350,001 - \$17,400,000 MK = \$17,400,001 - \$17,450,000 ML = \$17,450,001 - \$17,500,000 MM = \$17,500,001 - \$17,550,000 MN = \$17,550,001 - \$17,600,000 MO = \$17,600,001 - \$17,650,000 MP = \$17,650,001 - \$17,700,000 MQ = \$17,700,001 - \$17,750,000 MR = \$17,750,001 - \$17,800,000 MS = \$17,800,001 - \$17,850,000 MT = \$17,850,001 - \$17,900,000 MU = \$17,900,001 - \$17,950,000 MV = \$17,950,001 - \$18,000,000 MW = \$18,000,001 - \$18,050,000 MX = \$18,050,001 - \$18,100,000 MY = \$18,100,001 - \$18,150,000 MZ = \$18,150,001 - \$18,200,000 NA = \$18,200,001 - \$18,250,000 NB = \$18,250,001 - \$18,300,000 NC = \$18,300,001 - \$18,350,000 ND = \$18,350,001 - \$18,400,000 NE = \$18,400,001 - \$18,450,000 NF = \$18,450,001 - \$18,500,000 NG = \$18,500,001 - \$18,550,000 NH = \$18,550,001 - \$18,600,000 NI = \$18,600,001 - \$18,650,000 NJ = \$18,650,001 - \$18,700,000 NK = \$18,700,001 - \$18,750,000 NL = \$18,750,001 - \$18,800,000 NM = \$18,800,001 - \$18,850,000 NN = \$18,850,001 - \$18,900,000 NO = \$18,900,001 - \$18,950,000 NP = \$18,950,001 - \$19,000,000 NQ = \$19,000,001 - \$19,050,000 NR = \$19,050,001 - \$19,100,000 NS = \$19,100,001 - \$19,150,000 NT = \$19,150,001 - \$19,200,000 NU = \$19,200,001 - \$19,250,000 NV = \$19,250,001 - \$19,300,000 NW = \$19,300,001 - \$19,350,000 NX = \$19,350,001 - \$19,400,000 NY = \$19,400,001 - \$19,450,000 NZ = \$19,450,001 - \$19,500,000 OA = \$19,500,001 - \$19,550,000 OB = \$19,550,001 - \$19,600,000 OC = \$19,600,001 - \$19,650,000 OD = \$19,650,001 - \$19,700,000 OE = \$19,700,001 - \$19,750,000 OF = \$19,750,001 - \$19,800,000 OG = \$19,800,001 - \$19,850,000 OH = \$19,850,001 - \$19,900,000 OI = \$19,900,001 - \$19,950,000 OJ = \$19,950,001 - \$20,000,000 OK = \$20,000,001 - \$20,050,000 OL = \$20,050,001 - \$20,100,000 OM = \$20,100,001
--	---

FINANCIAL DISCLOSURE REPORT

Page 8 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 31-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "NY" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., Dividend, or net)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69 General Mtrs Accept Corp	A	Interest			Sold	7/18/09	J	A	
70 GMAC Smartnotes	A	Interest			Sold	12/15/09	J	A	
71 GMNA REMIC 2003-110-PC	A	Interest	J	T					
72 Goldman Sachs Group, Inc.	B	Interest	K	T					
73 FHLMC REMIC2864-A4 Lottery Bond	A	Interest	J	T					
74 FNMA REMIC 03-54-KB	A	Interest			Sold	10/26/09	J	A	
75 Residential Asset Sec 20	A	Interest	J	T					
76 FHLMC REMIC 3024-CD	A	Interest	J	T					
77 FHLMC REMIC 3067-CD	A	Interest			Sold	10/15/09	J	A	
78 Chase Mortgage FIN Tr 20	B	Interest	K	T					
79 CSMC Mig-Bkd Pass Thru	B	Interest	K	T					
80 Bank of America FDG Corp	A	Interest	J	T					
81 Countrywide Capital V	B	Interest	J	T					
82 Keycorp Capital IX	B	Interest	K	T					
83 CBFCs for JC Penney Co	B	Dividend	J	T					
84 Ivy Asset Strategy C		None	K	T					
85 Wells Fargo Mortgage Backed	B	Interest	J	T					

1. Income Code Codes

(See Columns B and D4)

A = \$1,000 or less

F = \$5,000.01 - \$100,000

B = \$1,000.01 - \$2,500

G = \$2,500.01 - \$5,000.00

C = \$2,500.01 - \$5,000

H1 = \$5,000.001 - \$5,000,000

D = \$5,000.01 - \$15,000

H2 = More than \$5,000,000

E = \$15,000.01 - \$50,000

2. Value Codes

(See Columns C and D3)

J = \$15,000 or less

N = \$250,000.01 - \$500,000

K = \$15,000.01 - \$50,000

Q = \$500,000.01 - \$1,000,000

L = \$50,000.01 - \$100,000

P1 = \$1,000,000.01 - \$5,000,000

M = \$100,000.01 - \$250,000

P2 = \$5,000,000.01 - \$25,000,000

3. Value Method Codes

(See Column C2)

Q = Appraisal

U = Book Value

R = Cost (for 2 Share Only)

V = Other

S = Assessment

W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 9 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 31-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date (mm/dd/yy)	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if present transaction)
86 Alternative Loan Trust (X)		None			Sold	12/28/09	J	A	
87 Direct TV Class A	A	Interest	J	T	Buy	1/21/09	J		
88 DWS Strategic Fund		None	K	T	Buy	1/21/09	K		
89 J Morgan MTG Trust	A	Interest	K	T	Buy	4/16/09	K		
90 United States National Gas Fund		None	J	T	Buy	5/22/09	J		

1. Income Code:

(See Columns B1 and B2)

A = \$1,000 or less

B = \$1,001 - \$100,000

C = \$1,001 - \$2,500

D = \$1,001 - \$1,000,000

E = \$2,501 - \$5,000

F = \$1,000,001 - \$5,000,000

G = \$5,001 - \$10,000

H = \$10,001 - \$50,000

I = \$50,001 - \$100,000

J = \$100,001 - \$500,000

2. Value Code:

(See Columns C1 and C2)

K = \$15,000 or less

L = \$15,001 - \$500,000

M = \$15,001 - \$50,000

N = \$50,001 - \$1,000,000

O = \$15,001 - \$50,000

P = \$50,001 - \$1,000,000

Q = \$25,000,001 - \$50,000,000

R = \$50,000,001 - \$1,000,000,000

S = \$1,000,001 - \$5,000,000

T = \$5,000,001 - \$25,000,000

3. Value Method Code:

(See Column C3)

U = Appraisal

V = Broker Value

W = Cost (Real Estate Only)

X = Other

Y = Assessment

Z = Estimated

AA = Code Missing

FINANCIAL DISCLOSURE REPORT
Page 10 of 11

Name of Person Reporting	Date of Report
Areara, Richard J.	5/12/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 11 of 11

Name of Person Reporting

Arcara, Richard J.

Date of Report

5/12/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544