

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) ROBERTS, RICHARD W	2. Court or Organization U.S. DISTRICT COURT , D.D.C.	3. Date of Report 07/19/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. DISTRICT JUDGE, ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination. Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period ● 1/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. DISTRICT COURT 333 CONSTITUTION AVENUE, N.W. WASHINGTON, D.C. 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. MEMBER, BOARD OF TRUSTEES	VASSAR COLLEGE
2. POWER OF ATTORNEY	WACHOVIA ACCOUNTS 1+2
3. CO-PERSONAL REPRESENTATIVE	ESTATE #2
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. VASSAR COLLEGE	JANUARY 7, FEBRUARY 9-11, MAY 11-14, 27-28, OCTOBER 27-29, POUGHKEEPSIE, NY AND NEW YORK, N.Y. MTGS. OF BD. OF TRUSTEES AND
2. VASSAR COLLEGE (Cont'd)	PRESIDENTIAL INAUGURATION (TRANSPORTATION, MEALS AND LODGING PROVIDED)
3. HARVARD LAW SCHOOL	SEPTEMBER 25-29; CAMBRIDGE, MA GUEST FACULTY FOR WORKSHOP (TRANSPORTATION, SOME MEALS, OTHER MEALS & LODGING PROVIDED)
4. NEW YORK INTELLECTUAL PROPERTY LAWYERS ASSN.	MARCH 24-25; NEW YORK, N.Y.: ANNUAL DINNER HONORING FEDERAL JUDICIARY. (TRANSPORTATION, MEALS AND LODGING PROVIDED)

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

5. ASPEN INSTITUTE

MARCH 31-APRIL 2: WYE, MD. INTERNATIONAL HUMAN RIGHTS SEMINAR.
(TRANSPORTATION, MEALS AND LODGING PROVIDED)

6. DC BAR

JUNE 13: WASHINGTON D.C. CLE LECTURER . (PARKING)

7.

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.	JAMES DEGRAFFENREIDT	FOUR TICKETS TO GAME AT FEDEX FIELD	\$ 700.00
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. JUSTICE FEDERAL CREDIT UNION ACCOUNTS	D	Interest	M	T	NONE				
2. CHASE MANHATTAN BANK ACCOUNTS	A	Interest			CLOSED	08/30	K		
3. CITIBANK ACCOUNTS	D	Interest	O	T	NONE				
4. DELHAZE AMERICA COMMON STOCK	A	Dividend	J	T	NONE				
5. SMALL CLAIMS JUDGEMENT AGAINST JOHN BOWEN		None	J	T	NONE				
6. SUNTRUST BANK (IRA) (2CDS)	A	Interest	J	T	NONE				
7. SMITH BARNEY (IRA) (TREASURY COUPON BOND)	A	None	K	T	NONE				
8. PRUDENTIAL RETIREMENT KEOGH: THORNBURG INTL FUND.	D	Interest	K	T	NONE				
9. PRUDENTIAL RETIREMENT KEOGH: AMERICAN FNDG GROWTH FND OF AME	B	Interest	K	T	NONE				
10. PRUDENTIAL RETIREMENT KEOGH: FIDELITY CONTRAFUND	D	Interest	M	T	NONE				
11. PRUDENTIAL RETIREMENT KEOGH: GUAR INCOME FUND	B	Interest	L	T	NONE				
12. PRUDENTIAL RETIREMENT KEOGH: DAVIS NY VENTURE FND	D	Interest	M	T	NONE				
13. PRUDENTIAL RETIREMENT : INV. SMALL CAP	B	Interest	K	T	NONE				
14. PRUDENTIAL RETIREMENT: 401(K) (IRA) DAVIS NY VENTURES FND	D	Interest	L	T	NONE				
15. PRUDENTIAL RETIREMENT: 401(K) (IRA) FIDELITY CONTRAFUND	D	Interest	L	T	NONE				
16. PRUDENTIAL RETIREMENT: 401(K) (IRA): GROWTH INV SM COMP	B	Interest	K	T	NONE				

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

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17. PRUDENTIAL RETIREMENT: 401(K) (IRA): PIMCO	A	None	K	T	NONE				
18. CHARLES SCHWAB: (SPRINT AND EMBARQ STOCK)	A	Dividend	M	T	NONE				
19. [REDACTED] 401(K) (FIDELITY)	D	Dividend	M	T	NONE				
20. FEDERATED CAPITAL RESERVES	A	Dividend	K	T	NONE				
21. WACHOVIA ACCOUNT	A	Interest			CLOSED	01/21	J		
22. PRUDENTIAL RETIREMENT: 401(K) (IRA) THORNBURG INTL FND	B	Interest	J	T	NONE				
23. FIDELITY INVESTMENTS: (SPRINT AND EMBARQ STOCK)	A	Dividend	J	T	NONE				
24. VCSP COLLEGE AMERICA	E	Dividend	M	T	NONE				
25. NUVEEN PFD STOCK	A	Dividend	J	T	NONE				
26. WACHOVIA ACCOUNT #1 (POA)	A	Interest	K	W	NONE				
27. WACHOVIA ACCOUNT #2 (POA)	A	Interest	K	W	NONE				
28. ESTATE #2. PROPERTY #1 WASHINGTON, D.C.		None	N	W					
29. ESTATE #2. PROPERTY #2 COLUMBIA, SC		None	K	W					
30. ESTATE #2. COPYRIGHT	A	Royalty	J	W					
31. ESTATE #2. PHOTO NEGATIVES		None	K	W					
32. [REDACTED] EMPLOYEE STOCK OPTIONS		None	N	W	GRANT	02/08	J		
33. [REDACTED] EMBARQ RSTD STOCK		None	O	W	GRANT	02/08	J		

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

VII. INVESTMENTS AND TRUST:

#8 FORMERLY TEMP. FOREIGN FND
#9 FORMERLY AMER. CENTURY
#12 FORMERLY FIDELITY GROWTH & INCOME
#14 FORMERLY FIDELITY GROWTH & INCOME
#20 FORMERLY ALLIANCE BERNSTEIN
#22 FORMERLY TEMPLETON FOR.

#8-17, 22. PRUDENTIAL RETIREMENT IS FORMERLY KNOWN AS CIGNA R&I SERVICES.

#32. THIS INVESTMENT REFLECTS STOCK OPTIONS THAT WERE GRANTED IN FEBRUARY 2006 AND THE TOTAL ESTIMATED VALUE OF ALL STOCK OPTIONS OWNED AS OF DECEMBER 31, 2006.

#33. THIS INVESTMENT REFLECTS RESTRICTED STOCK THAT WAS GRANTED IN FEBRUARY AND JULY 2006 AND THE TOTAL ESTIMATED VALUE OF ALL RESTRICTED STOCK (NOT OTHERWISE REPORTED HERE IN) OWNED AS OF DECEMBER 31, 2006.

#18,23,33. IN CONNECTION WITH THE SPIN-OFF OF EMBARQ CORPORATION FROM SPRINT NEXTEL CORPORATION EFFECTIVE MAY 17, 2006, RESTRICTED SHARES OF EMBARQ COMMON STOCK ("RESTRICTED STOCK") AND EMBARQ RESTRICTED STOCK UNITS (RSU's) WERE DISTRIBUTED OR ISSUED TO [REDACTED] AT THE TIME OF THE SPIN-OFF. [REDACTED] HELD [REDACTED] OR RSU's AT THE CLOSE OF BUSINESS ON MAY 8, 2006, THE RECORD DATE FOR THE SPIN-OFF.

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting

ROBERTS, RICHARD W

Date of Report

07/19/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

7/19/07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544