

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) COFFEY, JOHN L.	2. Court or Organization 7th Circuit Court of Appeals	3. Date of Report 04/30/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Senior Status	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address Federal Building - Courthouse Room 619 Milwaukee, WI 53202	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. 1954, 1978	Milwaukee County Pension Plan, City of Milwaukee Pension Plan, State of Wisconsin Employee Pension Plan
2. and 1982	Explanation: Reporter is a participant in 3 government sponsored pension plans as a result of his employment as Milwaukee City Attorney, Milwaukee County
3. --	Circuit Court Judge and Wisconsin Supreme Court Justice.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)*

A. Filer's Non-Investment Income

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Judicial Retirees & Surv. - U.S. Courts; Milwaukee County, City of Milwaukee, State of Wisconsin Pensions	\$263,617.00
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.*

(Dollar amount not required except for honoraria.)

☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Self-Employed artist-painter
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.*

(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)

☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*

☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*

☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. TRUST									
2. ADVISORS INNER CIRCLE SMIDCAP FUND	A	Dividend	K	T					
3. ALLIANCE BERNSTEIN INTL GROWTH	A	Dividend	K	T					
4. BADGER TOB ASST SECUTZN	A	Dividend	K	T	Buy	06/05	L		
5. BAIRD SHORT TERM BD FUND	A	Interest	J	T	Buy	09/03	J		
6. BERKSHIRE HATHAWAY CL. B		None	L	T					
7. BRELY DJ USB COMTY ETN		None	J	T	Buy	09/22	J		
8. CAMPBELLSPORT WI. SCH. DIST.	A	Interest			Sold	03/01	K	A	
9. CGM TR. FOCUS FD		None			Sold	09/22	J	A	
10. CHILTON WI SDS	B	Interest	K	T					
11. DFA INVT DIMENSIONS SM CAP	B	Dividend	K	T					
12. EXXON MOBIL	A	Dividend			Sold	09/22	L	F	
13. FEDERATED SERS INC.	A	Interest	J	T	Buy	09/03	J		
14. FL ST. BRD ED. PUB. ED. REF.	C	Interest	L	T					
15. FMI FDS LARGE CAP	C	Dividend	M	T					
16. FORUM FUNDS LIBERTY ST. HORIZON		None	J	T	Buy	10/15	J		
17. GAINSVILLE FL UTILS REV A	B	Interest	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3. Value Method Codes (See Column C2)					

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☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. GENERAL ELECTRIC	C	Dividend	L	T					
19. HARBOR INTL FD	B	Dividend	L	T					
20. INDIANAPOLIS LOC. PUB. SERIES A	C	Interest	L	T					
21. IVY FDS ASSET STRATEGY		None	K	T	Buy	09/22	K		
22. JUDSON, TX, SCHRFDG	B	Interest	K	T					
23. KEELY SM CAP VALUE CLASS A	B	Dividend	K	U					
24. LOOMIS SAYLES FDS BOND FD	A	Interest			Sold	09/03	J	A	
25. MUNDER SER TR MID CAP	A	Dividend	K	T					
26. NEENAH, WI PROM NOTES	B	Interest	K	T					
27. OHIO ST. HIGH ED. SERIES B	B	Interest	L	T					
28. OPPENHEIMER INTL BD FD	A	Interest	K	T	Buy	09/22	K		
29. PIMCO FDS UNCONSTR. BOND FD	A	Dividend	K	T					
30. PIMCO DEV LOC MRKT	A	Interest	J	T	Buy	09/22	J		
31. PUERTO RICO ELEC. AUTH. REV. RR	B	Interest	L	T					
32. ROWE T. PRICE CORP.	A	Dividend			Sold	09/03	J	B	
33. R.S. INV TR VALUE FD CLASS A	A	Dividend	K	T					
34. SPDR INDX FDS S&P BRIC	A	Dividend	J	T	Buy	09/22	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. STRATTON FUNDS SM CAP VALUE	A	Dividend	K	T					
36. 3M CO	B	Dividend	K	T					
37. THIRD AVE TR REAL ESTATE VALUE	A	Interest	J	T	Buy	09/22	J		
38. VANGUARD FIXED INC. INTR TERM	A	Interest			Sold	09/03	J	A	
39. VANGUARD FIXED INC. LONG TERM INVEST.	A	Interest			Sold	03/11	J	B	
40. VANGUARD FIXED INC. PROT.	A	Interest	J	T	Buy	09/03	J		
41. WASH. ST. SERIES D	B	Interest	K	T					
42.									
43. REPORTER									
44. BAIRD FUNDS - INTER BD FD		None	K	T	Buy	11/23	K		
45. BARON SELECT FUND	A	Dividend			Sold	11/23	J	A	
46. BLDRS EMERGING MARKETS	A	Dividend	J	T					
47. CISCO		None			Sold	01/16	J	A	
48. COSTCO WHOLESALE	A	Dividend	J	T					
49. FISERV	A	Dividend	K	T					
50. GENERAL ELECTRIC	C	Dividend			Sold	01/16	J	A	
51. INTEL CORP.		None			Sold	01/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 I12 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
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52. ISHARES DOW JONES ETF	A	Dividend			Sold	01/28	J	A	
53. ISHARES INC BRAZIL FREE INDEX	A	Dividend	J	T					
54. ISHARES - MSCI BRAZIL INDEX		None	K	T	Buy	10/09	K		
55. ISHARES MSCI EMERGING MKTS		None	K	T	Buy	10/09	K		
56. JOHNSON & JOHNSON	A	Dividend	J	T					
57. M&I BANK		None			Sold	01/16	J	A	
58. MASS MUTUAL	B	Interest	L	T					
59. MATTHEWS CHINA FUND	A	Dividend	K	T	Buy	11/23	K		
60. MATTHEWS INDIA FUND	A	Dividend			Sold	01/28	J	A	
61. METAVANTE		None			Sold	01/16	J	A	
62. MITCHELL BANK HOLDING	A	Dividend	J	T					
63. NORTHWESTERN MUTUAL LIFE	B	Int./Div.	L	T					
64. OBERWEIS CHINA OPPT	B	Dividend	J	T					
65. PARK BANK	B	Int./Div.	K	T					
66. RIVERBED TECH I		None	J	T					
67. SPDR GOLD TR		None	K	T	Buy	11/23	K		
68. SPDR S&P BIOTECH ETF		None			Sold	11/24	J	A	

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3. Value Method Codes (See Column C3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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69. ST. JUDE MEDICAL	A	Dividend	K	T					
70. STERICYCLE	A	Dividend	K	T					
71. TESSERA TECHNOLOGIES		None	K	T	Buy	10/09	K		
72. US BANK	C	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544