

AO 10
Rev. 1/2010

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) ANDERSEN, WAYNE R.	2. Court or Organization U.S. DISTRICT COURT, NDIL	3. Date of Report 04/30/2010
4. Title (Article III judges indicate active or senior status, magistrate judges indicate full- or part-time) U.S. DISTRICT COURT JUDGE	5a. Report Type (check appropriate type) ☐ Nomination ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 219 South Dearborn Street Suite 1486 Chicago, Illinois 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Director	Rehabilitation Institute of Chicago
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1.	
2.	
3.	

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Andersen, Wayne R.

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, complete this section.*(Dollar amount not required except for honoraria.)*☐ **NONE** *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. Year 2009	Salary
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ **NONE** *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B Income during reporting period		C Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Checking account Glenview State Bank	A	Interest	J	T					
2. Checking account Chase Bank/formerly Bank One	A	Interest	J	T					
3. West Point Bank Checking Account	A	Interest	J	T					
4. IL Judges Retirement System Pension Vested	E	Distribution	K	T					
5. IL Judges Retirement System Pension Vested		None	J	T					
6. IL State Employees Retirement Vested	C	Distribution	J	T					
7. U.S. Savings Bond		None	L	T					
8. US Savings Bond		None	J	T					
9. Northwestern Mutual Life Policies		None	K	T					
10. Deferred compensation		None	M	T					
11. Abbott Labs	B	Dividend	K	T	Buy	08/28/09	K		
12. Adobe Systems Inc		None	J	T					
13. Adobe Systems Inc.		None			Sold	02/24/09	J		
14. Aercap Holdings NV SHS		None	J	T			J		
15. Aercap Holdings HV SHS		None	J	T			J		
16. Annaly Capital Management Inc. REIT	B	Dividend	K	T			K		
17. Apple Inc	D	Dividend	K	T			K		

1. Income Gain Codes
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$3,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000

S = Assessment
W = Estimated

D = \$5,001 - \$15,000
H2 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Archer Daniels Midland Conv	C	Dividend	K	T	Buy	04/07/09	K		
19. Artio Global High Income	A	Dividend	K	T	Buy	09/17/09	K		
20. AT&T Inc.		None	K	T			K		
21. Avon Products Inc.		None			Sold	05/05/09	J		
22. BP PLC Spon Adr	D	Dividend	K	T			K		
23. Bristol Myers Squibb Co.	C	Dividend	K	T			K		
24. Bristol Myers Squibb Co.	A	Dividend	J		Buy (add'l)	04/21/09	J		
25. Cinemark Holdings Inc.	C	Dividend	J	T	Buy	05/07/09	J		
26. Cinemark Holdings Inc.	A	Dividend	J	T	Buy (add'l)	11/23/09	J		
27. CME Group Inc.	A	Dividend	J	T					
28. CME Group Inc.		None	J	T					
29. EMC Corp Mass *	C	Dividend	J	T			J		
30. Family Dollar Stores		None	J	T	Buy	06/04/09	J		
31. Family Dollar Stores		None	J	T	Buy (add'l)	06/05/09	J		
32. Family Dollar Stores		None	J	T	Buy (add'l)	09/03/09	J		
33. Gilead Sciences Inc.	B	Dividend	K	T			K		
34. Illinois College Plan		None	M	T	Buy	05/22/09	M		

1 Income Gain Codes (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	E = \$15,001 - \$50,000
2 Value Codes (See Columns C1 and D3)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	
3 Value Method Codes (See Column C2)					

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Ishares Barclays Tips Bond Fund **		None			Sold	02/20/09	K		
36. Ishares Barclays Tips Bond Fund **	A	Dividend			Sold	02/20/09	J		
37. LaSalle Hotel Properties SBI		None	J	T	Buy	02/05/09	J		
38. McDonalds Corp.	D	Dividend	K	T					
39. Call Monsanto Co (OPTION)		None	J	T	Buy	06/24/09	J		
40. Call Monsanto Co. (OPTION)		None			Sold	06/16/09	J		
41. Call Monsanto Co (OPTION)		None	J	T	Buy	10/05/09	J		
42. Call Monsanto Co. (OPTION)		None			Sold	09/11/09	J		
43. Natl Bank Greece SA Spon ADR		None			Sold	07/20/09	J		
44. Procter & Gamble Co.		None			Sold	06/22/09	K		
45. Quesstar Corp		None			Sold	01/26/09	K		
46. Rosetta Stone		None	J	T	Buy	06/26/09	K		
47. SPDR Gold Trust (mutual fund)	A	Dividend			Sold	02/20/09	J	A	
48. SPDR Gold Trust (mutual fund)	B	Dividend			Buy	03/06/09	J		
49. SPDR Gold Trust (mutual fund)	B	Dividend			Sold	10/08/09	K	C	
50. Synantec		None			Sold	01/26/09	J		
51. Syngenta AG Spon ADR	C	Dividend			Sold	05/12/09	J	C	

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2. Value Codes (See Columns C1 and D3)	O = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other		T = Cash Market	
3. Value Method Codes (See Column C2)					

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52. TCW Total Return Bond Fund	A	Dividend	K	T	Buy	06/22/09	K		
53. Teva Pharmaceuticals IND LTD	B	Dividend	J	T	Buy	09/04/09	J		
54. United Technologies Corp.		None			Sold	07/16/09	K		
55. Verisk Analytics Inc. CL A	A	Dividend	J	T	Buy	10/26/09	J		
56. Verisk Analytics Inc. CL A	A	Dividend	J	T	Buy (add'l)	11/16/09	J		
57. Viacom Inc. MW	A	Dividend	J	T	Buy	05/13/09	J		
58. Wal Mart Stores Inc.		None			Sold	01/08/09	J		
59. Walt Disney Co. (Holding Co.) Disney.com	D	Dividend			Sold	04/23/09	J	D	
60. American Century 20th Mutual Funds [The Ultra Fund]	A	Dividend	L	T					
61. Janus Mutual Funds [The Overseas Fund]	A	Dividend	L	T					

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

USBS Financial Services, Inc. is the custodian of my IRA account. UBS Financial Services, Inc. and Smith Moore & Company invest cash on hand in accounts held in their name in money market funds, which we believe, they choose and manage.

* EMC was listed as sold in my 2008 Financial Disclosure, when in fact, it was a partial sale. I still own shares of this stock.

** Ishares Barclays Tips Bond Fund had been purchased in 2008, but I erroneously failed to report the purchase. The shares have been sold, as indicated on my 2009 Financial Disclosure.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature _____

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544