

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Moody, James M	2. Court or Organization USDC, Eastern District of AR	3. Date of Report 05/14/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address 600 West Capitol, Suite 381 Little Rock, AR 72201-3325	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Trustee	Trust, Part VII, Line 53
2. Trustee	Trust2, Part VII, Line 82
3.	
4.	
5.	

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Tutoring
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. One Bank	A	Interest	K	T					
2. Bank of America	A	Interest	J	T					
3. Regions Bank	A	Interest	J	T					
4. Telco Fed. Credit Union	B	Dividend	K	T					
5. A Very Special Tea Room		None							See Note 1, Part VIII
6. Regions Bank/Morgan Keegan (IRA)	D	Interest	L	T					See Note 4, Part VIII
7. Janus Global Tech Fnd	A	Interest	J	T					See Note 5, Part VIII
8. Vanguard Growth Index Fund (IRA)	A	Interest	L	T					
9. BH&M Oil Comm. Stock	A	Dividend	J	T					
10. Putnam Amer. Growth & Income Fnd	A	Dividend	J	T					
11. Amer. Funds AMCAP Fnd	C	Dividend	L	T					
12. Fidelity Eq. Aggr. Growth Fnd	A	Dividend	J	T					
13. MSDW Competitive Edge Fnd	A	Dividend	L	T					
14. ACCT 1-1 (Morgan Stanley)									
15. Morgan Stanley Com. Stock	A	Dividend	K	T					
16. Wal-Mart Stores Com. Stock	A	Dividend	K	T					
17. MS Spectrum Technical	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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18. MS Spectrum Currency	A	Interest	J	T					
19. US Gov MM Trst Fnds	A	Interest	J	T					
20. ACCT 1-2 (IRA) (Morgan Stanley)	D	Int./Div.	P1	T					See Note 2, Part VIII
21. - IShares Russell 2000 Value Fund					Sell Partial	1/3	K	A	
22.					Sell Partial	10/26	J	A	
23. - IShares Russell 2000 Growth					Sell Partial	10/26	K	A	
24.					Buy	1/3	K		
25. - IShares Russell 1000 Gr Index					Sell Partial	10/26	K	A	
26.					Buy	1/3	K		
27. - IShares Russell 1000 Value Index					Sell	1/3	M	E	
28. - IShares Russell Midcap V Index Fd					Sell	1/3	K	A	
29. - IShares Russell Midcap G Index Fd					Buy	1/3	M		
30.					Sell Partial	10/26	K	A	
31. - IShares S&P Midcap 400 Value					Sell Partial	1/3	M	F	
32.					Sell Partial	10/26	J	A	
33. - IShares S&P Midcap 400 Growth					Sell Partial	10/26	K	A	
34.					Buy	1/3	L		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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35. - IShares S&P 500 Barra Val Index					Sell	1/3	K	C	
36. - IShares S&P 500 Growth Index					Buy	1/3	L		
37.					Sell Partial	10/26	K	A	
38. - IShares MSCI Emerging Mkts Fd					Buy	12/26	K		
39. - IShares S&P Europe 250 Mkt Fnd					Buy	12/26	M		
40. - IShares MSCI Japan Index Fnd					Buy	12/26	L		
41. - American Century Ultra Inv					Buy	12/8	K		
42.					Transfer	12/14	K	A	Trnsfr to Acct 1-5, Ln 80
43. - Liquid Asset MM Fund					Transfer	12/18	K		Rcv fnds fm Ln 81 trnsactn
44. ACCT 1-3 (Sterne Agee)									
45. NLR AR School Dist. #1	B	Interest			Redemption	6/1	L	A	
46. LR AR School Dist. Ser. B	C	Interest	L	T					
47. Pul Cnty AR Chldrn's Hosp.	A	Interest	K	T					
48. Heber Springs School District	A	Interest	J	T					
49. Fed Home Loan Mtge Corp Med Term Note	C	Interest	L	T					
50. General Motors Corp Note	C	Interest	K	T					
51. Muni Cash Trust Fnds	A	Dividend	J	T					

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52. AR St Rfdg Water Waste Disp & Pollution Muni Debt	A	Interest	L	T	Buy	5/1	L		
53. ACCT 1-4 (Fam Trust) (Sterne Agee)	E	Int./Div.	O	T					
54. - AR Dev Fin Auth SFMR					Exchange	12/5	J	A	Exch for Hldg, Ln 59
55. - Greene Cnty AR Housing									
56. - Pul Cnty AR Hsp Revs Chldm's Hosp									
57. - Union Cnty AR Housing									
58. - AR Div Fin Auth SFM Ser B					Partial Rdmp	1/3	J	A	
59.					Exchange	12/5	J	A	Exch to Hldg, Ln 54
60. - Pul Cnty AR Hlth. Fac. Catholic									
61. - UA-Faytvl Var Fac									
62. - West Fork AR School Dist					Redemption	6/1	K	A	
63. - Jonesboro AR Res Housing									
64. - Drew Cty AR School Dist 5									
65. - Rogers AR School Dist									
66. - Brookland AR School Dist					Redemption	2/1	L	A	
67. - Springdale AR Sch Dist #50									
68. - Booneville AR School Dist									

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69. - Heber Springs AR School District									
70. - Springdale AR SD050									
71. - LR AR Hlth Fac Bd Baptist Med Center									
72. - Conway AR Pub Fac Bd Cap Impts Rev Ref Hendrix									
73. - Paragould AR Water & Sewer Rev B/E									
74. - AR St Rfdg Water Waste Disp & Poll C B/E					Buy	1/6	L		
75. - AR St Univ Rev Hsg Sys FGIC B/E					Buy	1/25	L		
76. - NLR Hlth Fac Bd Hlth Care RV Ref Bap Hlth B/E					Buy	8/8	K		
77. ACCT 1-5 (IRA) (Morgan Stanley)	A	Interest	J	T					
78. - MS Liquid Asset MM Fnds									
79. -Activision, Inc.									
80. - American Century Ultra Inv					Transfer	12/14	K		Rcvd frm Acct 1-2, Ln 42
81.					Sell	12/18	K	A	
82. ACCT 1-6 (Trust2)									
83. -- Van Buren Cnty, AR		None	L	W					
84. - ACCT 1-6.a (Trust2) (EDI Fin Inc)	E	Int./Div.	M	T					
85. -- First Southwest Prime MM Fnds									

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86. - ABN AMRO Holding NV									
87. - AT&T									
88. - AKZO Nobrl NV									
89. - Albertsons, Inc.					Sell	4/28	J	A	
90. - Alcoa, Inc.									
91. - BP Amoco									
92. - BT Group					Sell	5/2	J		
93. - Banco Bilbao Vizcaya									
94. - Banco Santander Cen									
95.					Buy	3/13	J		
96. - Bank of America Corp (formerly MBNA Corp hldg)					Merger	1/3	J	A	See Ln 136
97. - Baxter Int. Inc.									
98. - Bayerische									
99. - Berkshire Hathaway, Inc.									
100. - Brasil Telecom					Sell	1/30	J	A	
101. - Bristol Myers Squibb Co.									
102. - CBS Corp					Buy	1/6	J		

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2. Value Codes (See Columns C1 and D3)					
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103.					Sell	3/13	J	A	
104. - CIT Group, Inc.									
105. - Chubb Corp.									
106. - Clear Channel Communications					Sell	5/2	J	A	
107. - Coca-Cola Co.									
108. - Costco									
109. - Deutsche Telekom					Sell	9/18	J	A	
110. - Walt Disney Co.					Sell Partial	11/22	J	A	
111. - Dow Chemical Co.									
112.					Buy	2/13	J		
113. - DuPont E I DeNemours & Co.					Sell	3/10	J	A	
114. - Federated Dept. Stores									
115. - First Data Corp.					Sell	11/21	J	A	See Note 3, Part VIII
116. - Fiserv, Inc.									
117. - General Motors Corp.									
118. - GlaxoSmithKline									
119. - Hitachi Limited					Sell	3/20	J	A	

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120. - IBM Corp.									
121.					Buy	6/13	K		
122. - ING Groep									
123. - Intel Corp.					Buy	2/22	K		
124. - International Paper Co.									
125. - J. Sainsbury									
126. - J.P. Morgan Chase & Co.									
127. - Johnson & Johnson, Inc.									
128. - Jones Apparel Group, Inc.									
129. - Kimberly-Clark Corp.									
130. - Koninklijke									
131. - Kraft Foods, Inc.					Sell	2/28	L	A	
132. - Lafarge S.A.									
133. - Lexmark Int. Group									
134.					Buy	5/5	K		
135. - Limited Brands, Inc.									
136. - MBNA (now BAC)					Merger	1/3	J		See Ln 96

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
137. - MGIC Investment Corp.									
138. - Markel Corp.									
139. - Matsushita Elec. Ind.									
140. - McDonalds Corp.					Sell Partial	11/17	J	A	
141. - Merck & Co., Inc.					Sell	7/19	J	A	
142. - Microsoft Corp.									
143. - Micron Technology, Inc.					Sell	2/7	K	A	
144. - Neenah Paper, Inc.									
145. - PNC Bank									
146. - Petroleo Brasileiro SA									
147. - Pfizer, Inc.									
148. - Portugal Telecom					Sell	9/15	J	A	
149. - Public Service Ent. Group, Inc.									
150. - Repsol SA									
151. - Scottish Hydro Elec. (formerly Scottish Power)					Merger	5/18	J	A	
152. - Scottish Hydro Elec.					Sell	9/11	J	A	
153. - Sumitomo Mitsui									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
154. - TXU Corp.									
155. - Taiwan Semiconductor Mfg.									
156. - Telecentro Oeste Celular (now Vivo Participacoes SA)					Merger	4/5	J		See Ln 168
157. - Telecom Italia					Sell	9/7	J	A	
158. - Telebras					Sell	8/25	J	A	
159. - Telefonica de Espana SA									
160. - Telefonos de Mexico									
161. - Teleleste Celular (now Vivo Participacoes SA)					Merger	4/5	J		See Ln 168
162. - Telesp Celular (now Vivo Participacoes SA)					Merger	4/5	J		See Ln 168
163. - Tim Participacoes									
164. - UST, Inc.					Sell	3/20	J	A	
165. - Unilever NV									
166. - Unisys Corp.					Sell	9/11	J	A	
167. - Viacom, Inc.					Sell	3/13	J	A	
168. - Vivo Participacoes SA					Merger	4/5	J	A	See Lns 156, 161, 162
169.					Buy	9/19	J		
170. - Walgreen Co.					Sell	4/6	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	T = Cash Market
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated		

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
171. - Washington Mutual, Inc.									
172. - Wells Fargo & Co.									
173. - Western Union					Buy	10/19	J		
174. - Willis Group Holdings, Ltd.					Sell	4/24	J	A	
175. - Calamos Growth CL C									
176.					Buy	11/16	J		
177. - Davis NY Venture CL C									
178.					Buy	12/1	J		
179. ACCT 2-1 (MS)									
180. - Money Trust MM Fnds	A	Interest	J	T					
181. - Chevron Texaco	A	Dividend	J	T					
182. - Intel	A	Dividend			Sell	11/3	J	A	
183. - First Horizon National Corp.	A	Dividend	K	T					
184. - SunTrust Banks	A	Dividend	J	T					
185. - General Electric Co.	A	Dividend	K	T					
186. - Pfizer, Inc.	A	Dividend	J	T					
187. - Duke Energy Corp	A	Dividend			Exchange	4/3	J		Exch to hldg, Ln

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
									188
188. - Duke Energy Holding Co	A	Dividend	J	T	Exchange	4/3	J	A	Exch for hldg, Ln 187
189. - Sotheby's Holding, Inc.		None	J	T					
190. - Spectra Energy Corp	A	Dividend	J	T	Buy	12/20	J		
191. ACCT 2-2 (IRA) (MS)	D	Dividend	M	T					
192. - Liquid Asset MM funds									
193. - Fedex Corp.									
194. - Intel					Sell Partial	11/3	J	B	
195. - General Electric									
196. - Qualcomm, Inc.									
197. - Wal-Mart Stores	A	Dividend			Sell	11/3	J	A	
198. - Yahoo, Inc.									
199. - Healthways, Inc. (formerly Amer. Healthways)					Name Change	2/2			
200. - MS Flexible Income Trust B									
201. - Activision Inc					Buy				
202. ACCT 2-3 (Trust) (MS)	D	Int./Div.	M	T					See Note 4, Part VIII
203. - Active Assets MM Trust									

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
204. - Cisco					Sell Partial	11/14	J	D	
205. - Dell Computer									
206. - General Electric									
207. - Microsoft									
208. - Carrier I									
209. - Morgan Stanley									
210. - Dominion Res.					Sell	4/19	J	B	
211. - Lyondell Chemical									
212. - Brazos Cnty TX Health Facs.									
213. - Harris Cnty TX Health Facs.									
214. - Harris Cnty TX Gen Ob					Redeem	10/2	J	A	
215. - Tarrant Cnty TX Health Resources Tacs.									
216. - MS Tax Exempt Sec									
217. ACCT 2-4 (IRA) (MS)	E	Int./Div.	N	T					
218. - Liquid Asset Fund									
219. - IShares Russell 2000 Value					Sell Partial	1/3	J	C	
220.					Sell	5/19	J	C	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Moody, James M

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05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
221. - IShares Russell 2000 Growth									
222.					Buy	1/3	J		
223.					Sell	5/19	K	D	
224. - IShares Russell 1000 Growth									
225.					Buy	1/3	J		
226.					Sell	5/19	K	C	
227. - IShares Russell 1000 Value					Sell	5/19	K	D	
228. - IShares Russell Midcap Value					Sell	1/3	J	A	
229. - Ishares S&P Midcap 400 Value					Sell	1/3	L	E	
230. - IShares S&P Midcap 400 Growth									
231.					Buy	1/3	K		
232.					Sell	5/19	K	C	
233. - IShares S&P 500 Barra Value					Sell	1/3	J	B	
234. - IShares Russell Midcap G In Fnd					Buy	1/3	K		
235.					Sell	5/19	K	A	
236. - IShares S&P 500 Gr Ind Fnd					Buy	1/3	K		
237.					Sell	5/19	K	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

HI = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
238. - San Diego Cnty CA Pension Ob Ser					Buy	12/11	M		
239. - Vernon CA Elec Sys Rev Ser					Buy	12/11	L		
240. - Nebraska Pub Pwr Dist Rev-A					Buy	12/11	L		
241. - MEAG PJ One					Buy	12/11	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Note 1: Part VII, Line 5 -- No assets; retain public rights only.

Note 2: Part VII, Line 20 -- CY 2005 Report at Line 20, Col. C(1) erroneously shown as "L" should have been "O".

Note 3: Part VII, Line 115 -- CY 2005 Report at Line 107, Col. D(1) erroneously shown as "sell;" should have been "partial sell."

Note 4: Part VII, Line 6 -- CY 2005 Report at Line 6 erroneously shown as part of asset on Line 7; should have been shown as separate asset; same values as CY 2006.

Note 5: Part VII, Line 7 -- CY 2005 Report at Line 7 erroneously shown as part of asset on Line 6; should have been shown as a separate asset.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Moody, James M

Date of Report

05/14/2007

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

May 14, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544