

FINANCIAL DISCLOSURE REPORT

Calendar Year 2003

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (Last name, First name, Middle initial) MCCONNELL, MICHAEL W	2. Court or Organization UNITED STATES COURT OF APPEALS	3. Date of Report 6/21/2004
4. Title (Article III Judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. COURT OF APPEALS - FULL	5. Report Type (check appropriate type) ☐ Nomination, Date ☒ Initial ☐ Annual ☐ Final	6. Reporting Period 1/1/2003 to 3/31/2004
7. Chambers or Office Address FEDERAL BUILDING, SUITE 5402 125 SOUTH STATE STREET SALT LAKE CITY, UT 84138	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part
where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions)

☐ NONE - (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Partner

Mayer, Brown, Rowe & Maw (Please see the additional comments in Section VIII)

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions)

☒ NONE - (No reportable agreements.)

DATE

PARTIES AND TERMS

1.

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III. NON-INVESTMENT INCOME. (Reporting individual and spouse, see pp. 17-24 of filing instructions)**A. Filer's Non-Investment Income**☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>GROSS INCOME</u> (yours, not spouse's)
1.	2003	ASPEN PUBLISHING CO., PUBLICATION ROYALTIES	2,355
2.	2003	YALE UNIVERSITY, PUBLICATION ROYALTIES	426
3.	2003	WRITERS RESEARCH, PUBLICATION ROYALTIES	153
4.	2003	DRAKE UNIVERSITY, TEACHING	29,000
5.	2002	UNIVERSITY OF UTAH, TEACHING (Please see the additional information regarding this income in Section VIII)	9408
6.	2002	MAYER, BROWN, ROWE & MAW LLP (Please see the additional information regarding this income in Section VIII)	96,194

B. Spouse's Non-Investment Income (If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria))☐ **NONE** - (No reportable non-investment income.)

	<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	2003	[REDACTED] TEACHING INCOME
2.	2003	[REDACTED] TEACHING INCOME

IV. REIMBURSEMENTS - transportation, lodging, food, entertainment

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ **NONE** - (No such reportable reimbursements.)

	<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	Columbia Law School	New York, NY, February 1-5, Lecture (Airfare, Lodging, Phone, Cabs, and Meals)
2.	Pepperdine University	Los Angeles, CA, March 8th, Lecture (Airfare, Lodging, Cab, and Meals)
3.	Drake University	Des Moines, IA, March 31st - April 4th, Course (Airfare, Meals, Lodging)
4.	Phi Kappa Phi Society	New Orleans, LA, April 26-27, Speech (Hotel, Taxi, Meals)

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IV. REIMBURSEMENTS - transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)

☐ NONE - (No such reportable reimbursements.)

SOURCE

DESCRIPTION

5. University of Utah	New Orleans, LA, April 24-25, Legal History Research (Airfare, Taxis, Meals, Hotels)
6. The Federalist Society	New York, NY, April 27-29, Academic Fellowship Meeting (Airfare, Hotel, Taxis, Meals)
7. Stanford Law School	Stanford, CA, May 2-3, Moot Court (Airfare, Meals, Taxi)
8. American College of Trial Lawyers	Park City, UT, September 12-13, Lecture (Hotel, Meal)
9. The Liberty Fund	Lexington, KY, September 18-21, Academic Conference (Airfare, Car, Parking)
10. The Federalist Society of Washington	Seattle, WA, December 11-12, Speech (Airfare, Tax, Lodging)

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V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of instructions.)

☒ NONE - (No such reportable gifts.)

SOURCE

DESCRIPTION

VALUE

1.

VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-34 of instructions.)

☒ NONE - (No reportable liabilities.)

CREDITOR

DESCRIPTION

VALUE CODE

1.

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A. Description of Assets (including trust assets)	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div. rent or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
☐ NONE (No reportable income, assets, or transactions)									
1. Washington Mutual (Bank Account) (X)	A	Interest	J	T					
2. Fidelity Investments IRA #1 (X)	A	Dividend	J	T					
3. -Spartan 500 Index Fund									
4. -Fidelity Cash Reserves Account									
5. Fidelity Investments IRA #2 (X)	A	Dividend	L	T					
6. -Fidelity Worldwide Fund									
7. -Fidelity Emerging Markets Fund									
8. -Fidelity Cash Reserves Account									
9. -Fidelity Blue Chip Growth Fund									
10. Fidelity Investments IRA #3 (X)	A	Dividend	M	T					
11. -Fidelity Magellan Fund									
12. TIAA CREF (X)	E	Dividend	O	T					
13. -TIAA Traditional Fund									
14. -CREF Stock Fund									
15. -CREF Equity Index Fund									
16. -CREF Global Equities Fund									
17. American Performance Cash Management Account (X)	A	Interest	L	T					
18. Dodge & Cox -Common Stock (X)	D	Dividend	M	T					

[illegible]

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	If not exempt from disclosure			
	Amount Code 1 (A-H)	Type (e.g. div. rent. or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g. buy, sell, transfer, redemption)	(2) Date: Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
19. Soudder Equity 500 Index Fund (X)	A	Dividend	J	T					
20. State Street Research Emerging (X)	C	Dividend	M	T					

Income from:	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
See Column 1 and 2	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
Income from:	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
See Column 1 and 2	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
Income from:	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
See Column 1 and 2	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
Income from:	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
See Column 1 and 2	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
Income from:	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011
See Column 1 and 2	01-01-2003	01-01-2004	01-01-2005	01-01-2006	01-01-2007	01-01-2008	01-01-2009	01-01-2010	01-01-2011

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

1) Part I, page 1, line 1 -Received final partnership K-1 for year ended November 30, 2003. Interest and position were terminated prior to appointment.

2) Part III, page 1, line 5 -\$6,361.92 of this income, though reported for tax purposes in 2003, was earned in 2002 prior to being appointed.

3) Part III, page 1, line 6 -Guaranteed payments. The partnership had a fiscal year end that caused this income, earned in 2002, to be reported on my 2003 tax return. Interest was terminated prior to appointment.

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K. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

8/25/04

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544