

**FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2011**

*Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)*

1. Person Reporting (last name, first, middle initial) Cummings, Samuel R.	2. Court or Organization U.S. District Court Northern District of Texas	3. Date of Report 04/17/2012
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2011 to 12/31/2011
7. Chambers or Office Address 1205 Texas Avenue Room C-210 Lubbock, Texas 79401		
IMPORTANT NOTES: <i>The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Insert signature on last page.</i>		

I. POSITIONS. *(Reporting individual only; see pp. 9-13 of filing instructions.)*

☒ NONE *(No reportable positions.)*

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

II. AGREEMENTS. *(Reporting individual only; see pp. 14-16 of filing instructions.)*

☒ NONE *(No reportable agreements.)*

	<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.		
2.		
3.		

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

	A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
		(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-I)	(5) Identity of buyer/seller (if private transaction)
1.	money market-savings - Wells Fargo Bank	B	Interest	M	T					
2.	CD - Wells Fargo Bank	F	Interest	P1	T					
3.	CD-IRA - Wells Fargo Bank	B	Interest	N	T					
4.	Oil & Gas Royalty & Working Interests:									
5.	--Dynegy (Targo) Midstream Service	B	Royalty	J	W					
6.	--Roy Furr Estate Operator	B	Royalty	J	W					
7.	Wells Fargo Brokerage Account:									
8.	--CD - Morgan Stanley Bank, Salt Lake City, UT	B	Interest			Redeemed	1/11/11	K		proceeds purchased bond
9.	--CD - 1st Advantage Bank, St. Peters, MO	B	Interest	L	T					
10.	--CD - Freedom Bank, Columbia Falls, MT	A	Interest			Redeemed	4/18/11	K		proceeds purchased bond
11.	--CD - Gulf Coast Bank & Trust, New Orleans, LA	B	Interest	K	T					
12.	--CD - First State Bank, Buxton, ND	B	Interest	L	T					
13.	--CD - Mason State Bank, Mason, MI	C	Interest	L	T					
14.	--CD - Morton Community Bank, Morton, IL	C	Interest	L	T					
15.	--CD - Citizens State Bank, Clayton, WI	B	Interest	K	T					
16.	--CD - National Republic Bank, Chicago, IL	B	Interest	L	T					
17.	--CD - Bank of North Carolina, Thomasville, NC	B	Interest	K	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500
G = \$100,001 - \$1,000,000
K = \$15,001 - \$50,000
O = \$500,001 - \$1,000,000
R = Cost (Real Estate Only)
V = Other

C = \$2,501 - \$5,000
I11 = \$1,000,001 - \$5,000,000
L = \$50,001 - \$100,000
P1 = \$1,000,001 - \$5,000,000
P4 = More than \$50,000,000
S = Assessment
W = Estimated

D = \$5,001 - \$15,000
I12 = More than \$5,000,000
M = \$100,001 - \$250,000
P2 = \$5,000,001 - \$25,000,000
T = Cash Market

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

3. Value Method Codes
(See Column C2)

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. --CD - Provincial Financial Bank, Clayton, MO	B	Interest	K	T					
19. --CD - National Republic Bank, Chicago, IL	A	Interest	J	T					
20. --CD - Roundbank, Waseca, MN	C	Interest	M	T					
21. --Bond - Eagle Mountain-Saginaw TX School District	B	Interest	L	T					
22. --Bond - Travis Co. TX	B	Interest	L	T					
23. --Bond - Pflugerville TX ISD Building PSF	B	Interest	K	T					
24. --Bond - Duncanville TX ISD	B	Interest	L	T					
25. --Bond - Barbers Hill TX ISD	B	Interest	K	T					
26. --Bond - Ft. Worth TX CTF oblig.	B	Interest	L	T					
27. --Bond - NW Harris County Municipal Utility District	C	Interest	L	T					
28. --Bond - Ft. Bend County Municipal Utility District	C	Interest	L	T					
29. --Bond - Donna TX ISD School Building PSF	B	Interest	L	T					
30. --Bond - Pharr San Juan Alamo TX ISD	B	Interest	L	T					
31. --Bond - Dallas TX ISD Building Fund	B	Interest	K	T					
32. --Bond - University of TX Revs. Fin. Sys.	C	Interest	M	T					
33. --Bond - Waxahachie TX CTFS oblig.	B	Interest	L	T	Buy	1/21/11	L		see part VIII
34. --Bond - Lubbock TX ISD Sch Bldg Fund PSF	B	Interest	L	T	Buy	3/15/11	L		see part VIII

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3. Value Method Codes
(See Column C2)

B = \$1,001 - \$2,500
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M = \$100,001 - \$250,000
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35. --Burleson TX CTF oblig.	A	Interest	K	T	Buy	5/5/11	K		see part VIII
36. --McKinney TX Ind. Sch. Dis. g/o	A	Interest	L	T	Buy	9/6/11	L		see part VIII
37. Wells Fargo Retirement Brokerage Account:									
38. --CD - Bank of North Carolina, Thomasville, NC	B	Interest	M	T					
39. --CD - Discover Bank, Greenwood, DE	B	Interest	M	T					
40. --CD - First Bank Highland Park, Highland Park, IL	B	Interest	M	T					
41. --CD - Luana Savings Bank., Luana, IA	B	Interest	M	T					

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2. Value Codes
(See Columns C1 and D3)

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3. Value Method Codes
(See Column C2)

Q = Appraisal
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R = Cost (Real Estate Only)
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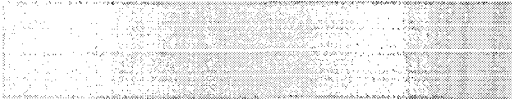
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

Part VII, p. 5, line 33.
Part VII, p. 5, line 34.
Part VII, p. 6, line 35.
Part VII, p. 6, line 36.



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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ **Samuel R. Cummings**

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILLFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
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