

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W	2. Court or Organization U. S. District, N.D. Illinois	3. Date of Report 05/12/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 219 S. Dearborn St. Chambers 1788 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

	<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1.		
2.		
3.		
4.		
5.		

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% (Cont. in Part VIII)
2.	General Partner: DP Partners (general passive investment partnership that owns condominium units in Philadelphia; [REDACTED] I own (Cont. in Part VIII)
3.	Bolar & Co., Evanston, IL (Passive investments with [REDACTED] in limited partnerships & securities (listed in Part VII). (Cont. in Part VIII)

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2004	Northwestern University School of Law; taught courses	\$ 4000.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2004	LCSW, Private Social Work Practice
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. American Bar Association, Section of Litigation	June 18-26, 2005, Quebec City, Canada, (Transportation, meals and room)
2. American Bar Association, Section of Litigation	November 3-6, 2005, Austin, Texas (Transportation, meals and room)
3.	
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒NONE *(No reportable gifts.)*SOURCEDESCRIPTIONVALUE

1.

2.

3.

4.

5.

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒NONE *(No reportable liabilities.)*CREDITORDESCRIPTIONVALUE CODE

1.

2.

3.

4.

5.

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Tenet Healthcare Corp	A	Dividend	J	T	sell	11/28	J	A	
19. Sacred Silks	A	Dividend	J	T	buy	1/15	J		
20. Eldorado Gold CP	A	Dividend	J	T	buy	9/14	J		
21. GMAC Bank CD	A	Interest	J	T	buy	12/21	J		
22. *Items 22 through 61 are Bolar investments.									
23. Bravo Restaurants, Inc.		None	K	W					
24. Naperville Washington Venture		None	M	U					
25. D'Ancona Citation Associates	C	Dividend	K	U					
26. Morgan Stanley Liq. Asset Fund	A	Dividend	J	T					
27. Morgan Stanley Active Asset Trust	A	Dividend	J	T					
28. Morgan Stanley Dev. Growth Fund	A	Dividend	J	T					
29. Morgan Stanley Div. Growth Fund	A	Dividend/Cap	J	T					
30. American Superconductor	A	Dividend	J	T	sell	1/27	J	A	
31. Microvision	A	Dividend	J	T	sell	4/25	J	A	
32. Occidental Petroleum	A	Dividend	J	T	sell	4/14	J	A	
33. Goodyear Tire & Rubber	A	Dividend	J	T	sell	3/22	J	A	
34. Barrick Gold Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐

NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Gold Corp	A	Dividend	J	T					
36. Stewart Enterprises A	A	Dividend	J	T	sell	3/21	J	A	
37. Cameco Corp	A	Dividend	J	T	sell	1/10	J	A	
38. Capstone Turbine Corp	A	Dividend	J	T	sell	4/14	J	A	
39. Crystallex Intl Corp	A	Dividend	J	T	sell	9/23	J	A	
40. Freescale Semi Inc CLA	A	Dividend	J	T	sell	10/25	J	A	
41. Headwaters Inc.	A	Dividend	J	T	sell	1/6	J	A	
42. Hornbeck Offshore Services, Inc.	A	Dividend	J	T	partial sell	8/8	J	A	
43. Isis Pharm Inc.	A	Dividend	J	T	sell	4/21	J	A	
44. Krispy Kreme Doughnuts Corp.	A	Dividend	J	T	sell	1/10	J	A	
45. Western Silver Corp.	A	Dividend	J	T					
46. Dynamic Materials Corp	A	Dividend	J	T	buy	12/8	J		
47. Giga Tronics	A	Dividend	J	T	buy	3/4	J		
48. Giga Tronics	A	Dividend	J	T	buy	5/13	J		
49. Glamis Gold Ltd	A	Distribution	J	T	buy	9/14	J		
50. HDFC Bank Ltd ADR	A	Dividend	J	T	buy	12/21	J		
51. Image Entertainment Inc New	A	Distribution	J	T	buy	8/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Morgan Stanley European Growth	A	Dividend	K	T					
70. Morgan Stanley Pacific Growth B	A	Dividend	J	T					
71. Apple	A	Dividend	J	T	sell	4/14	J	A	
72. Financing Corp CPN Strips Ser 1 INT PMT 10.000 2018	A	Dividend	K	T					
73. Lord Abbett	A	Dividend	K	T					
74. Los Angeles CO CA Pension OBLIG CAP APPREC_C IBC	E	Dividend	K	T					
75. Microvision Inc (Wash)	A	Dividend	J	T					
76. Putnam Health Science Trust	A	Dividend	J	T					
77. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend	J	T					
78. Tenn VY Auth 6.75% 6-1-28 SR D	A	Dividend	J	T					
79. Barrick Gold Corp	A	Dividend	J	T					
80. Gold Corp	A	Dividend	J	T	buy	6/23	J		
81. Newmont Mining Corp	A	Dividend	J	T	buy	6/23	J		
82. Placer Dome, Inc.	A	Dividend	J	T					
83. Bristol Myers Squibb Co.	A	Dividend	J	T					
84. Bunge Ltd.	A	Dividend	J	T	partial sell	12/15	J	A	
85. Bunge Ltd.	A	Dividend	J	T	sell	12/16	J	A	

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☐ NONE (No reportable income, assets, or transactions.)

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86. Coeur D. Alene Mns Cp	A	Dividend	J	T					
87. Glamis Gold Limited	A	Dividend	J	T	sell	4/14	J	A	
88. Halliburton Co. Hldg Co.	A	Dividend	J	T	sell	10/23	J	A	
89. NiSource, Inc.	A	Dividend	J	T	sell	11/4	J	A	
90. Sun Microsystems, Inc.	A	Dividend	J	T	buy	12/9	J		
91. SLM Corp Ser CPI/Floater	A	Dividend	J	T					
92. Tenet Healthcare Corp	A	Dividend	J	T	sell	10/24	J		
93. World Finl Network Natl Bank Gahanna Oh CD	A	Dividend							
94. Baker Hughes	A	Dividend	J	T					
95. BHP Billiton Ltd	A	Dividend	J	T	sell	5/13	J	A	
96. BJ Svcs Co	A	Dividend	J	T					
97. Echelon CP	A	Dividend	J	T	sell	1/25	J	A	
98. Electr Data Systems Corp New	A	Dividend	J	T					
99. Fed Natl Mtg Assn Set-Up 08/11/07 06.00	A	Dividend	J	T					
100. Fluor Corp New	A	Dividend	J	T	sell	3/29	J	A	
101. Fremont Investment & Loan Anaheim CA CD	A	Dividend	J	T	sell	2/18	J	A	
102. Global Santa Fe Corp	A	Dividend	J	T	sell	5/13	J	A	

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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Hornbeck Offshore Svcs Inc.	A	Dividend	J	T	partial sell	3/23	J	A	
104. Hornbeck Offshore Svcs Inc.	A	Distribution	J	T	sell	4/15	J	A	
105. Intl Bk for Recon & Dev	A	Dividend	J	T					
106. Matrix Capital Bank Denver, CO CD	A	Dividend	J	T	sell	4/20	J	A	
107. Merck & Co	A	Dividend	J	T	buy	3/1	J		
108. Novartis AG ADR	A	Dividend	J	T					
109. Raytheon Co (New)	A	Dividend	J	T					
110. Weatherford Intl Inc. Bermuda	A	Dividend	J	T	sell	10/20	J	A	
111. Western Silver Corp	A	Dividend	J	T					
112. XM Satellite Radio Hldg CL A	A	Dividend	J	T	partial sell	1/10	J	A	
113. XM Satellite Radio Hldg CL A	A	Dividend	J	T	sell	4/18	J	A	
114. Advanced Micro Devices	A	Dividend	J	T	buy	11/22	J		
115. Agilent Technologies	A	Distribution	J	T	buy	11/22	J		
116. Anglogold Ashanti Ltd	A	Dividend	J	T	buy	11/22	J		
117. Asa	A	Dividend	J	T	buy	9/14	J		
118. Cal Dive Intl Inc	A	Dividend	J	T	buy	11/22	J		
119. Diageo Plc Spon ADR New	A	Dividend	J	T	buy	12/6	J		

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Dundee Precious Metals, Inc.	A	Dividend	J	T	buy	12/6	J		
121. Freescale Semi Inc. CLA	A	Dividend	J	T	buy	2/15	J		
122. Freescale Semi Inc. CLA	A	Dividend	J	T	buy	3/1	J		
123. Genentech Inc.	A	Dividend	J	T	buy	6/13	J		
124. HDFC Bank Ltd.	A	Dividend	J	T	buy	12/21	J		
125. Intuitive Surgical Inc	A	Dividend	J	T	buy	11/22	J		
126. Meridian Gold Corp.	A	Dividend	J	T	buy	6/23	J		
127. Motorola Inc.	A	Dividend	J	T	buy	11/22	J		
128. Nortel Networks Corp New	A	Dividend	J	T	buy	3/30	J		
129. Tata Motors	A	Dividend	J	T	buy	12/21	J		
130. Trizen Han	A	Dividend	J	T	buy	3/2	J		
131. First Bank CD	A	Interest	J	T	buy	7/18	J		
132. Franklin Bank CD	A	Interest	J	T	buy	10/3	J		
133. GMAC Bank CD	A	Interest	J	T	buy	12/21	J		

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2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part II Agreements.

No. 1 : of one limited partnership interest).

No. 2: a 4.44% interest and have no role in management).

No. 3: We each own 50% of the partnership which owns passive limited partnership interest and a Morgan Stanley Active Assets account. Current value of Bolar & Co. is no more than \$250,000.

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



Date

May 12, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544



United States District Court
Northern District of Illinois
219 South Dearborn Street
Chicago, Illinois 60604

Chambers of
Robert W. Gettleman
Judge

September 6, 2006

(312) 435-5543

Hon. Ortrie D. Smith, Chair
Judicial Conference of the United States
Committee on Financial Disclosures
One Columbus Circle, N.E.
Washington, DC 20544

Re: Financial Disclosure Report for 2005

Dear Judge Smith:

In response to your letter of July 26, 2006, I am enclosing amended pages for the portions of Part VII mention in the your letter.

In Part VII, page 4, lines 6, 10, 12, 13, and 16, page 5, lines 18 and 30-33, page 6, lines 36-41, 43, and 44, page 8, lines 71 and 85, page 9, lines 87-89, 95, 97 and 100-102, and page 10, lines 104, 106, 110, and 113 have been changed to reflect that the entire asset has been disposed of I have left Column C blank.

In Part VII, page 4, line 8 please be advised that the value and value method codes have been changed to "J" and "T" respectively.

Schering Plough should have be listed on the 2005 Report as follows:

B(1): A, B(2): Dividend, D(1): sell, D(2): 12/1, D(3): J and D(4): A

Very truly yours,

United States District Judge

RWG:mg
Enclosures

RECEIVED
SEP 11 10 54 AM '06
FINANCIAL
DISCLOSURE OFFICE

AMENDED

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2005

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

AO 10
Rev. 1/2006

1. Person Reporting (last name, first, middle initial) Gettleman, Robert W	2. Court or Organization U. S. District, N.D. Illinois	3. Date of Report 05/12/2006
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U. S. District Judge (active)	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2005 to 12/31/2005
7. Chambers or Office Address 219 S. Dearborn St. Chambers 1788 Chicago, IL 60604	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☒ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

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II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. _____	General Partner: Bob Jo Investments (passive investment partnership with Joel Rubin in single real estate venture; we each own 50% (Cont. in Part VIII))
2. _____	General Partner: DP Partners (general passive investment partnership that owns condominium units in Philadelphia; [REDACTED] I own (Cont. in Part VIII))
3. _____	Bolar & Co., Evanston, IL (Passive investments with [REDACTED] in limited partnerships & securities (listed in Part VII). (Cont. in Part VIII))

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☒ NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-34 of instructions.)*☒ NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. D'Ancona 222 Associates (Limited Partnership)	A	Dividend	J	U					
2. KeyBonus Deferred Annuity	C	Interest	L	T					
3. Citibank (Chicago)	A	Interest	J	T					
4. Bob Jo Investments (Real Estate)		None	J	U					
5. Morgan Stanley Liq. Asset Fund	A	Interest	J	T					
6. Canadian So. Pet. (Common)	A	None			Escheated	10/14	J	A	
7. MS Natural Resources	A	Dividend	K	T					
8. DP Partners	A	Dividend			J	T			
9. Morgan Stanley Active Assets Money Trust	C	Interest	L	T					
10. Compania De Minas Buenaventura	A	Dividend			sell	12/21	J	A	
11. Ill. St. Civic CTR REF-SPL (Bond)	A	Interest	J	T			J	A	
12. American Superconductor	A	Dividend			sell	1/27	J	A	
13. Regional Trans Auth. Ill. (Bond)	A	Interest			redemption	6/1	J	A	
14. Illinois St. Gen. Oblig. (Bond)	A	Interest	J	T					
15. Ill. Health Facs. Auth. (Bond)	A	Interest	J	T					
16. Honeywell International Inc	A	Dividend			sell	10/24	J	A	
17. Newmont Mining	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Tenet Healthcare Corp	A	Dividend			sell	11/28	J	A	
19. Sacred Silks	A	Dividend	J	T	buy	1/15	J		
20. Eldorado Gold CP	A	Dividend	J	T	buy	9/14	J		
21. GMAC Bank CD	A	Interest	J	T	buy	12/21	J		
22. *Items 22 through 61 are Bolar investments.									
23. Bravo Restaurants, Inc.		None	K	W					
24. Naperville Washington Venture		None	M	U					
25. D'Ancona Citation Associates	C	Dividend	K	U					
26. Morgan Stanley Liq. Asset Fund	A	Dividend	J	T					
27. Morgan Stanley Active Asset Trust	A	Dividend	J	T					
28. Morgan Stanley Dev. Growth Fund	A	Dividend	J	T					
29. Morgan Stanley Div. Growth Fund	A	Dividend/Cap	J	T					
30. American Superconductor	A	Dividend			sell	1/27	J	A	
31. Microvision	A	Dividend			sell	4/25	J	A	
32. Occidental Petroleum	A	Dividend			sell	4/14	J	A	
33. Goodyear Tire & Rubber	A	Dividend			sell	3/22	J	A	
34. Barrick Gold Corp.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Gold Corp	A	Dividend	J	T					
36. Stewart Enterprises A	A	Dividend			sell	3/21	J	A	
37. Cameco Corp	A	Dividend			sell	1/10	J	A	
38. Capstone Turbine Corp	A	Dividend			sell	4/14	J	A	
39. Crystallex Intl Corp	A	Dividend			sell	9/23	J	A	
40. Freescale Semi Inc CLA	A	Dividend			sell	10/25	J	A	
41. Headwaters Inc.	A	Dividend			sell	1/6	J	A	
42. Hornbeck Offshore Services, Inc.	A	Dividend	J	T	partial sell	8/8	J	A	
43. Isis Pharm Inc.	A	Dividend			sell	4/21	J	A	
44. Krispy Kreme Doughnuts Corp.	A	Dividend			sell	1/10	J	A	
45. Western Silver Corp.	A	Dividend	J	T					
46. Dynamic Materials Corp	A	Dividend	J	T	buy	12/8	J		
47. Giga Tronics	A	Dividend	J	T	buy	3/4	J		
48. Giga Tronics	A	Dividend	J	T	buy	5/13	J		
49. Glamis Gold Ltd	A	Distribution	J	T	buy	9/14	J		
50. HDFC Bank Ltd ADR	A	Dividend	J	T	buy	12/21	J		
51. Image Entertainment Inc New	A	Distribution	J	T	buy	8/21	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code I (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code I (A-H)	(5) Identity of buyer/seller (if private transaction)
52. Image Entertainment Inc New	A	Dividend	J	T	buy	9/19	J		
53. Miramar Mining Corp	A	Dividend	J	T	buy	9/9	J		
54. Newmont Mining Corp	A	Dividend	J	T	buy	12/6	J		
55. Nortel Networks	A	Dividend	J	T	buy	10/25	J		
56. Placer Dome Inc	A	Dividend	J	T	buy	9/19	J		
57. Sun Microsystems, Inc.	A	Dividend	J	T	buy	10/6	J		
58. Supertex Inc.	A	Dividend	J	T	buy	7/22	J		
59. Tata Motors	A	Dividend	J	T	buy	12/27	J		
60. Unisyscorp	A	Dividend	J	T	buy	5/12	J		
61. 3Com Corp	A	Dividend	J	T	buy	7/22	J		
62. **Start of IRA American Mutual Fund	C	Dividend	K	T					
63. Amer. FDS Cap. Bldrs.	C	Dividend	K	T					
64. BICO Inc.	A	Dividend	J	T					
65. Growth Fund of Amer.	C	Dividend	K	T					
66. U.S. Treas. Bonds	A	Interest	L	T					
67. Morgan Stanley U.S. Govt. Sec.	C	Dividend	M	T					
68. Morgan Stanley Liq. Asset Fund	B	Dividend	K	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Morgan Stanley European Growth	A	Dividend	K	T					
70. Morgan Stanley Pacific Growth B	A	Dividend	J	T					
71. Apple	A	Dividend			sell	4/14	J	A	
72. Financing Corp CPN Strips Ser 1 INT PMT 10.000 2018	A	Dividend	K	T					
73. Lord Abbett	A	Dividend	K	T					
74. Los Angeles CO CA Pension OBLIG CAP APPREC_C IBC	E	Dividend	K	T					
75. Microvision Inc (Wash)	A	Dividend	J	T					
76. Putnam Health Science Trust	A	Dividend	J	T					
77. Sacramento Cnty Calif Go Pens FDG-A Taxable OY 7.53 BE	A	Dividend	J	T					
78. Tenn VY Auth 6.75% 6-1-28 SR D	A	Dividend	J	T					
79. Barrick Gold Corp	A	Dividend	J	T					
80. Gold Corp	A	Dividend	J	T	buy	6/23	J		
81. Newmont Mining Corp	A	Dividend	J	T	buy	6/23	J		
82. Placer Dome, Inc.	A	Dividend	J	T					
83. Bristol Myers Squibb Co.	A	Dividend	J	T					
84. Bunge Ltd.	A	Dividend	J	T	partial sell	12/15	J	A	
85. Bunge Ltd.	A	Dividend			sell	12/16	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
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Name of Person Reporting

Gettleman, Robert W

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05/12/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
86. Coeur D. Alene Mns Cp	A	Dividend	J	T					
87. Glamis Gold Limited	A	Dividend			sell	4/14	J	A	
88. Halliburton Co. Hldg Co.	A	Dividend			sell	10/23	J	A	
89. NiSource, Inc.	A	Dividend			sell	11/4	J	A	
90. Sun Microsystems, Inc.	A	Dividend	J	T	buy	12/9	J		
91. SLM Corp Ser CPI/Floater	A	Dividend	J	T					
92. Tenet Healthcare Corp	A	Dividend	J	T	sell	10/24	J		
93. World Finl Network Natl Bank Gahanna Oh CD	A	Dividend							
94. Baker Hughes	A	Dividend	J	T					
95. BHP Billiton Ltd	A	Dividend			sell	5/13	J	A	
96. BJ Svcs Co	A	Dividend	J	T					
97. Echelon CP	A	Dividend			sell	1/25	J	A	
98. Electr Data Systems Corp New	A	Dividend	J	T					
99. Fed Natl Mtg Assn Set-Up 08/11/07 06.00	A	Dividend	J	T					
100. Fluor Corp New	A	Dividend			sell	3/29	J	A	
101. Fremont Investment & Loan Anaheim CA CD	A	Dividend			sell	2/18	J	A	
102. Global Santa Fe Corp	A	Dividend			sell	5/13	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

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Name of Person Reporting

Gettleman, Robert W

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05/12/2006

VII. INVESTMENTS and TRUSTS -- income, value, transactions (includes those of the spouse and dependent children. See pp. 34-37 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
103. Hornbeck Offshore Svcs Inc.	A	Dividend	J	T	partial sell	3/23	J	A	
104. Hornbeck Offshore Svcs Inc.	A	Distribution			sell	4/15	J	A	
105. Intl Bk for Recon & Dev	A	Dividend	J	T					
106. Matrix Capital Bank Denver, CO CD.	A	Dividend			sell	4/20	J	A	
107. Merck & Co	A	Dividend	J	T	buy	3/1	J		
108. Novartis AG ADR	A	Dividend	J	T					
109. Raytheon Co (New)	A	Dividend	J	T					
110. Weatherford Intl Inc. Bermuda	A	Dividend			sell	10/20	J	A	
111. Western Silver Corp	A	Dividend	J	T					
112. XM Satellite Radio Hldg CL A	A	Dividend	J	T	partial sell	1/10	J	A	
113. XM Satellite Radio Hldg CL A	A	Dividend			sell	4/18	J	A	
114. Advanced Micro Devices	A	Dividend	J	T	buy	11/22	J		
115. Agilent Technologies	A	Distribution	J	T	buy	11/22	J		
116. AngloGold Ashanti Ltd	A	Dividend	J	T	buy	11/22	J		
117. Asa	A	Dividend	J	T	buy	9/14	J		
118. Cal Dive Intl Inc	A	Dividend	J	T	buy	11/22	J		
119. Diageo Plc Spon ADR New	A	Dividend	J	T	buy	12/6	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

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05/12/2006

VII. INVESTMENTS and TRUSTS – income, value, transactions (includes those of the spouse and dependent children. See pp. 34-57 of filing instructions)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, merger, redemption)	If not exempt from disclosure			
						(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
120. Dundee Precious Metals, Inc.	A	Dividend	J	T	buy	12/6	J		
121. Freescale Semi Inc. CLA	A	Dividend	J	T	buy	2/15	J		
122. Freescale Semi Inc. CLA	A	Dividend	J	T	buy	3/1	J		
123. Genentech Inc.	A	Dividend	J	T	buy	6/13	J		
124. HDFC Bank Ltd.	A	Dividend	J	T	buy	12/21	J		
125. Intuitive Surgical Inc	A	Dividend	J	T	buy	11/22	J		
126. Meridian Gold Corp.	A	Dividend	J	T	buy	6/23	J		
127. Motorola Inc.	A	Dividend	J	T	buy	11/22	J		
128. Nortel Networks Corp New	A	Dividend	J	T	buy	3/30	J		
129. Tata Motors	A	Dividend	J	T	buy	12/21	J		
130. Trizen Han	A	Dividend	J	T	buy	3/2	J		
131. First Bank CD	A	Interest	J	T	buy	7/18	J		
132. Franklin Bank CD	A	Interest	J	T	buy	10/3	J		
133. GMAC Bank CD	A	Interest	J	T	buy	12/21	J		
134. Schering Plough	A	Interest			sell	12/1	J	A	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part II Agreements.

No. 1 : of one limited partnership interest).

No. 2: a 4.44% interest and have no role in management).

No. 3: We each own 50% of the partnership which owns passive limited partnership interest and a Morgan Stanley Active Assets account. Current value of Bolar & Co. is no more than \$250,000.

FINANCIAL DISCLOSURE REPORT

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Name of Person Reporting

Gettleman, Robert W

Date of Report

05/12/2006

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

September 6, 2006

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544