

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kessler, Gladys	2. Court or Organization U.S. District Court	3. Date of Report 06/10/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination, ☐ Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, N.W. Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Director and Board Chairperson	Our Place, D.C. -- a 501(c)(3) organization
2.	
3.	
4.	
5.	

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DISCLOSURE OFFICE

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☐ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1. 2009	I am a member of the D.C. Judges' Retirement Fund and eligible for all benefits.
2. 2009	As a Senior Judge, I now receive a pension rather than a salary.
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	D.C. Government - Pension	\$125,775.48
2. 2009	Judicial Retirees & Survivors Admin Office of the US Courts - Pension	\$169,801.01
3. 2009	Thrift Savings Plan	\$5,860.03
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Prudential Insurance Company - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS -- *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
3.				
4.				
5.				

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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*☒ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*☒ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. _____	_____	_____
2. _____	_____	_____
3. _____	_____	_____
4. _____	_____	_____
5. _____	_____	_____

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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. ADP - common stock	B	Dividend	K	T					
2. Tyco - common stock	A	Dividend	J	T					
3. MMM - common stock	B	Dividend	L	T					
4. GE - common stock	B	Dividend	K	T					
5. Black & Decker - common stock	A	Dividend	J	T					
6. Vanguard High Yield Tax Exempt - mutual fund	E	Interest	N	T	Sold (part)	07/08/09	J	A	
7. Vanguard Tax Exempt Money Market Fund	A	Interest	K	T					
8. Sun Trust - Checking Account	A	Interest	J	T					
9. Wells Fargo Advantage Fund IRA - mutual fund (S)		None	K	T					
10. Vanguard 500 Index Fund	D	Dividend	N	T					
11. Vanguard Dividend Growth Fund (S)	C	Dividend	M	T					
12. Janus Twenty Fund		None	K	T					
13. Banc of America - Nuveen Dividend Advantage Muni Bond Fund	B	Interest	K	T					See note in part VIII
14. Washington Mutual - common stock (S)		None			Closed	01/01/09	J	A	See note in part VIII
15. Apache Corp - common stock (S)	B	Dividend	N	T					
16. General Electric - common stock (S)	B	Dividend	K	T					
17. Smith Midland - common stock (S)		None			Sold	07/14/09	K	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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Name of Person Reporting

Kessler, Gladys

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Quality Systems - common stock (S)	C	Dividend	M	T					
19. General Dynamics - common stock (S)	B	Dividend	L	T					
20. Banc of America - Eaton Vance Municipal Bonds	B	Int./Div.	K	T					See note in part VIII
21. Vanguard Health Care Fund	C	Dividend	M	T					
22. Banc of America - D.C. G.O. Bond	A	Interest			Sold	06/01/09	J	A	See note in part VIII
23. Banc of America - D.C. Revenue Bond	A	Interest			Sold	05/01/09	J	A	See note in part VIII
24. Allstate - common stock (S)	C	Dividend	L	T					
25. RPM International - common stock (S)	D	Dividend	M	T	Buy (add'l)	05/01/09	J		
26. Banc of America - 3M Company	A	Dividend	J	T					See note in part VIII
27. Banc of America - General Electric	A	Dividend	J	T					See note in part VIII
28. Banc of America - Eaton Vance Worldwide Health	A	Int./Div.	K	T					See note in part VIII
29. Wells Fargo Advantage Opportunity Fund (S)		None	K	T					
30. Bank of America - common stock (S)	A	Dividend	K	T					
31. Vanguard Mid-Cap Index Fund Admiral Shares	B	Dividend	M	T					
32. PNC Bank - Checking Account (S) (X)	A	Interest	K	T					
33. Pentair, Inc - common stock (S)	B	Dividend	L	T					
34. Broadridge Financial Solutions, Inc.	A	Dividend	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
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Name of Person Reporting
Kessler, Gladys

Date of Report
06/10/2010

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard Growth and Income Fund - (S)	A	Dividend	K	T					
36. Matthews China Fund - (S)	A	Dividend	L	T					
37. Covidiend Ltd - common stock	A	Dividend	J	T					
38. Vanguard IRA 500 Index Fund Admiral Shares	C	Dividend	M	T					
39. Vanguard IRA Mid-Cap Index Fund Admiral Shares	B	Dividend	M	T					
40. Sandy Spring Bancorp - (S)	A	Dividend	K	T					
41. Vanguard Long-Term Tax-Exempt Adm - (S)	D	Interest	N	T					
42. Dow Chemical Company - common stock (S)	A	Dividend	K	T					
43. Bank of America	A	Interest	J	T					

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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Kessler, Gladys

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Additional notes on Part VII: lines 13, 20, 22, 23, 26, 27, 28 are all holdings in the same brokerage account.

Line 14: The Washington Mutual stock has become worthless and has no value.

Line 43 relates to interest earned on a mortgage account held at Bank of America.

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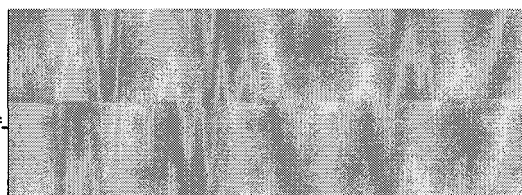
IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

June 10, 2010

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544



United States District Court for the District of Columbia
Washington, D.C. 20001

Chambers of
Gladys Kessler
Judge

August 13, 2010

Honorable Bobby R. Baldock, Chair
Judicial Conference of the United States
Committee on Financial Disclosure
One Columbus Circle, N.E.
Washington, D.C. 20544

Dear Judge Baldock:

I have received your letter of August 2, 2010, regarding my calendar year 2009 Financial Disclosure Report. In response, I am filing a corrected Report.

You specifically raised a question about Part VII, p. 6, line 43. That amount of interest was mistakenly listed on my Report. It is interest earned from my personal mortgage account with Bank of America, and my accountant indicates that it should not have been listed on the Financial Disclosure Report.

You also specifically raised a question about Part VII, p. 8, line 67 regarding my [REDACTED] asset with Ferris, Baker & Watts. That account was closed on January 1, 2009, and that is indicated in my amended Report.

I believe, and hope, that all your questions are now answered.

Sincerely



Gladys Kessler
United States District Judge

GK/cal
Enclosure

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Disclosure Office

FINANCIAL DISCLOSURE REPORT
FOR CALENDAR YEAR 2009Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Kessler, Gladys	2. Court or Organization U.S. District Court	3. Date of Report 8/11/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Judge, Senior	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☒ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address U.S. District Court 333 Constitution Avenue, N.W. Washington, DC 20001	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)☐ NONE (No reportable positions.)POSITIONNAME OF ORGANIZATION/ENTITY

1. Director and Board Chairperson	Our Place, D.C. -- a 501(c)(3) organization
2.	
3.	
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)☐ NONE (No reportable agreements.)DATEPARTIES AND TERMS

1. 2009	I am a member of the D.C. Judges' Retirement Fund and eligible for all benefits.
2. 2009	As a Senior Judge, I now receive a pension rather than a salary.
3.	

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Name of Person Reporting

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	D.C. Government - Pension	\$125,775.48
2. 2009	Judicial Retirees & Survivors Admin Office of the US Courts - Pension	\$169,801.01
3. 2009	Thrift Savings Plan	\$5,860.03
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2009	Prudential Insurance Company - Pension
2.	
3.	
4.	

IV. REIMBURSEMENTS *- transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.				
2.				
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V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*



NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*



NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
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9. Wells Fargo Advantage Fund IRA - mutual fund (S)		None	K	T					
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13. Banc of America - Nuveen Dividend Advantage Muni Bond Fund	B	Interest	K	T					See note in part VIII
14. Washington Mutual - common stock (S)		None			Closed	01/01/09	J	A	See note in part VIII
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1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – Income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)



NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. Vanguard Growth and Income Fund - (S)	A	Dividend	K	T					
36. Matthews China Fund - (S)	A	Dividend	L	T					
37. Covidiend Ltd - common stock	A	Dividend	J	T					
38. Vanguard IRA 500 Index Fund Admiral Shares	C	Dividend	M	T					
39. Vanguard IRA Mid-Cap Index Fund Admiral Shares	B	Dividend	M	T					
40. Sandy Spring Bancorp - (S)	A	Dividend	K	T					
41. Vanguard Long-Term Tax-Exempt Adm - (S)	D	Interest	N	T					
42. Dow Chemical Company - common stock (S)	A	Dividend	K	T					
43. Bank of America	A	Interest	J	T					
44. Ferris Baker Watts - Reserve Primary Fund (S)		None			Closed	01/01/09	J	A	

1. Income Gain Codes:

A = \$1,000 or less

F = \$50,001 - \$100,000

(See Columns B1 and D4)

2. Value Codes

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes

Q = Appraisal

U = Book Value

(See Column C2)

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Additional notes on Part VII: lines 13, 20, 22, 23, 26, 27, 28 are all holdings in the same brokerage account.

Line 14: The Washington Mutual stock has become worthless and has no value.

Line 43 relates to interest earned on a [REDACTED] mortgage account held at Bank of America. This was listed in error, not required information, because it relates to the [REDACTED].

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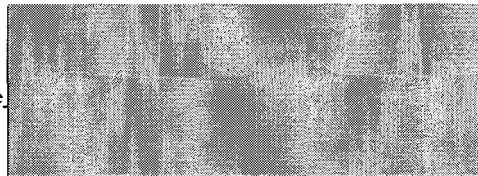
8/11/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Aug. 13, 2010 Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544