

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2010

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) PRATT, ROBERT W.	2. Court or Organization US DISTRICT COURT - SO IOWA	3. Date of Report 05/11/2011
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) US DISTRICT COURT JUDGE - ACTIVE	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2010 to 12/31/2010
7. Chambers or Office Address US COURTHOUSE 123 E. WALNUT STREET DES MOINES, IA 50309	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☒ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. _____	_____
2. _____	_____
3. _____	_____
4. _____	_____
5. _____	_____

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1. _____	_____
2. _____	_____
3. _____	_____

Pratt, Robert W.

FINANCIAL DISCLOSURE REPORT

Page 2 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1.		
2.		
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS – *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 25-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

	<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1.	AMERICAN CONFERENCE INSTITUTE	2/24/10 - 2/26/10	NEW YORK, NY	SPEAKING ENGAGEMENT	TRANSPORTATION, LODGING AND MEALS
2.	CARLTON FIELDS LAW FIRM	5/12/10 - 5/14/10	ST PETERSBURG, FL	SPEAKER AT NATIONAL FEDERAL SENTENCING GUIDELINES SEMINAR	TRANSPORTATION, LODGING AND MEALS
3.	AMERICAN CONSTITUTIONAL SOCIETY	6/17/10 - 6/18/10	WASHINGTON, DC	SPEAKER AT ANNUAL CONVENTION	TRANSPORTATION, LODGING AND MEALS
4.	PRACTICING LAW INSTITUTE	10/27/10 - 10/29/10	NEW YORK, NY	SPEAKING ENGAGEMENT	TRANSPORTATION, LODGING AND MEALS

FINANCIAL DISCLOSURE REPORT

Page 3 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

5.

FINANCIAL DISCLOSURE REPORT

Page 4 of 18

Name of Person Reporting

PRATT, ROBERT W.

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05/11/2011

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
1. BANKERS TRUST COMPANY	A	Interest	K	T					
2. MORGAN STANLEY DEAN WITTER MARKETABLE SECURITIES:									
3. MORGAN STANLEY BANK	A	Interest	M	T					
4. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	K	T	Buy (add'l)	01/08/10	J		
5. MS EMERGING MKTS DOMESTIC DEBTDEBT	A	Dividend			Buy (add'l)	04/15/10	J		
6. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	07/15/10	J		
7. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend			Buy (add'l)	10/15/10	J		
8. OMAHA NE ARPT AUTH ARPT REV REF-FACS 4.000%	A	Interest			Redeemed	01/04/10	J		
9. ADDISON TX GENL OBLIG REF UNLTD TAX 4.000%	A	Interest			Sold	02/16/10	K		
10. IOWA CITY IA COMM SCH DIST	A	Interest			Redeemed	06/01/10	J		
11. FLA HFC FIN CORP SER F	A	Interest			Sold (part)	01/01/10	K		
12. FLA HFC FIN CORP SER F	A	Interest			Redeemed	07/01/10	K		
13. IA ST UNIV SCI & TECH	A	Interest			Redeemed	07/01/10	K		
14. PUERTO RICO ELEC PWR AUTH SER SS	A	Interest			Redeemed	07/01/10	K		
15. CENTRAL VALLY SCHDIST ZERO	A	Interest			Redeemed	08/02/10	K		
16. ILL ST CLG SVGS ZERO	A	Interest			Redeemed	08/02/10	J		
17. BROWN CNTY WISC GENL OBLIG ARPT IMPT SER-A 4.000%	A	Interest			Redeemed	11/01/10	K		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 6 of 18

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PRATT, ROBERT W.

Date of Report

05/11/2011

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
18. PARIS TX GEN OBLIG [REDACTED]	A	Interest			Redeemed	03/02/10	K		
19. BLOOMINGTON IL GEN OBLIG REF BK QUAL 4.000%	A	Interest			Redeemed	12/01/10	K		
20. UNIV CONN REV SER A ZERO	A	Interest	J	T					
21. WEST CHESTER PA AREA SCH DIST 4.000%	A	Interest	K	T					
22. ALTOON IA GO [REDACTED]	A	Interest	K	T					
23. WAUKEE IOWA CMNTY SCH DIST REF SER-B 3.300%	A	Interest			Redeemed	06/01/10	K		
24. PR HWYS TRANS [REDACTED]	A	Interest	K	T					
25. ARIZONA ST TRANSN BRD HWY REV REF SER-A 4.000%	A	Interest	K	T					
26. PALM BEACH CNTY FL SCH BRD CTFS PARTN REV SER-A 4.000%	A	Interest	K	T					
27. OREGON ST DEPT ADMIN SVCS SALEM OREGON REF-COPS-SER C	A	Interest	K	T					
28. AUSTIN TX ELEC UTIL SYS REV 4.000%	A	Interest	K	T					
29. SCHILLER PARK ILL GO-C BE 4.000%	A	Interest	J	T					
30. FULTON PEORIA & KNOX COS ILL SCH DIST ZERO CPN	A	Interest	L	T					
31. MADISON WISC SWR-A [REDACTED]	B	Interest	L	T					
32. TACOMA PUB UTIL LIGHT & PWR [REDACTED]	A	Interest	J	T					
33. SHAKOPEE IMPT BE 4.000%	A	Interest			Redeemed	08/02/10	K		
34. INKSTER MI GEN OBLIG [REDACTED]	C	Interest	M	T					

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(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 7 of 18

Name of Person Reporting

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	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
35. PASCO WA GEN OBLIG	A	Interest	J	T					
36. W.DES MOINES GO-C BE 4.000%	A	Interest	J	T					
37. ODESSA TX HSG FIN CORP ZERO COUPON	A	Interest	J	T					
38. IA ST UNIV SCI & TECH	C	Interest	L	T					
39. MINN HIGHER EDUC FACS AUTH	A	Interest	J	T					
40. AURORA COLO SINGLE FAM MTG REV SER A ZERO	A	Interest	J	T					
41. SCHILLER PARK ILL GO-C BE 4.000%	B	Interest	L	T					
42. DOUGLAS CO COLO SCH DIST 1 ZERA	A	Interest	K	T					
43. WASH CTY UTAH SCH DIST	A	Interest	J	T					
44. WRIGHT ST UNIV	A	Interest	J	T					
45. WASH ST GEN OBLIG MOTOR VEH FUEL TAX ZERO	A	Interest	K	T					
46. LOUISIANNA ST GO GULF OPP	A	Interest	J	T					
47. E-470 PUB HWY AUTH COLO ZERO	A	Interest	L	T					
48. ALASKA ST INTL AIRPORTS	B	Interest	K	T					
49. METRO WASH DC AIRPORT AUTH 4125	A	Interest	J	T					
50. MODESTO CA IRR DIST	A	Interest	K	T					
51. WILL CNTY ILL SCH DIST NO 122 ZERO	A	Interest	J	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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FINANCIAL DISCLOSURE REPORT

Page 8 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
52. FAYETTE CNTY KY SCH DIST [REDACTED]	A	Interest	J	T					
53. LAKE CNTY ILL CMNTY SCH DIST NO [REDACTED] ZERO	A	Interest	L	T					
54. DENVER CO CONV CTR HOTEL [REDACTED]	A	Interest	K	T					
55. FLORIDA ST BRD ED FL INTL UNIV [REDACTED]	A	Interest	J	T					
56. WALLA WALLA WASH WATER & WASTE [REDACTED]	C	Interest	M	T					
57. VIRGINIA HSG DEV COMWLTH SER A [REDACTED]	B	Interest	K	T					
58. APOPKA FLA UTIL SYS REF IMPT [REDACTED]	A	Interest	J	T					
59. POMWAY CALIF UNI SCH DIST SPL TAX [REDACTED]	B	Interest	L	T					
60. OGLE & WINNEBAGO CNTYS SCH DIST ZERO	A	Interest	J	T					
61. RIVERSIDE CNTY PALM DESERT CA FIN AUTH [REDACTED]	A	Interest	J	T					
62. INDIANAPOLIS GAS UTIL DIST SYS [REDACTED]	B	Interest	K	T					
63. DES MOINES STORM WATER [REDACTED]	B	Interest	L	T					
64. PUERTO RICO PUB IMPR ZERO	A	Interest	K	T					
65. STATE UNIV IOWA REC FACS [REDACTED]	A	Interest	K	T					
66. ALASKA ST HSG FIN CORP [REDACTED]	C	Interest	L	T	Sold (part)	03/01/10	J		
67. ALASKA ST HSG FIN CORP [REDACTED]	A	Interest			Sold (part)	06/01/10	J		
68. ALASKA ST HSG FIN CORP [REDACTED]	A	Interest			Sold (part)	12/01/10	J		

1. Income Gain Codes: (See Columns B1 and D4)
2. Value Codes (See Columns C1 and D3)
3. Value Method Codes (See Column C2)
- A = \$1,000 or less
F = \$50,001 - \$100,000
J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000
Q = Appraisal
U = Book Value
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- C = \$2,501 - \$5,000
H1 = \$1,000,001 - \$5,000,000
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FINANCIAL DISCLOSURE REPORT

Page 9 of 18

Name of Person Reporting

PRATT, ROBERT W.

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
69. WINNEBAGO BOONE ETC CNTYS ILL ZERO	A	Interest	J	T					
70. OSCEOLA CNTY FL SCH BOARD	A	Interest	J	T					
71. WAUKEE IOWA CMNTY SCH DIST	A	Interest	J	T					
72. MIAMI DADE CNTY FL EXPWY AUTH TOLL SYS	A	Interest	J	T					
73. UNIV NORTHN IA STUDENT UNION SER UNI 4.800%	B	Interest	K	T					
74. STATE UNIV IOWA UTIL SYS	A	Interest	K	T					
75. CLARK CNTY NEV GEN OBLIG	B	Interest	K	T					
76. OHIO ST HOSP CLEVE CLINIC	B	Interest	K	T					
77. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					
78. DES MOINES IOWA STORMWATER	C	Interest			Redeemed	11/01/10	L		
79. ANKENY IA COMM SCH DIST	B	Interest	L	T					
80. ST CLAIR CNTY ILL GEN OBLIG ZERO	A	Interest	K	T					
81. DELTONA FLA TRANS CAP IMP1	A	Interest	J	T					
82. COVINA CALIF PUB FIN WASTEWATER	A	Interest	J	T					
83. CLARK CNTY NEV GEN OBLIG	A	Interest	K	T					
84. INDIANAPOLIS IN WATER WORKS	B	Interest	K	T					
85. WYLIE CITY TEX COMB TAX REV	A	Interest	K	T					

1. Income Gain Codes:

A = \$1,000 or less

B = \$1,001 - \$2,500

C = \$2,501 - \$5,000

D = \$5,001 - \$15,000

E = \$15,001 - \$50,000

(See Columns B1 and D4)

F = \$50,001 - \$100,000

G = \$100,001 - \$1,000,000

H1 = \$1,000,001 - \$5,000,000

H2 = More than \$5,000,000

2. Value Codes

J = \$15,000 or less

K = \$15,001 - \$50,000

L = \$50,001 - \$100,000

M = \$100,001 - \$250,000

(See Columns C1 and D3)

N = \$250,001 - \$500,000

O = \$500,001 - \$1,000,000

P1 = \$1,000,001 - \$5,000,000

P2 = \$5,000,001 - \$25,000,000

P3 = \$25,000,001 - \$50,000,000

P4 = More than \$50,000,000

3. Value Method Codes

Q = Appraisal

R = Cost (Real Estate Only)

S = Assessment

T = Cash Market

(See Column C2)

U = Book Value

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FINANCIAL DISCLOSURE REPORT

Page 10 of 18

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
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86. STERLING HEIGHTS MICH TRANS FD	A	Interest	K	T					
87. NEVADA STATE HIGHER EDUC	B	Interest	K	T					
88. RENO CITY NEVADA GEN OBLIG	B	Interest	L	T					
89. KANE COOK & DUPAGE CNTYS ILL	A	Interest	K	T					
90. IOWA ST UNIV SCIENCE & TECH	A	Interest	J	T					
91. FLORIDA ST DEPT ENVIRONMENTAL PROTN PRES	B	Interest	L	T					
92. CLARK CNTY NEV BOND BK	B	Interest	L	T					
93. CLARK CO NV VEGAS ARPT FAC	A	Interest	J	T					
94. ALASKA ST INTL ARPTS SYS	A	Interest	J	T					
95. ALASKA MUN BND BK SER-1	B	Interest	K	T					
96. TENNESSEE ENERGY ACQUISITION CORP GAS REV	B	Interest	K	T					
97. UNIVERSITY WASH UNIV REV	B	Interest	K	T					
98. COLUMBUS OHIO SWR REV	B	Interest	K	T					
99. IOWA ST UNIV ACADEMIC BLDG	C	Interest	L	T					
100. PUERTO RICO SALES TAX SUB-A	B	Interest	K	T	Buy	02/09/10	K		
101. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T	Buy	07/01/10	K		
102. BENSON ARIZ EXCISE TAX & ST SHARED REV OBLIGS	A	Interest	K	T	Buy	07/01/10	K		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	S = Assessment W = Estimated	T = Cash Market	

FINANCIAL DISCLOSURE REPORT

Page 11 of 18

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
103. WA STATE CERTIFICATES OF PARTICIPATION SER-B [REDACTED]	A	Interest	K	T	Buy	06/29/10	K		
104. IOWA ST PRISON INFRASTRUCTURE FUND OBLIG [REDACTED]	C	Interest	M	T	Buy	07/08/10	M		
105. STATE UNIV IOWA ATHLETIC FACS REV [REDACTED]	B	Interest	K	T	Buy	10/14/10	K		
106. MORGAN STANLEY DEAN WITTER IRA #1:									
107. MORGAN STANLEY BANK	A	Interest	K	T					
108. ABS NOTES ON S&P 500 INDEX	A	Dividend			Sold	07/20/10	K		
109. MS EMERGING MKTS DOMESTIC DEBT	A	Dividend	J	T	Buy (add'l)	12/31/10	J		
110. BANK OF AMERICA CORP [REDACTED]	A	Dividend			Sold	10/21/10	J		
111. CREDIT SUISSE GU [REDACTED]	A	Dividend	J	T					
112. DB CAPITAL CUNFING X [REDACTED]	A	Dividend	J	T					
113. HSBC HOLDINGS PRI [REDACTED]	B	Dividend			Sold	10/21/10	J		
114. ING GROEP NV [REDACTED]	A	Dividend			Sold	10/21/10	J		
115. COMMODITY JUMP CPN BY MS ZERO CPN	A	Interest			Sold	12/31/10	K		
116. NATIONAL RURAL UTILITIES [REDACTED]	A	Interest	K	T					
117. STEELCASE INC [REDACTED]	A	Interest	J	T					
118. FORD MOTOR CREDIT [REDACTED]	C	Interest	K	T					
119. NATIONAL RURAL UTILITIES COOP [REDACTED]	B	Interest	K	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

PI = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 12 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
120. CAP PRTECT NOTE ON FX BASKET ZERO	A	Interest	K	T					
121. PSEG POWER LLC	A	Interest			Sold	04/21/10	K		
122. AMERICAN EXPRESS	B	Interest			Sold	08/12/10	L		
123. DOW CHEMICAL CORP	B	Interest	K	T					
124. DUAL PARTICIPATION COMMODITY ZERO CPN	A	Interest	J	T					
125. SOUTHWEST AIRLINES CO	B	Interest	K	T					
126. INTL PAPER CO	A	Interest	K	T					
127. PROLOGIS TRUST	A	Interest	J	T					
128. SOUTHWEST AIRLINES CO	A	Interest	J	T					
129. MOSAIC CO REG S	B	Interest	K	T					
130. MORGAN STANLEY	A	Interest	K	T					
131. GMAC	B	Interest	K	T					
132. AMERICAN INTL GROUP	A	Interest	J	T					
133. CITIGROUP CAP XIX	A	Interest	J	T					
134. WACHOVIA CAPITAL TRUST X	A	Interest	J	T					
135. WELLS FARGO CAPITAL XII	A	Interest	J	T					
136. MORGAN STANLEY BANK S&P 500 CD ZERO COUPON	A	Interest	L	T					

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 13 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
137. JP MORGAN S&P 500 EQUITY CD ZERO COUPON	A	Interest	K	T					
138. CAPMARK BANK MIDVALUE UT CD [REDACTED]	C	Interest			Sold	08/12/10	L		
139. WELLS FARGO BK ZERO CPN	A	Interest	J	T					
140. 10NC3Y MS STEP UP [REDACTED]	A	Interest	J	T	Buy	06/11/10	J		
141. NASDAQ OMX GROUP [REDACTED]	B	Interest	K	T	Buy	08/19/10	K		
142. BP CAPITAL MARKETS PLC [REDACTED]	A	Interest	K	T	Buy	08/19/10	K		
143. 15NC1 MS STEP UP [REDACTED]	A	Interest	J	T	Buy	09/22/10	J		
144. WESTERN ASSET HIGH YIELD (HYI)	B	Dividend	K	T	Buy	10/29/10	K		
145. TRANS-CANADA PIPELINES 6.350% FIXED	A	Interest	J	T	Buy	11/08/10	J		
146. MORGAN STANLEY DEAN WITTER IRA #2:									
147. MORGAN STANLEY BANK	A	Interest	K	T					
148. ABBOTT LABORATORIES	A	Dividend	K	T					
149. ALTRIA GROUP INC	A	Dividend	J	T					
150. AT&T INC	B	Dividend	K	T					
151. BOEING CO	A	Dividend	K	T					
152. COCA COLA CO	A	Dividend	J	T					
153. DWS DREMAN VALUE INC EDGE FUND	A	Dividend	J	T					

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less
N = \$250,001 - \$500,000
P3 = \$25,000,001 - \$50,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

FINANCIAL DISCLOSURE REPORT

Page 14 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

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	(1)	(2)	(1)	(2)	(1)	(2)	(3)	(4)	(5)
	Amount Code 1 (A-H)	Type (e.g., div., rent, or int.)	Value Code 2 (J-P)	Value Method Code 3 (Q-W)	Type (e.g., buy, sell, redemption)	Date mm/dd/yy	Value Code 2 (J-P)	Gain Code 1 (A-H)	Identity of buyer/seller (if private transaction)
154. GENERAL ELECTRIC CO	A	Dividend	J	T					
155. ISHARES S&P 100 INDEX FD	A	Dividend	J	T					
156. PLUS ON TR FTSE/XINHUA CHINA	A	Dividend	J	T					
157. JOHNSON & JOHNSON	A	Dividend	K	T	Buy (add'l)	08/19/10	J		
158. PHARMACEUTICAL HOLDERS TR	A	Dividend	K	T					
159. PROCTOR & GAMBLE	A	Dividend	J	T					
160. SPDR S&P MIDCAP 400 ETF TRUST	A	Dividend	L	T					
161. AEGON NV	A	Dividend	J	T					
162. PUBLIC STORAGE	B	Dividend	K	T					
163. ROYAL BK SCOTLAND GP	A	Dividend	J	T					
164. ML PFD CAPITAL TRUST III	A	Interest	J	T					
165. INTEL CORP	A	Dividend	J	T	Buy	08/16/10	J		
166. MORGAN STANLEY DEAN WITTER IRA #3:									
167. ALLIANCE BERNSTEIN SMALL CAP GROWTH		None	J	T	Buy (add'l)	03/31/10	J		
168. WALGREEN CO	A	Dividend	J	T	Buy	05/06/10	J		
169. MORGAN STANLEY BANK	A	Interest	J	T					
170. MORGAN STANLEY DEAN WITTER IRA #4:									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

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P3 = \$25,000,001 - \$50,000,000

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U = Book Value

B = \$1,001 - \$2,500

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K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

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FINANCIAL DISCLOSURE REPORT

Page 15 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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171. MORGAN STANLEY BANK	A	Interest	J	T					
172. ISHARES BARCLAYS SHORT TREAS	A	Dividend	J	T	Buy	03/02/10	J		
173. ISHARES BARCLAYS SHORT TREAS					Buy (add'l)	11/24/10	J		
174. ISHARES RUSSELL 1000 GR INDEX	A	Dividend	J	T	Buy	03/02/10	J		
175. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	07/15	J		
176. ISHARES RUSSELL 1000 GR INDEX					Buy (add'l)	07/28	J		
177. ISHARES RUSSELL 1000 GR INDEX					Sold (part)	11/24/10	J		
178. ISHARES RUSSELL 1000 VALUE IDX	A	Dividend	J	T	Buy	03/02/10	J		
179. ISHARES RUSSELL 1000 VALUE IDX					Sold (part)	07/28/10	J		
180. ISHARES RUSSELL 1000 VALUE IDX					Sold (part)	11/24/10	J		
181. SPDR BARCLAYS CAPITAL HIGH YIE	A	Dividend	J	T	Buy	03/02/10	J		
182. SPDR BARCLAYS CAPITAL HIGH YIE					Buy (add'l)	05/17/10	J		
183. SPDR BARCLAYS CAPITAL HIGH YIE					Sold (part)	06/04/10	J		
184. SPDR BARCLAYS CAPITAL HIGH YIE					Sold (part)	07/28/10	J		
185. SPDR BARCLAYS CAPITAL INERNAT	A	Dividend	J	T	Buy	03/02/10	J		
186. SPDR BARCLAYS CAPITAL INERNAT					Buy (add'l)	06/09/10	J		
187. SPDR BARCLAYS CAPITAL INERNAT					Buy (add'l)	07/29/10	J		

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 16 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

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188. SPDR BARCLAYS CAPITAL INERNAT					Sold (part)	07/28/10	J		
189. VANGUARD EUROPE PACIFIC	A	Dividend	J	T	Buy	03/02/10	J		
190. VANGUARD EUROPE PACIFIC					Buy (add'l)	06/09/10	J		
191. VANGUARD EUROPE PACIFIC					Sold (part)	07/28/10	J		
192. VANGUARD EUROPE PACIFIC					Sold (part)	11/29/10	J		
193. VANGUARD EUROPE PACIFIC					Buy (add'l)	11/29/10	J		
194. VANGUARD EUROPE PACIFIC					Buy (add'l)	11/30/10	J		
195. VANGUARD TOTAL BOND MARKET	A	Dividend	K	T	Buy	03/02/10	K		
196. VANGUARD TOTAL BOND MARKET					Buy (add'l)	06/09/10	J		
197. VANGUARD TOTAL BOND MARKET					Buy (add'l)	07/28/10	J		
198. VANGUARD TOTAL BOND MARKET					Buy (add'l)	11/24/10	J		
199.									
200.									
201.									
202.									
203.									

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

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FINANCIAL DISCLOSURE REPORT

Page 17 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of report.)*

FINANCIAL DISCLOSURE REPORT

Page 18 of 18

Name of Person Reporting

PRATT, ROBERT W.

Date of Report

05/11/2011

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature: s/ ROBERT W. PRATT

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544