

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF ALABAMA
United States Courthouse
One Church Street, E-300
MONTGOMERY, ALABAMA 36104

W. Keith Watkins
District Judge

TELEPHONE (334) 954-3760

June 26, 2007

Committee on Financial Disclosure
Administrative Office of the United States Courts
One Columbus Circle, N.E. Suite 2-301
Washington, D.C. 20544

Re: Amendment to Nominee Report filed 10/3/2006
William K. Watkins

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2007 JUL -3 A 11:39
FINANCIAL
DISCLOSURE OFFICE

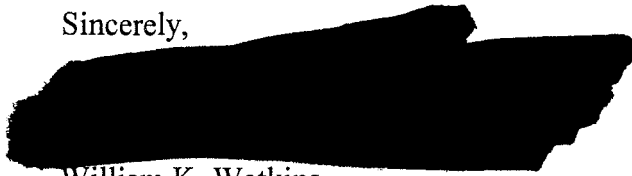
Dear Committee Members:

Please accept this letter as an amendment to my Nominee Report filed October 3, 2005. During the preparation of my 2006 report, I discovered two investments that were inadvertently left off of Section VII of the Nominee Report:

- (1) *Seligman Communications & Information Fund, Inc.*, purchased 6/19/96 for \$3361, and valued by market value at less than \$15,000 (Value Code J) at the time of the report. This item was overlooked and I take full responsibility for the omission. It appears as Item 71 in Section VII of my 2006 report, with an explanatory note in Section VIII.
- (2) *Macatawa Bank, FDIC Taxable Bond*, purchased 5/31/05 for \$60,000 (Value Code L), and valued the same at the time of the report. This item was purchased on 5/31/05, *during* the nomination process by my investment counsellor, and I overlooked it when the nomination was sent to the Senate (probably failed to update draft investment statement prepared in anticipation of nomination). This item appears as Item 69 in Section VII of my 2006 report, with an explanatory note in Section VIII.

If further information is necessary, please contact me regarding the same.

Sincerely,

A large, irregular black redaction mark covering the signature area.

William K. Watkins

WKW:pn

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Watkins, William K	2. Court or Organization U.S. District Court, M.D. AL	3. Date of Report 06/26/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) U.S. District Court Judge	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address U.S. Courthouse 1 Church Street, E300 Montgomery, AL 36104	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

<u>POSITION</u>	<u>NAME OF ORGANIZATION/ENTITY</u>
1. Manager/Sole Member	Rosewood Martin, LLC (Pike Co. Farm #5)
2. Managing Member	DQV, LLC (Business)
3. Director, Shareholder	Powermaster, Inc. (Business)
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

<u>DATE</u>	<u>PARTIES AND TERMS</u>
1.	
2.	
3.	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Vickers & Smith - Referral Fee from 2005 - Net	\$ 20,000
2. 2006	Calhoun Faulk Watkins & Faircloth, LLC - Net income from former firm for fees - earned prior to entry into service with court -Net	\$ 74,816
3. 2006	Northwestern Mutual - 1099R Taxable gain on insurance policy - cashed - Net	\$ 3,512
4. 2005	Calhoun Faulk Watkins & Faircloth, LLC	\$ 273,266
5. 2005	South Trust Bank - Director's Fee	\$ 1,000
6. 2004	Calhoun Faulk Watkins & Faircloth, LLC	\$ 201,551
7. 2004	South Trust Bank - Director's Fee	\$ 1,200

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Troy Regional Hospital Salary
2. 2006	Troy University Salary
3. 2005	Troy Regional Hospital Salary
4. 2005	Troy University Salary
5. 2004	Troy Regional Hospital Salary

IV. REIMBURSEMENTS — *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☒ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1.	
2.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*☐ NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1. Pike County Bar Association (Sources of funds not known to reporting person)	Investiture Expenses - Funds raised and distributed by Pike County Bar Association.	\$ 11,425
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*☐ NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1. Wachovia Bank	Signature	K
2. Regions Bank	North Carolina Real Estate Loan	L
3. Wachovia Bank	Lucas Real Estate Loan	K
4. Wachovia Bank	DQV, LLC Real Estate Loan	N
5. Troy Bank and Trust Company	Turkey Mountain Real Estate Loan	L
6. Troy Bank and Trust Company	Saco Real Estate Loan	M
7. Wachovia Bank	CKT Real Estate Loan	N

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. Rental - DQV, LLC (51% interest), Troy, AL	D	Int./Div.	N	R					
2. Pike Co. Al. Farm #1 (2/3 interest)	A	None	O	W					
3. Pike Co. Al. Farm #1 (2/3 interest) Mineral	A	None	J	W					
4. Law Office (1/3 interest), Troy, AL	A	None			Sell	2/27	L	C	Steven Curtis
5. Farm (1/4 interest), Coffee County, AL	A	None	L	W					
6. North Carolina Lot 1	B	Rent	M	Q					
7. North Carolina Lot 2	A	None	K	R					
8. North Carolina Land	A	None	N	Q					
9. JBW Office, Troy, AL	E	Rent			SELL	9/1	L	E	Greater Athens Development
10. Barn Property (1/2 interest) Troy, AL	A	None	J	W					
11. Pike Co. Farm #2	A	None	M	W					
12. Pike Co. Farm #2 - Mineral	A	None	J	W					
13. Pike Co. Lot (1/2 interest)	A	None	J	W					
14. Pike Co. Farm #3	A	None	L	W					
15. Pike Co. Farm #4	A	None	M	W					
16. Pike Co. Farm #4 - Mineral	A	None	J	W					
17. Pike Co. Farm #5	A	None			Sell	12/20	N	G	REC 2006-02, LLC

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Pike Co. Farm #5 - Mineral	A	None	J	W					
19. Pike Co. Farm #6 (19% interest)	A	None	K	W					
20. Pike Co. Farm #6 (19% interest) - Mineral	A	None	J	W					
21. CKT, LLC (1/3 interest), Real Estate Development	A	Int./Div.	N	R					
22. WE, LLC (50% interest)	A	None	J	W					
23. Powermaster, Inc. (1/3 interest)	A	None	K	W					
24. A.G.E., Jt. Ten/Centennial Money Mkt. Trust	A	Int./Div.	J	W					
25. [A.G.E. TMW IRA] Coca Cola Co.	A	Dividend			Sell	11/28	J	A	
26. Regions Financial Corp. - common	A	Dividend	J	T					
27. Investment Co. of America	A	Dividend	J	T					
28. [A.G.E. - WKW - SEP] Centennial Money Mkt Trust	A	Interest	J	T					
29. American Int'l Group - Common	A	Dividend			Sell	4/4	J	A	
30. Boeing Company - Common	A	Dividend			Sell	9/18	J	B	
31. Chevron Texaco Corp. - Common	A	Dividend			Sell	5/12	J	A	
32. Cisco Systems, Inc. - Common	A	Dividend			Sell	5/12	J	A	
33. Citi Group, Inc. - Common	A	Dividend			Sell	5/12	J	A	
34. Coca Cola - Common	A	Dividend			Sell	11/28	J	A	

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	J = \$15,000 or less N = \$250,001 - \$500,000	K = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	
3. Value Method Codes (See Column C2)	P3 = \$25,000,001 - \$50,000,000 Q = Appraisal U = Book Value	R = Cost (Real Estate Only) V = Other	P4 = More than \$50,000,000 S = Assessment W = Estimated	T = Cash Market	

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NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
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35. Colonial Property Trust	A	Dividend			Sell	9/28	J	A	
36. Comcast Corp. - Common	A	Dividend			Sell	5/12	J	A	
37. Conoco Phillips - Common	A	Dividend			Sell	5/12	J	A	
38. Exxon Mobil - Common	A	Dividend			Sell	9/21	J	B	
39. General Dynamics Corp. - Common	A	Dividend			Sell	5/12	J	A	
40. General Electric Co. - Common	A	Dividend			Sell	9/20	J	B	
41. Hersheys Food Corp. - Common	A	Dividend			Sell	9/22	J	A	
42. Intel Corp. - Common	A	Dividend			Sell	9/29	J	A	
43. IBM - Common	A	Dividend			Sell	5/12	J	A	
44. Johnson & Johnson - Common	A	Dividend			Sell	9/15	J	A	
45. Lowe's - Common	A	Dividend			Sell	9/25	J	A	
46. MBNA - Common	A	Dividend			Sell	5/12	J	A	
47. Microsoft - Common	A	Dividend			Sell	5/12	J	A	
48. Nextex - Common	A	Dividend			Sell	5/12	J	A	
49. Pfizer - Common	A	Dividend			Sell	9/25	J	A	
50. Southwest Airline Co. - Common	A	Dividend			Sell	5/12	J	A	
51. Target Corporation - Common	A	Dividend			Sell	5/12	J	B	

1. Income Gain Codes:

(See Columns B1 and D4)

2. Value Codes

(See Columns C1 and D3)

3. Value Method Codes

(See Column C2)

A = \$1,000 or less

F = \$50,001 - \$100,000

J = \$15,000 or less

N = \$250,001 - \$500,000

P3 = \$25,000,001 - \$50,000,000

Q = Appraisal

U = Book Value

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

R = Cost (Real Estate Only)

V = Other

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

S = Assessment

W = Estimated

D = \$5,001 - \$15,000

H2 = More than \$5,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

T = Cash Market

E = \$15,001 - \$50,000

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52. Time Warner - Common	A	Dividend			Sell	5/12	J	A	
53. United Technology - Common	A	Dividend			Sell	9/20	J	A	
54. Viacom - Common	A	Dividend			Sell	5/12	J	A	
55. Wachovia Corp. - Common	B	Dividend	K	T					
56. Wal-Mart Stores, Inc. - Common	A	Dividend			Sell	5/12	J	A	
57. Wal-Green Co. - Common	A	Dividend			Sell	9/16	J	A	
58. [CHS - 401(k)] Scudder Fig. Inv. Val. Builder A	A	Dividend			Sell	6/13	L	D	Scudder
59. CHS Company Stock Fund	A	Dividend			Sell	6/13	J	A	
60. E. D. Moseley Note	A	None	J	U					
61. Accts Recev - Law Firm Calhoun Faulk Watkins & Faircloth	A	None			Sell	2/27	J	A	Steven Curtis
62. Law Firm - 1/3 interest Calhoun Faulk Watkins & Faircloth	A	None			Sell	2/27	J	A	Steven Curtis
63. Wachovia Bank - Dimensions Acct.	A	Interest	J	T					
64. Principal: Variable Universal Life Policy	A	Int./Div.	J	T					
65. Principal #302 WKW 318 Variable Universal Life	A	Int./Div.	J	U					
66. Northwestern Mutual TM 010 Extraordinary Life	A	Int./Div.	J	T					
67. Northwestern Mutual WKW 575 Extraordinary Life	A	Int./Div.			Redemption	6/20	J	C	Northwestern Mutual
68. Capital Income Builder Fund Class F	D	Int./Div.	M	T					

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69. Macatawa Bank	B	Int./Div.	L	T					
70. Investment Co. America Class A Shares	B	Int./Div.	L	T	Buy	2006	L		
71. Seligman Communications & Info. Fund Class D Shares	A	Int./Div.	J	T					
72. Wash Mutl Invs Fd Inc Class A Shares	B	Int./Div.	L	T	Buy	2006	L		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
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VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

In Section VII:

GENERAL EXPLANATORY NOTE:

This is filer's first annual report. Sworn in January 12, 2006. Nominee Report filed 10/3/05 for 1/1/04 to 8/31/05 reporting period. During gap from 8/31/05 until 12/31/05, filer had several transactions in contemplation of leaving private practice of law and moving family to duty station. This includes several items in Section VII that appeared in the Nominee Report, but that do not appear in this report, including items on nomination report lines 3, 4 and 26. These transactions also resolved several liabilities in Section VI.

Item 7 was purchased in 2005 after nomination report but before entry into service.

Item 8 appeared as Item 10 in nomination report.

Items 25, 26 and 27 are or were held in an A. G. Edwards IRA for [REDACTED] and Item 68 is held in 401(K) [REDACTED]

Items 28 through 57 are or were held in an A. G. Edwards SEP for filer.

Item 63 on Nominee Report, which is also Item 59 on this report, was rolled over to Item 68 on this report.

Item 64 on Nominee Report incorrectly identified this policy as Northwestern Mutual.

Items 69 and 71 were inadvertently left off of the Nominee Report. The Nominee Report is being amended to reflect these Items.

Item 70 was purchased on 3 occasions in varying amounts:

9/18/06 - \$15,000
9/25/06 - \$20,000
10/6/06 - \$15,000

Item 72 was purchased on 5 occasions in varying amounts:

9/18/06 - \$15,000
9/25/06 - \$20,000
10/6/06 - \$15,000
10/11/06 - \$ 2,500
10/17/06 - \$30,600

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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

June 26, 2007

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544