

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2009

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) NEWMAN, PAULINE	2. Court or Organization U.S. Ct. of Ap. for Fed. Cir.	3. Date of Report 05/13/2010
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Circuit Judge - Active Status	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2009 to 12/31/2009
7. Chambers or Office Address 717 Madison Place, N.W. Washington, D.C. 20439	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	
IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.		

I. POSITIONS. (Reporting individual only; see pp. 9-13 of filing instructions.)

☐ NONE (No reportable positions.)

POSITION	NAME OF ORGANIZATION/ENTITY
1. Advisory & Review Committee	Advanced Science & Technology Adjudication Project
2. Advisory Committee	George Mason Univ. School of Law, Law & Economics Center
3. Trustee	Trusts (Trust #1, Trust #2)
4. Director	Rule of Law Foundation
5. Director	Judiciary Leadership Development Council

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of filing instructions.)

☒ NONE (No reportable agreements.)

DATE	PARTIES AND TERMS
1.	
2.	
3.	

RECEIVED
 2010 MAY 14 P 4:48
 DISCLOSURE OFFICE

FINANCIAL DISCLOSURE REPORT

Page 2 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of filing instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2009	Pension, FMC Corporation, earned before entry on duty,	\$35,100.00
2. 2009	Royalty, West Publishing Company, textbook	\$1,552.30
3.		
4.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☒ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1.	
2.	
3.	
4.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment.**(Includes those to spouse and dependent children; see pp. 23-27 of filing instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DATES</u>	<u>LOCATION</u>	<u>PURPOSE</u>	<u>ITEMS PAID OR PROVIDED</u>
1. New York Intellectual Property Law Association	March 27, 2009	New York, New York	Annual Conference	Travel, Lodging and Food.
2. New Jersey Intellectual Property Law Association	June 5, 2009	Short Hills, New Jersey	Conference	Lodging and Food
3. Federal Circuit Bar Association Conference	June 18-19, 2009	Williamsburg, Virginia	Conference	Travel, Lodging and Food
4. Chicago Intellectual Property Law Association	September 25, 2009	Chicago, Illinois	Conference	Travel, Lodging and Food
5. George Mason University Law & Economics Association	October 2, 2009	Arlington, Virginia	Conference	Travel, Lodging and Food

FINANCIAL DISCLOSURE REPORT

Page 3 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

6.	Philadelphia Intellectual Property Law Association	November 12, 2009	Philadelphia, Pennsylvania	Conference	Travel, Lodging and Food
7.	Austin Intellectual Property Law Association	November 13, 2009	Austin, Texas	Conference	Travel, Lodging and Food
8.	Advanced Science & Technology Adjudication Resources Association	December 3, 2009	White Plains, New York	Conference	Travel Only

FINANCIAL DISCLOSURE REPORT

Page 4 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

V. GIFTS. *(Includes those to spouse and dependent children; see pp. 28-31 of filing instructions.)*NONE *(No reportable gifts.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.		
2.		
3.		
4.		
5.		

VI. LIABILITIES. *(Includes those of spouse and dependent children; see pp. 32-33 of filing instructions.)*NONE *(No reportable liabilities.)*

<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.		
2.		
3.		
4.		
5.		

FINANCIAL DISCLOSURE REPORT

Page 5 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. T Rowe Price Mut. Funds (New Horizon; Div. Gr. Sci&Tech.Fund)	A	Dividend	K	T					
2. PNC Bank Accounts	B	Interest	N	T					
3. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	K	T					
4. First Trust Municipal Bond Fund (Oppenheimer)	B	Interest	J	T					
5. American Century Growth Mutual Fund	A	Dividend	L	T					
6. Oppenheimer Municipal Bond Fund	C	Interest	L	T					
7. American Capital Bond Fund (Trust #2)	A	Dividend	J	T					
8. Corporate High Yield Mutual Bond Fund (Merrill Lynch)	B	Dividend	K	T					
9. Bedford Money Market Portfolio	A	Dividend	J	T					
10. Invesco Health Sci. Mut. Fd. (now AIM Health Sci. Mut. Fd.)	A	Dividend	K	T					
11. Oklahoma Gas & Electric Co. (Common) (Trust #1)	A	Dividend	J	T					
12. Remington Oil & Gas Corp. (Trust #1)		None	J	T					
13. American Century Select Mutual Fund	A	Dividend	L	T					
14. MFS Emerging Growth Mutual Fund	A	Dividend			Donated				
15. Putnam Europe Mutual Fund	B	Dividend	L	T					
16. Franklin NY Mutual Fund	A	Dividend	K	T					
17. Franklin Flex Cap Growth Mutual Fund	A	Dividend			Donated				

1. Income Gain Codes:
(See Columns B1 and D4)

A = \$1,000 or less
F = \$50,001 - \$100,000

B = \$1,001 - \$2,500

G = \$100,001 - \$1,000,000

C = \$2,501 - \$5,000

H1 = \$1,000,001 - \$5,000,000

D = \$5,001 - \$15,000

I12 = More than \$5,000,000

E = \$15,001 - \$50,000

2. Value Codes
(See Columns C1 and D3)

J = \$15,000 or less

N = \$250,001 - \$500,000

P5 = \$25,000,001 - \$50,000,000

K = \$15,001 - \$50,000

O = \$500,001 - \$1,000,000

L = \$50,001 - \$100,000

P1 = \$1,000,001 - \$5,000,000

P4 = More than \$50,000,000

M = \$100,001 - \$250,000

P2 = \$5,000,001 - \$25,000,000

3. Value Method Codes
(See Column C2)

Q = Appraisal
U = Book Value

R = Cost (Real Estate Only)
V = Other

S = Assessment
W = Estimated

T = Cash Market

FINANCIAL DISCLOSURE REPORT

Page 6 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

VII. INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children; see pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g., div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g., buy, sell, redemption)	(2) Date mm/dd/yy	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. Putnam Health Science Mutual Fund	B	Dividend	L	T					
19. Nasdaq 100 Trust		None	K	T					
20. First Eagle Global Mutual Fund	A	Dividend	J	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000 R = Cost (Real Estate Only) V = Other	C = \$2,501 - \$5,000 H = \$1,000,001 - \$5,000,000 L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Assessment W = Estimated	D = \$5,001 - \$15,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	E = \$15,001 - \$50,000 H2 = More than \$5,000,000
2. Value Codes (See Columns C1 and D3)					
3. Value Method Codes (See Column C2)	Q = Appraisal U = Book Value				

FINANCIAL DISCLOSURE REPORT

Page 7 of 8

Name of Person Reporting

NEWMAN, PAULINE

Date of Report

05/13/2010

VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

FINANCIAL DISCLOSURE REPORT

Page 8 of 8

Name of Person Reporting	Date of Report
NEWMAN, PAULINE	05/13/2010

IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature



NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104)

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544