

United States District Court  
Western District of Kentucky

Chambers of  
Charles R. Simpson III  
District Judge

June 14, 2007

247 U. S. Courthouse  
601 M. Broadway  
Louisville, Kentucky 40202  
(502) 625-3600

Honorable Ortrie D. Smith  
Chair, Committee on Financial Disclosure  
Judicial Conference of the United States  
One Columbus Circle, N.E.  
Washington, D.C. 20544

Re: Calendar Year 2006 Filing

RECEIVED  
2007 JUN 20 A 11:04  
FINANCIAL  
DISCLOSURE OFFICE

Dear Judge Smith:

Thank you for your letter of June 8, 2007.

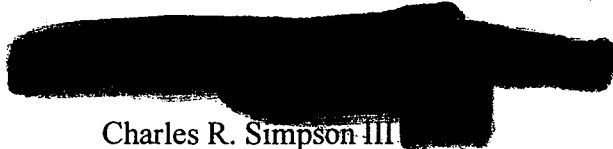
By way of response, the following amendments are made:

Part VII, Page 5, Line 16, Value Code K, Method Code T. Line 17, Value Code M, Method Code T. Part VII, Page 6, Line 1, Value Code K, Method Code T.

The item you picked up from a prior report is not, as you correctly noted, listed in the 2006 report, as there is nothing in the Ethics in Government Act requiring the listing of that item due to valuation/income thresholds.

Per your request, this letter is furnished in triplicate. Thank you for your attention to this matter.

Yours very truly,

  
Charles R. Simpson III  
United States District Judge

CRS/ngb

# FINANCIAL DISCLOSURE REPORT

## FOR CALENDAR YEAR 2006

Report Required by the Ethics  
in Government Act of 1978,  
(5 U.S.C. app. §§101-111)

|                                                                                                                             |  |                                                                                                                                               |  |                                                                                                                                                                                                                       |  |
|-----------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------|--|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 1. Person Reporting (Last name, first, middle initial)<br><b>SIMPSON CHARLES R.</b>                                         |  | 2. Court or Organization<br><b>KY WD</b>                                                                                                      |  | 3. Date of Report<br><b>5-15-07</b><br>03                                                                                                                                                                             |  |
| 4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time)<br><b>ACT</b> |  | 5a. Report Type (check appropriate type)<br>____ Nomination, Date _____<br>____ Initial <input checked="" type="checkbox"/> Annual ____ Final |  | 6. Reporting Period<br><b>1-1-06 12-31-06</b>                                                                                                                                                                         |  |
| 7. Chambers or Office Address<br><b>601 W. BROADWAY #247<br/>LOUISVILLE KY 40202</b>                                        |  | 5b. Amended Report<br>____                                                                                                                    |  | 8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations.<br>Reviewing Officer _____ Date _____ |  |

**IMPORTANT NOTES:** The instructions accompanying this form must be followed. Complete all parts, checking the NONE box for each part where you have no reportable information. Sign on last page.

### I. POSITIONS. (Reporting individual only; see pp. 9-13 of Instructions.)

| POSITION                                                 | NAME OF ORGANIZATION/ENTITY |
|----------------------------------------------------------|-----------------------------|
| <input type="checkbox"/> NONE (No reportable positions.) |                             |
| 1 [REDACTED]                                             | [REDACTED]                  |
| 2 <b>EXECUTIVE</b>                                       | <b>ESTATE #1</b>            |
| 3                                                        |                             |

### II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of Instructions.)

| DATE                                                                 | PARTIES AND TERMS |
|----------------------------------------------------------------------|-------------------|
| <input checked="" type="checkbox"/> NONE (No reportable agreements.) |                   |
| 1                                                                    |                   |
| 2                                                                    |                   |

### III. NON-INVESTMENT INCOME. (Reporting individual and spouse; see pp. 17-24 of Instructions.)

| DATE                                                                 | SOURCE AND TYPE                       | 2007 MAY - 8 A 9: 08 | RECEIVED | FINANCIAL DISCLOSURE OFFICE | INCOME          |
|----------------------------------------------------------------------|---------------------------------------|----------------------|----------|-----------------------------|-----------------|
| A. Filer's Non-Investment Income                                     |                                       |                      |          |                             |                 |
| <input type="checkbox"/> NONE (No reportable non-investment income.) |                                       |                      |          |                             |                 |
| 1 <b>06</b>                                                          | <b>Executive Commission ESTATE #1</b> | <b>For 05</b>        |          |                             | <b>\$ 24315</b> |
| 2                                                                    |                                       | <b>For 06</b>        |          |                             | <b>\$ 24700</b> |
| 3                                                                    |                                       |                      |          |                             |                 |
|                                                                      |                                       |                      |          |                             | <b>\$</b>       |

### B. Spouse's Non-Investment Income - If you were married during any portion of the reporting year, please complete this section. (dollar amount not required except for honoraria)

|                                                                      |            |
|----------------------------------------------------------------------|------------|
| <input type="checkbox"/> NONE (No reportable non-investment income.) |            |
| 1 <b>06</b>                                                          | [REDACTED] |
| 2                                                                    |            |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simpson Camer R.

Date of Report

5-05-07  
03

## IV. REIMBURSEMENTS -- transportation, lodging, food, entertainment.

(Includes those to spouse and dependent children. See pp. 25-27 of Instructions.)

|                                     | SOURCE                                    | DESCRIPTION |
|-------------------------------------|-------------------------------------------|-------------|
| <input checked="" type="checkbox"/> | NONE (No such reportable reimbursements.) |             |
| 1                                   |                                           |             |
| 2                                   |                                           |             |
| 3                                   |                                           |             |
| 4                                   |                                           |             |
| 5                                   |                                           |             |
| 6                                   |                                           |             |
| 7                                   |                                           |             |

## V. GIFTS. (Includes those to spouse and dependent children. See pp. 28-31 of Instructions.)

|                                     | SOURCE                           | DESCRIPTION | VALUE |
|-------------------------------------|----------------------------------|-------------|-------|
| <input checked="" type="checkbox"/> | NONE (No such reportable gifts.) |             |       |
| 1                                   |                                  |             | \$    |
| 2                                   |                                  |             | \$    |
| 3                                   |                                  |             | \$    |
| 4                                   |                                  |             | \$    |

## VI. LIABILITIES. (Includes those of spouse and dependent children. See pp. 32-33 of Instructions.)

|                                     | CREDITOR                          | DESCRIPTION | VALUE CODE* |
|-------------------------------------|-----------------------------------|-------------|-------------|
| <input checked="" type="checkbox"/> | NONE (No reportable liabilities.) |             |             |
| 1                                   |                                   |             |             |
| 2                                   |                                   |             |             |
| 3                                   |                                   |             |             |
| 4                                   |                                   |             |             |
| 5                                   |                                   |             |             |

\*Value Codes: J=\$15,000 or less K=\$15,001-\$50,000 L=\$50,001-\$100,000 M=\$100,001-\$250,000  
 N=\$250,001-\$500,000 O=\$500,001-\$1,000,000 P1=\$1,000,001-\$5,000,000  
 P2=\$5,000,001-\$25,000,000 P3=\$25,000,001-\$50,000,000 P4=\$50,000,001 or more

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Date of Report

Simran Chander R.

5-15-07  
03

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Assets<br>(including trust assets)<br><br>Place "(X)" after each asset<br>exempt from prior disclosure | B<br>Income during<br>reporting period |                                            | C<br>Gross value<br>at end of<br>reporting period |                                   | D<br>Transactions during reporting period              |                               |                                |                               |                                                                |
|----------------------------------------------------------------------------------------------------------------------------|----------------------------------------|--------------------------------------------|---------------------------------------------------|-----------------------------------|--------------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                            | (1)                                    | (2)                                        | (1)                                               | (2)                               | (1)                                                    | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                            | Amt.<br>Code1<br>(A-H)                 | Type<br>(e.g.,<br>div,<br>rent or<br>int.) | Value<br>Code2<br>(J-P)                           | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date:<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Amt.<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                             |                                        |                                            |                                                   |                                   |                                                        |                               |                                |                               |                                                                |
| 1 GE Common                                                                                                                | A                                      | DIV                                        | K                                                 | T                                 |                                                        |                               |                                |                               |                                                                |
| 2 William-Lyons GOVT FND                                                                                                   | A                                      | DIV                                        |                                                   |                                   | Buy                                                    | 3/2                           | K                              |                               |                                                                |
| 3 " "                                                                                                                      | A                                      | DIV                                        |                                                   |                                   | Buy                                                    | 6/20                          | L                              |                               |                                                                |
| 4 " "                                                                                                                      |                                        |                                            |                                                   |                                   | Sell                                                   | 6/30                          | M                              | A                             |                                                                |
| 5 ALLIANT FUNDS MULTI-MGR SECTORS                                                                                          | <input checked="" type="checkbox"/>    |                                            |                                                   |                                   | Buy                                                    | 6/29                          | K                              |                               |                                                                |
| 6 CANTAMUS INV. TR NEW GROWTH FUND                                                                                         |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | J                              |                               | ADD'L                                                          |
| 7 DAVIS N.Y. VENTURE FND CLL                                                                                               |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | J                              |                               | ADD'L                                                          |
| 8 EURO PAC GROWTH FND CLL                                                                                                  |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | J                              |                               | ADD'L                                                          |
| 9 GROWTH FND AMER INC CLL                                                                                                  |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | K                              |                               | ADD'L                                                          |
| 10 ROYCE FORM RET. FND                                                                                                     |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | J                              |                               | ADD'L                                                          |
| 11 THORNBURG INV. TR                                                                                                       |                                        |                                            |                                                   |                                   | Buy                                                    | 6/29                          | J                              |                               | ADD'L                                                          |
| 12 BLACKROCK MUNI MKET FND                                                                                                 |                                        |                                            |                                                   |                                   | Buy                                                    | 6/30                          | M                              |                               | PART                                                           |
| 13 " "                                                                                                                     | A                                      | DIV                                        | K                                                 | T                                 | Sell                                                   | 7/5                           | L                              |                               |                                                                |
| 14 CANTAMUS INV. TR NEW GROWTH FND                                                                                         | A                                      | DIV                                        | K                                                 | T                                 | Buy                                                    | 12/8                          | K                              |                               |                                                                |
| 15 DAVIS N.Y. VENTURE FND CLL                                                                                              | A                                      | DIV                                        | K                                                 | T                                 | Buy                                                    | 12/8                          | K                              |                               |                                                                |
| 16 EURO PAC GROWTH FND CLL                                                                                                 | B                                      | DIV                                        | K                                                 | T                                 | Buy                                                    | 12/8                          | J                              |                               |                                                                |
| 17 GROWTH FND AMERICA INC CLL                                                                                              | B                                      | DIV                                        | K                                                 | T                                 | Buy                                                    | 12/8                          | K                              |                               |                                                                |

|   |                                         |                                                                             |                                                |                                                                                 |                                                      |                                               |
|---|-----------------------------------------|-----------------------------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|-----------------------------------------------|
| 1 | Income/Gain Codes:<br>(See Col. B1, D4) | A=\$1,000 or less<br>F=\$50,001-\$100,000                                   | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                  | D=\$5,001-\$15,000<br>E=\$15,001-\$50,000            | F=\$50,001-\$100,000<br>G=\$100,001-\$500,000 |
| 2 | Value Codes:<br>(See Col. C1, D3)       | J=\$15,000 or less<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                                               |
| 3 | Value Method Codes:<br>(See Col. C2)    | Q=Appraisal<br>U=Book value                                                 | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                                               |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simpson Craggs. R

Date of Report

5-18-07  
03

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Asset<br>(including trust assets)<br><br>Place "(X)" after each asset exempt from prior disclosure | B<br>Income during reporting period |                                     | C<br>Gross value at end of reporting period |                                   | D<br>Transactions during reporting period        |                        |                            |                           |                                                          |
|------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------|---------------------------------------------|-----------------------------------|--------------------------------------------------|------------------------|----------------------------|---------------------------|----------------------------------------------------------|
|                                                                                                                        | (1)<br>Amt. Code<br>(A-H)           | (2)<br>Type (e.g. div, int, pr, mt) | (1)<br>Value Code<br>(J-P)                  | (2)<br>Value Method Code<br>(Q-W) | (1)<br>Type (e.g. buy, sell, merger, redemption) | (2)<br>Date: Month/Day | (3)<br>Value Code<br>(J-P) | (4)<br>Gain Code<br>(A-H) | (5)<br>Identity of buyer/seller (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                         |                                     |                                     |                                             |                                   |                                                  |                        |                            |                           |                                                          |
| 1 ROYCE FND TOTM RETAIL                                                                                                | A                                   | Div                                 | K                                           | T                                 | Buy                                              | 1/1                    | K                          |                           | ADD'L see P. 1 L. 10                                     |
| 2 THORNDIKE INV. TR. INTL VALUE FND                                                                                    | A                                   | Div                                 | K                                           | T                                 | Buy                                              | 12/1                   | J                          |                           | ADD'L see P. 1 L. 11                                     |
| 3 ALLIANZ FUNDS MULT-MGR SGR                                                                                           | A                                   | Div                                 | K                                           | T                                 | Buy                                              | 12/11                  | K                          |                           | ADD'L see P. 1 L. 5                                      |
| 4 BLACKROCK MUNI MONEY FUND                                                                                            |                                     |                                     |                                             |                                   | Buy                                              | 11/12                  | M                          |                           |                                                          |
| 5 " "                                                                                                                  | A                                   | Div                                 |                                             |                                   | Sell                                             | 12/13                  | M                          | A                         |                                                          |
| 6                                                                                                                      |                                     |                                     |                                             |                                   |                                                  |                        |                            |                           |                                                          |
| 7                                                                                                                      |                                     |                                     |                                             |                                   |                                                  |                        |                            |                           |                                                          |
| 8 LOW FND C. V.                                                                                                        | A                                   | Div/Int                             | K                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 9 KY TELCO C. V.                                                                                                       | C                                   | Div/Int                             | L                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 10 DUKES TAX-FREE INC. SGR                                                                                             | D                                   | Div                                 | M                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 11 DUKES INTERNATIONAL GOV BOND SGR                                                                                    | C                                   | Div                                 | L                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 12 US BANK CD                                                                                                          | C                                   | Div                                 | L                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 13 FREEDOM FINANCIAL PR7                                                                                               | B                                   | Int                                 | K                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 14 HH BONDS                                                                                                            | A                                   | Int                                 | K                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 15 4 BONDS                                                                                                             | A                                   | Int                                 | K                                           | T                                 |                                                  |                        |                            |                           |                                                          |
| 16 STOCK MANS BANK CDs                                                                                                 | E                                   | Int                                 | O                                           | T                                 | Buy 5/5<br>Buy 6/15<br>Buy 12/07                 |                        |                            |                           | INC CODE D<br>INC CODE D<br>INC CODE D                   |
| 17 STOCK MANS BK Premium MMMA FUND                                                                                     | B                                   | Int                                 | J                                           | T                                 | Buy                                              | 1/31                   | L                          |                           |                                                          |

|   |                                                           |                                                       |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------------------------|-------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) | F=\$50,001-\$100,000                                  | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$5,001-\$10,000<br>I=\$10,001-\$50,000                  | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)      | N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)          | U=Book value                                          | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Simpson Curtis R.

Date of Report

5-15-03

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Asset<br>(including trust assets)<br><br><i>Place (X) after each asset<br/>exempt from prior disclosure</i> | B<br>Income during<br>reporting period |                                                   | C<br>Gross value<br>at end of<br>reporting period |                                          | D<br>Transactions during reporting period                     |                              |                                |                               |                                                                |
|---------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|---------------------------------------------------|---------------------------------------------------|------------------------------------------|---------------------------------------------------------------|------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                 | (1)<br>Amt.<br>Code1<br>(A-H)          | (2)<br>Type<br>(e.g.,<br>div, int,<br>rent, etc.) | (1)<br>Value<br>Code2<br>(I-P)                    | (2)<br>Value<br>Method<br>Code3<br>(Q-W) | (1)<br>Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | (2)<br>Date<br>Month-<br>Day | (3)<br>Value<br>Code2<br>(I-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                  |                                        |                                                   |                                                   |                                          |                                                               |                              |                                |                               |                                                                |
| 1 STOCKYMD56L 50 ACT <sup>X</sup>                                                                                               | A                                      | INT                                               | K                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 2                                                                                                                               |                                        |                                                   |                                                   |                                          |                                                               |                              |                                |                               |                                                                |
| 3 VANGUARD ADMIRAL TREAS MM                                                                                                     | B                                      | INT                                               | L                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 4 VANGUARD TO THE STOCK MM <sup>IND ST FND</sup>                                                                                | B                                      | DIV                                               | K                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 5 ANGELICA com                                                                                                                  | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 6 BARRICK com                                                                                                                   | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 7 CHURCHILL DOWNS com                                                                                                           | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 8 JOHNSON OUTDOOR com                                                                                                           | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 9 SAFECO com                                                                                                                    | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 10 CASUM MAKE RT GP                                                                                                             | A                                      | DIV                                               |                                                   |                                          | SEC                                                           | 3/2                          | J                              | Q                             |                                                                |
| 11                                                                                                                              |                                        |                                                   |                                                   |                                          |                                                               |                              |                                |                               |                                                                |
| 12 VANGUARD TREAS ADMIRAL MM                                                                                                    | C                                      | INT                                               | L                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 13 VANGUARD TO THE ST. MKT <sup>IND ST FND</sup>                                                                                | A                                      | DIV                                               | K                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 14 ASA LTD com                                                                                                                  | A                                      | DIV                                               | K                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 15 BARRICK com                                                                                                                  | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 16 CASUM MAKE RT. GP <sup>COM</sup>                                                                                             | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |
| 17 CHURCHILL DOWNS com                                                                                                          | A                                      | DIV                                               | J                                                 | T                                        |                                                               |                              |                                |                               |                                                                |

|   |                                                                                                            |                                                |                                                                                |                                                      |                     |
|---|------------------------------------------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3) N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2) U=Book value                                              | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON CYN R.

Date of Report

5-15-87  
03

## VII. Page 1 INVESTMENTS and TRUSTS – income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B<br>Income during<br>reporting period |                                         | C<br>Gross value<br>at end of<br>reporting period |                                   | D<br>Transactions during reporting period              |                      |                         |                        |                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------|-----------------------------------------|---------------------------------------------------|-----------------------------------|--------------------------------------------------------|----------------------|-------------------------|------------------------|---------------------------------------------------------|
|                                                                                                                                     | (1)                                    | (2)                                     | (1)                                               | (2)                               | (1)                                                    | (2)                  | (3)                     | (4)                    | (5)                                                     |
|                                                                                                                                     | Amt.<br>Code1<br>(A-H)                 | Type<br>(e.g.,<br>div, int, pr<br>int.) | Value<br>Code2<br>(J-P)                           | Value<br>Method<br>Code3<br>(Q-W) | Type<br>(e.g.,<br>buy, sell,<br>merger,<br>redemption) | Date<br>Month<br>Day | Value<br>Code2<br>(J-P) | Gain<br>Code1<br>(A-H) | Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                      |                                        |                                         |                                                   |                                   |                                                        |                      |                         |                        |                                                         |
| 1 CROSS AT COM                                                                                                                      | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 2 JOHNSON OUTDOORS COM                                                                                                              | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 3 GELIMUSA RLTY TR. COM                                                                                                             | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 4 VERITAS COM                                                                                                                       | B                                      | DIV                                     | L                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 5                                                                                                                                   |                                        |                                         |                                                   |                                   |                                                        |                      |                         |                        |                                                         |
| 6 VANGUARD ADMIRAL TR. COM FUND                                                                                                     | C                                      | DIV                                     | M                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 7 CALVERT DOWNS COM                                                                                                                 | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 8 HEARTSOUTH COM                                                                                                                    | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 9 NATIONAL HEALTH INSURANCE COM                                                                                                     | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 10 PENNA REIT COM                                                                                                                   | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 11 VERITAS                                                                                                                          | A                                      | DIV                                     | K                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 12 CASUAL MAYERZ GP.                                                                                                                | A                                      | DIV                                     |                                                   |                                   | SELL                                                   | 5/12                 | K                       | D                      |                                                         |
| 13                                                                                                                                  |                                        |                                         |                                                   |                                   |                                                        |                      |                         |                        |                                                         |
| 14 VANGUARD TR. COM FUND                                                                                                            | B                                      | DIV                                     | K                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 15 VANGUARD TOTAL STOCK MKT FUND                                                                                                    | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 16 GREYFUS STRATEGIC MUN. BOND FUND                                                                                                 | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |
| 17 ELCELON COM                                                                                                                      | A                                      | DIV                                     | J                                                 | T                                 |                                                        |                      |                         |                        |                                                         |

|   |                                                                                                               |                                                |                                                                                |                                                      |                     |
|---|---------------------------------------------------------------------------------------------------------------|------------------------------------------------|--------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4)<br>F=\$50,001-\$100,000                             | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$5,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)<br>U=Book value                                              | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                    | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON CHARLES R

Date of Report

5-15-07

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Assets<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure</i> | B<br>Income during reporting period |                                           | C<br>Gross value at end of reporting period |                                          | D<br>Transactions during reporting period           |                               |                                |                               |                                                                |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|-------------------------------------------|---------------------------------------------|------------------------------------------|-----------------------------------------------------|-------------------------------|--------------------------------|-------------------------------|----------------------------------------------------------------|
|                                                                                                                                    | (1)<br>Amt. Code<br>(A-H)           | (2)<br>Type<br>(e.g. div, rent, pr. int.) | (1)<br>Value<br>Code2<br>(J-P)              | (2)<br>Value<br>Method<br>Code1<br>(Q-W) | (1)<br>Type<br>(e.g. buy, sell, merger, redemption) | If not exempt from disclosure |                                |                               |                                                                |
|                                                                                                                                    |                                     |                                           |                                             |                                          |                                                     | (2)<br>Date<br>Month/Day      | (3)<br>Value<br>Code2<br>(J-P) | (4)<br>Gain<br>Code1<br>(A-H) | (5)<br>Identity of<br>buyer/seller<br>(if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                     |                                     |                                           |                                             |                                          |                                                     |                               |                                |                               |                                                                |
| 1 FPL COM                                                                                                                          | A                                   | DIV                                       | J                                           | T                                        |                                                     |                               |                                |                               |                                                                |
| 2 GE COM                                                                                                                           | A                                   | DIV                                       | K                                           | T                                        |                                                     |                               |                                |                               |                                                                |
| 3                                                                                                                                  |                                     |                                           |                                             |                                          |                                                     |                               |                                |                               |                                                                |
| 4                                                                                                                                  |                                     |                                           |                                             |                                          |                                                     |                               |                                |                               |                                                                |
| 5 ESTATE #1                                                                                                                        |                                     |                                           |                                             |                                          |                                                     |                               |                                |                               |                                                                |
| 6 SMITH BARNEY MUNICIPAL MM FUND                                                                                                   | D                                   | DIV                                       |                                             |                                          | SELL                                                | 4/5                           | O                              | A                             |                                                                |
| 7 PRUDENTIAL STRATEGIC MUNICIPAL COM                                                                                               | A                                   | DIV                                       |                                             |                                          | SELL                                                | 1/10                          | J                              | A                             |                                                                |
| 8 US RET. PLW BONDS                                                                                                                | A                                   | INT                                       |                                             |                                          | SELL                                                | 12/22                         | L                              | A                             |                                                                |
| 9 US HM BONDS                                                                                                                      | A                                   | INT                                       |                                             |                                          | SELL                                                | 12/11                         | L                              | A                             |                                                                |
| 10 STOCKBOND BANKACT                                                                                                               | D                                   | INT                                       | K                                           | T                                        |                                                     |                               |                                |                               |                                                                |
| 11 STOCKBOND MM OAT ACT.                                                                                                           | D                                   | INT                                       | N                                           | T                                        |                                                     |                               |                                |                               |                                                                |
| 12 STOCKBOND CD                                                                                                                    |                                     |                                           |                                             |                                          | BUY                                                 | 1/15                          | N                              |                               |                                                                |
| 13 STOCKBOND CD                                                                                                                    | E                                   | INT                                       |                                             |                                          | SELL                                                | 12/06                         | N                              |                               |                                                                |
| 14 STOCKBOND CD                                                                                                                    |                                     |                                           |                                             |                                          | BUY                                                 | 5/15                          | O                              |                               |                                                                |
| 15 STOCKBOND CD                                                                                                                    | B                                   | INT                                       |                                             |                                          | SELL                                                | 6/15                          | O                              |                               |                                                                |
| 16 STOCKBOND CD                                                                                                                    | B                                   | INT                                       |                                             |                                          | BUY                                                 | 6/15                          | K                              |                               |                                                                |
| 17 STOCKBOND CD                                                                                                                    | B                                   | INT                                       |                                             |                                          | BUY                                                 | 1/20                          | M                              |                               |                                                                |

|   |                                                           |                                                                              |                                                                              |                                                                                    |                     |
|---|-----------------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000                                 | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                              | D=\$5,001-\$15,000<br>H2=More than \$5,000,000                                     | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)      | K=\$15,001-\$50,000<br>N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | L=\$50,001-\$100,000<br>O=\$500,001-\$1,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P1=\$1,000,001-\$5,000,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)          | R=Cost (real estate only)<br>U=Book value                                    | S=Assessment<br>V=Other                                                      | T=Cash/Market                                                                      | W=Estimated         |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

SIMPSON CHARLY R

Date of Report

5-15-07  
03

## VII. Page 1 INVESTMENTS and TRUSTS -- income, value, transactions (Includes those of spouse and dependent children. See pp. 34-60 of Instructions.)

| A<br>Description of Asset<br>(including trust assets)<br><br><i>Place "(X)" after each asset<br/>exempt from prior disclosure.</i> | B<br>Income during reporting period |                                | C<br>Gross value at end of reporting period |                          | D<br>Transactions during reporting period   |                               |                   |                  |                                                   |
|------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|--------------------------------|---------------------------------------------|--------------------------|---------------------------------------------|-------------------------------|-------------------|------------------|---------------------------------------------------|
|                                                                                                                                    | (1)                                 | (2)                            | (1)                                         | (2)                      | (1)                                         | If not exempt from disclosure |                   |                  |                                                   |
|                                                                                                                                    | Amt. Code (A-H)                     | Type (e.g. div. rent pr. int.) | Value Code2 (J-P)                           | Value Method Code3 (Q-W) | Type (e.g. buy, sell, inc. pr., redemption) | Date: Month Day               | Value Code2 (J-P) | Gain Code1 (A-H) | Identity of buyer/seller (if private transaction) |
| <input type="checkbox"/> NONE (No reportable income, assets, or transactions.)                                                     |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 1 STOCK Y.M.SS CD                                                                                                                  | A                                   | INT                            |                                             |                          | buy                                         | 12/16                         | K                 |                  |                                                   |
| 2                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 3                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 4                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 5                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 6                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 7                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 8                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 9                                                                                                                                  |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 10                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 11                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 12                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 13                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 14                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 15                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 16                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |
| 17                                                                                                                                 |                                     |                                |                                             |                          |                                             |                               |                   |                  |                                                   |

|   |                                                           |                                                       |                                                |                                                                                 |                                                      |                     |
|---|-----------------------------------------------------------|-------------------------------------------------------|------------------------------------------------|---------------------------------------------------------------------------------|------------------------------------------------------|---------------------|
| 1 | Income/Gain Codes: A=\$1,000 or less<br>(See Col. B1, D4) | P=\$50,001-\$100,000                                  | B=\$1,001-\$2,500<br>G=\$100,001-\$1,000,000   | C=\$2,501-\$5,000<br>H1=\$1,000,001-\$5,000,000                                 | D=\$5,001-\$15,000<br>H2=More than \$5,000,000       | E=\$15,001-\$50,000 |
| 2 | Value Codes: J=\$15,000 or less<br>(See Col. C1, D3)      | N=\$250,001-\$500,000<br>P3=\$25,000,001-\$50,000,000 | K=\$15,001-\$50,000<br>O=\$500,001-\$1,000,000 | L=\$50,001-\$100,000<br>P1=\$1,000,001-\$5,000,000<br>P4=More than \$50,000,000 | M=\$100,001-\$250,000<br>P2=\$5,000,001-\$25,000,000 |                     |
| 3 | Value Method Codes: Q=Appraisal<br>(See Col. C2)          | U=Book value                                          | R=Cost (real estate only)<br>V=Other           | S=Assessment<br>W=Estimated                                                     | T=Cash/Market                                        |                     |

# FINANCIAL DISCLOSURE REPORT

Name of Person Reporting

Johnson Charles R

Date of Report

5-23-07  
03

## VIII. ADDITIONAL INFORMATION OR EXPLANATIONS (Indicate part of Report.)

## IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353 and Judicial Conference regulations.

Signature



Date

5-3-07

NOTE: ANY INDIVIDUAL WHO KNOWINGLY AND WILFULLY FALSIFIES OR FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL AND CRIMINAL SANCTIONS (5 U.S.C. app. § 104.)

### FILING INSTRUCTIONS:

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure  
Administrative Office of the  
United States Courts  
Suite 2-301  
One Columbus Circle, N.E.  
Washington, D.C. 20544