

FINANCIAL DISCLOSURE REPORT FOR CALENDAR YEAR 2006

Report Required by the Ethics
in Government Act of 1978
(5 U.S.C. app. §§ 101-111)

1. Person Reporting (last name, first, middle initial) Hayden, Katharine S	2. Court or Organization US District Court - DNJ	3. Date of Report 05/15/2007
4. Title (Article III judges indicate active or senior status; magistrate judges indicate full- or part-time) Article III Judge - Active	5a. Report Type (check appropriate type) ☐ Nomination, Date ☐ Initial ☒ Annual ☐ Final 5b. ☐ Amended Report	6. Reporting Period 01/01/2006 to 12/31/2006
7. Chambers or Office Address United States District Court USPO & Courthouse Building Newark, New Jersey 07101	8. On the basis of the information contained in this Report and any modifications pertaining thereto, it is, in my opinion, in compliance with applicable laws and regulations. Reviewing Officer _____ Date _____	

IMPORTANT NOTES: The instructions accompanying this form must be followed. Complete all parts,
checking the NONE box for each part where you have no reportable information. Sign on last page.

I. POSITIONS. (Reporting individual only; see pp. 9-13 of instructions.)

☐ NONE (No reportable positions.)

POSITION

NAME OF ORGANIZATION/ENTITY

1. Adjunct Professor	Seton Hall University School of Law
2. Faculty	National Institute for Trial Advocacy (NITA)
3. Board of Directors	Federal Judges Association
4.	
5.	

II. AGREEMENTS. (Reporting individual only; see pp. 14-16 of instructions.)

☒ NONE (No reportable agreements.)

DATE

PARTIES AND TERMS

1	
2	
3	

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III. NON-INVESTMENT INCOME. *(Reporting individual and spouse; see pp. 17-24 of instructions.)***A. Filer's Non-Investment Income**☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>	<u>INCOME</u> (yours, not spouse's)
1. 2006	Seton Hall University School of Law, Adjunct Professor - Spring Term	\$ 6,000.00
2.		
3.		
4.		
5.		

B. Spouse's Non-Investment Income - *If you were married during any portion of the reporting year, complete this section.**(Dollar amount not required except for honoraria.)*☐ NONE *(No reportable non-investment income.)*

<u>DATE</u>	<u>SOURCE AND TYPE</u>
1. 2006	Partner, Law Firm
2.	
3.	
4.	
5.	

IV. REIMBURSEMENTS - *transportation, lodging, food, entertainment**(Includes those to spouse and dependent children. See pp. 25-27 of instructions.)*☐ NONE *(No reportable reimbursements.)*

<u>SOURCE</u>	<u>DESCRIPTION</u>
1. Federal Judge Association	FJA Board of Directors Meeting (Transportation, Meals)
2. National Institute of Trial Advocacy	Faculty for Seminar on Summary Judgment Practice in Federal Court
3. Sedona Conference	Faculty for Seminar on Complex Litigation - Mass Torts
4.	
5.	

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V. GIFTS. *(Includes those to spouse and dependent children. See pp. 28-31 of instructions.)*NONE *(No reportable gifts.)*

	<u>SOURCE</u>	<u>DESCRIPTION</u>	<u>VALUE</u>
1.			
2.			
3.			
4.			
5.			

VI. LIABILITIES. *(Includes those of spouse and dependent children. See pp. 32-33 of instructions.)*NONE *(No reportable liabilities.)*

	<u>CREDITOR</u>	<u>DESCRIPTION</u>	<u>VALUE CODE</u>
1.			
2.			
3.			
4.			
5.			

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
1. BROKERAGE ACCOUNT #1									
2. - Aegon N V-ADR Amer Reg-ADR Common Stock	A	Dividend			Sell	06/23	J	B	
3. - Alcoa Inc. Comon Stock	A	Dividend	J	T					
4. - Arbor Realty Trust Inc. Common Stock	A	Dividend	J	T					
5. - Cabela's Inc. Class A Common Stock		None	J	T					
6. - Consolidated Edison Inc. Common Stock	A	Dividend	J	T					
7. - Duke Energy Corp. (Holding Company) Common Stock	A	Dividend	J	T					See Note in Part VIII
8. - Encana Corp-Can Common Stock	A	Dividend	K	T					
9. - Enterprise Prods Partners LP Common Stock	A	Royalty	J	T	Buy	05/15	J		
10.					Buy	06/23	J		
11. - GlaxoSmithKline PLC' SP ADR Common Stock	A	Dividend	J	T					
12. - JP Morgan Chase & Co. Common Stock	A	Dividend	K	T					
13. - Kerr McGee Corp. Common Stock	A	Dividend			Sell	08/10	K	E	
14. - Kimberly Clark Corp. Common Stock	A	Dividend	K	T					
15. - Motorola Inc. DE Common Stock	A	Dividend	J	T					
16. - Mylan Laboratories Inc. Common Stock	A	Dividend			Sell	04/25	J	B	
17. - Newell Rubbermaid Inc. Common Stock	A	Dividend	J	T					

1. Income Code: (See Columns B1 and B4)	A = \$1,000 or less B = \$1,001 - \$2,500 C = \$2,501 - \$5,000 D = \$5,001 - \$10,000 E = \$10,001 - \$15,000 F = \$15,001 - \$50,000 G = \$50,001 - \$100,000 H = \$100,001 - \$500,000 I = \$500,001 - \$1,000,000 J = \$1,000,001 - \$5,000,000 K = \$5,000,001 - \$25,000,000 L = \$25,000,001 - \$50,000,000 M = More than \$50,000,000	2. Value Code: (See Columns C1 and D3)	A = \$15,000 or less B = \$15,001 - \$50,000 C = \$50,001 - \$100,000 D = \$100,001 - \$250,000 E = \$250,001 - \$500,000 F = \$500,001 - \$1,000,000 G = \$1,000,001 - \$5,000,000 H = More than \$5,000,000	3. Value Method Code: (See Column C2)	A = Acquisition B = Buy C = Sell D = Redemption E = Transfer F = Other G = Other H = Other I = Other J = Other K = Other L = Other M = Other N = Other O = Other P = Other Q = Other R = Other S = Other T = Other U = Other V = Other W = Other X = Other Y = Other Z = Other	4. Transaction Code: (See Columns E1 and E4)	A = Buy B = Sell C = Redemption D = Transfer E = Other F = Other G = Other H = Other I = Other J = Other K = Other L = Other M = Other N = Other O = Other P = Other Q = Other R = Other S = Other T = Other U = Other V = Other W = Other X = Other Y = Other Z = Other	5. Transaction Code: (See Columns E1 and E4)	A = Buy B = Sell C = Redemption D = Transfer E = Other F = Other G = Other H = Other I = Other J = Other K = Other L = Other M = Other N = Other O = Other P = Other Q = Other R = Other S = Other T = Other U = Other V = Other W = Other X = Other Y = Other Z = Other	6. Transaction Code: (See Columns E1 and E4)	A = Buy B = Sell C = Redemption D = Transfer E = Other F = Other G = Other H = Other I = Other J = Other K = Other L = Other M = Other N = Other O = Other P = Other Q = Other R = Other S = Other T = Other U = Other V = Other W = Other X = Other Y = Other Z = Other
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Name of Person Reporting

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
18. - Nokia Corp. Sponsored ADR Common Stock	A	Dividend	J	T					
19. - Pentair Inc. Common Stock	A	Dividend	J	T	Buy	09/07	J		
20.					Buy	09/14	J		
21. - Pfizer Inc. Common Stock	A	Dividend	J	T	Buy	03/08	J		
22. - Sasol Ltd., Spons. ADR Common Stock	A	Dividend	J	T					
23. - Symbol Technologies Inc. Common Stock	A	Dividend			Sell	09/20	J	A	
24. - Walt Disney Co. Common Stock	A	Dividend	J	T					
25. - Wyeth Common Stock	A	Dividend	J	T					
26. - Calamos Strategic Total Return ST TH AUCT RT CUM PFD	B	Dividend	K	T					
27. - Van Kampen Sr Incm TR SER W Taxable AUCT CUM PFD SHS	B	Dividend	K	T					
28. - Van Kampen Sr Incm TR SER F AUCT RT CUM PFD SHS	B	Dividend	K	T					
29. - ABN AMRO Hldg Nv Spon Adr Common Stock		None			Sell	02/15	J	A	
30. - Altria Group Inc. Common Stock	A	Dividend	K	T	Buy	02/08	J		
31.					Buy	09/26	J		
32. - American Financial Realty Tr Common Stock		None			Sell	02/27	J	A	
33. - Aqua America Inc. Common Stock	A	Dividend	J	T					
34. - AT&T Inc. Common Stock	A	Dividend	J	T	Buy	10/09	J		

1. Income Gain Codes - (See Columns B1 and D4.)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - 2,500 G = \$100,001 - \$1,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes - (See Columns C1 and D1.)	F = \$15,000 or less N = \$250,001 - \$500,000	J = \$15,001 - \$50,000 O = \$500,001 - \$1,000,000	K = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	L = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000
3. Value Method Codes - (See Column C2.)	P1 = \$25,000 or less Q = Apprecial U = Loss - Index	R = Cost Basis - E date Only S = Other	P1 = \$1,000,001 - \$5,000,000 P4 = More than \$5,000,000 S = Appreciated W = Estimated	P2 = \$5,000,001 - \$25,000,000 T = Cash/Market	

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A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
35. - Chesapeake Energy Corp. Common Stock	A	Dividend	J	T					
36. - Citibank NA Bank Deposit Program	A	Interest	J	T					
37. - Goodrich Corp. Common Stock	A	Dividend			Sell	06/29	J	A	
38. - Honeywell Intl Inc. Common Stock	A	Dividend	J	T	Buy	01/10	J		
39.					Buy	01/10	J		
40. - Kayne Anderson Mlp Invt Co. Ser A Bond	B	Interest	K	T					
41. - Kayne Anderson Mlp Invt. Co. Ser B Bond	B	Interest	K	T					
42. - Lyondell Chemical Co. Common Stock	A	Dividend			Sell	03/29	J	A	
43. - Masco Corp. DE Common Stock	A	Dividend	J	T	Buy	07/03	J		
44. - Microsoft Corp Common Stock	A	Dividend	J	T	Buy	10/26	J		
45. - Mitsubishi UFJ Financial Group Inc. ADR Common Stock	A	Dividend	J	T					
46. - Montpelier RE Holdings Ltd. Common Stock	A	Dividend	J	T					
47. -Procter & Gamble Co. Common Stock	A	Dividend	K	T	Buy	05/03	J		
48.					Partial Sell	11/07	J	A	
49. - San Diego Calif Pff Auth Lse Rv Jack Murphy Bond	A	Interest			Sell	02/01	K	A	
50. - Sara Lee Corp. Common Stock	A	Dividend	J	T					
51. - Sears Holding Corp. Common Stock		None	K	T					

1. Income Gain Code: (See Columns B1 and D4)	A = \$1,000 or less F = \$500,001 - \$1,000,000	B = \$1,001 - \$2,500 G = \$2,501 - \$10,000,000	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000	D = \$5,001 - \$15,000 H2 = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes (See Columns C1 and D3)	F = \$15,000 or less N = \$250,001 - \$500,000	G = \$15,001 - \$50,000 Q = \$500,001 - \$1,000,000	I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000	M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000	O = More than \$25,000,000
3. Value Method Code: (See Column C2)	P1 = 521 - 664 (551 - 664 only) Q = Appraised U = Other Value	R = 665 - 711 (Estate Only) V = Other	S = Ascertain W = Formula		

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	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
52. - Wal-Mart Stores Inc. Common Stock	A	Dividend	J	T					
53. -American Intl Group Inc. Common Stock	A	Dividend	J	T	Buy	11/09	J		
54. -Bristol-Myers Squibb Co. Common Stock	A	Dividend			Buy	7/10	J		
55.					Buy	7/13	J		
56.					Sell	11/15	J	A	
57. -Citibank NA South Dakota Bank Deposit Program	A	Interest	J	T	Buy	10/31	J		See Note in Part VIII
58. -CVS Corp. Common Stock	A	Dividend	J	T	Buy	06/29	J		
59. -Foster Wheeler LTD Bermuda Common Stock		None	J	T	Buy	02/06	J		
60.					Buy	04/25	J		
61. Hanesbrands Inc. Common Stock		None	J	T	Partial Sell	09/12	J	A	See Note in Part VIII
62.					Buy	11/09	J		
63. -Hitachi LTD-ADR Common Stock		None	J	T	Buy	11/07	J		
64. -L-I Identity Solutions Inc. Common Stock		None	J	T	Buy	04/07	J		
65.					Buy	09/12	J		
66. Marvell Technology Group LTD-USD Common Stock		None	J	T	Buy	09/20	J		
67. -Nabors Industries LTD-USD New Common Stock		None	J	T	Buy	02/14	J		
68.					Buy	02/15	J		

1. Income Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000	B = \$1,001 - \$2,500 G = \$2,501 - \$1,000,000	C = \$2,501 - \$5,000 H = \$5,001 - \$5,000,000	D = \$5,001 - \$15,000 I = More than \$5,000,000	E = \$15,001 - \$50,000
2. Value Codes: (See Columns C1 and D3)	F = \$15,000 or less N = \$250,001 - \$500,000	G = \$15,001 - \$50,000 O = \$50,001 - \$1,000,000	H = \$50,001 - \$100,000 P = \$100,001 - \$5,000,000	I = \$5,001 - \$15,000 Q = \$15,001 - \$250,000	J = \$250,001 - \$500,000
3. Value Method Codes: (See Column C2)	P3 = \$25,000,001 - \$75,000,000 Q = Appraisal U = Other Value	R = Other Real Estate Only V = Other	S = Assessment W = Estimated	T = Other Market	

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VII. INVESTMENTS and TRUSTS – income, value, transactions (Includes those of the spouse and dependent children. See pp. 34-60 of filing instructions.)

☐ NONE (No reportable income, assets, or transactions.)

A. Description of Assets (including trust assets) Place "(X)" after each asset exempt from prior disclosure	B. Income during reporting period		C. Gross value at end of reporting period		D. Transactions during reporting period				
	(1) Amount Code 1 (A-H)	(2) Type (e.g. div., rent, or int.)	(1) Value Code 2 (J-P)	(2) Value Method Code 3 (Q-W)	(1) Type (e.g. buy, sell, redemption)	(2) Date Month - Day	(3) Value Code 2 (J-P)	(4) Gain Code 1 (A-H)	(5) Identity of buyer/seller (if private transaction)
69.					Buy	06/12	J		
70. -Patterson UTI Energy Inc. Common Stock	A	Dividend	J	T	Buy	04/06	J		
71.					Buy	09/14	J		
72. -WM Wrigley Jr Co. Common Stock	A	Dividend	J	T	Buy	02/15	J		
73.					Partial Sell	05/10	J	A	
74. BROKERAGE ACCOUNT #2									
75. - Fidelity Investments Money Market Account	A	Dividend	J	T					
76. BROKERAGE ACCOUNT #3									
77. - Smith Barney FMA Account	A	Interest	N	T					
78. IRA/401K ACCOUNTS									
79. - IRA Franklin Templeton Mutual Shares Fund Class A	C	Dividend	L	T					
80. - IRA Smith Barney Money Funds Inc. Class A	A	Interest	J	T					
81. - IRA Smith Barney Money Funds Class A	A	Interest	L	T					
82. -IRA Smith Barney (formerly 401K Fidelity Investments)	A	Interest	J	T					See Note in Part VIII
83. - Guardian Whole Life	E	Dividend	N	T					

1. Income/Gain Codes: (See Columns B1 and D4)	A = \$1,000 or less F = \$50,001 - \$100,000 J = \$15,000 or less N = \$250,001 - \$500,000 P3 = \$25,000,001 - \$50,000,000 Q = Apprecial G = Fossil Values	B = \$1,001 - \$2,500 G = \$100,001 - \$1,000,000 K = \$10,001 - \$50,000 O = \$300,001 - \$1,000,000 R = U.S. Real Estate Only X = Other	C = \$2,501 - \$5,000 H1 = \$1,000,001 - \$5,000,000 I = \$50,001 - \$100,000 P1 = \$1,000,001 - \$5,000,000 P4 = More than \$50,000,000 S = Valued Asset W = Estimated	D = \$5,001 - \$15,000 E = \$15,001 - \$50,000 H2 = More than \$5,000,000 M = \$100,001 - \$250,000 P2 = \$5,000,001 - \$25,000,000 T = Cash Market	
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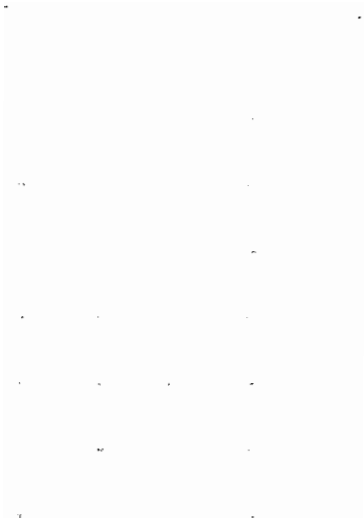
VIII. ADDITIONAL INFORMATION OR EXPLANATIONS. *(Indicate part of Report.)*

Part VII-Line 7-Duke Energy Corp. became Duke Energy Corp (Holding Company).

Part VII-Line 57-Citibank NA South Dakota Deposit Program is a sweep money market bank account.

Part VII-Line 61-Due to a spinoff on 9/11, Sara Lee Corp. spun off to shareholder 56 shares of Hanesbrands Inc. common stock.

Part VII-Line 82-In September, managment of the Fidelity Investments 401K account was transferred to Smith Barney.



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IX. CERTIFICATION.

I certify that all information given above (including information pertaining to my spouse and minor or dependent children, if any) is accurate, true, and complete to the best of my knowledge and belief, and that any information not reported was withheld because it met applicable statutory provisions permitting non-disclosure.

I further certify that earned income from outside employment and honoraria and the acceptance of gifts which have been reported are in compliance with the provisions of 5 U.S.C. app. § 501 et. seq., 5 U.S.C. § 7353, and Judicial Conference regulations.

Signature

Date

5/14/07

NO
AND CRIMINAL SANCTIONS (5 U.S.C. app. § 1

FAILS TO FILE THIS REPORT MAY BE SUBJECT TO CIVIL

FILING INSTRUCTIONS

Mail signed original and 3 additional copies to:

Committee on Financial Disclosure
Administrative Office of the United States Courts
Suite 2-301
One Columbus Circle, N.E.
Washington, D.C. 20544